

# FEDERAL EMERGENCY MANAGEMENT AGENCY

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## User's Guide – Grants Reporting Tool



**FEMA**

June 2018

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## CHAPTER 1

# INTRODUCTION

## Sections

- 1.1 Welcome!
- 1.2 Overview of the Grant Reporting Process
- 1.3 Overview of the Grants Reporting Tool
- 1.4 Using the Grants Reporting Tool

## Section 1.1 Welcome

### 1.1.1 System Purpose

The Federal Emergency Management Agency (FEMA) continues to improve its grant distribution and reporting processes. One such improvement is the development of the Grants Reporting Tool (GRT). The GRT improves the accuracy and efficiency of Homeland Security's annual award and biannual grant reporting by providing a user-friendly web-based reporting system. It is designed to help State Administrative Agencies (SAAs) and Direct Tribal Grantees (DTGs) meet all reporting requirements identified by the DHS FEMA Grants Programs Directorate (GPD). For a full list of the programs within GRT, please refer to Appendix C of this document.

### 1.1.2 Document Design

The User's Guide is designed to provide SAAs, DTGs and subgrantees (local jurisdictions and other state agencies) with the information they need to report on funds awarded from grant programs through the Initial Strategy Implementation Plan (ISIP), Biannual Strategy Implementation Report (BSIR), and Close-out reporting periods within the GRT. The User's Guide is divided into the following parts:

- ❖ Chapter One: Introduction
- ❖ Chapter Two: Getting Started
- ❖ Chapter Three: The Initial Strategy Implementation Plan (ISIP)
- ❖ Chapter Four: The Biannual Strategy Implementation Report (BSIR)
- ❖ Chapter Five: The Close-out Process
- ❖ Chapter Six: State, Urban Area, and Regional Transit Security Strategies
- ❖ Chapter Seven: The Reporting Module
- ❖ Chapter Eight: Appendices

The GRT will be used to capture all grant data (e.g. HSGP, UASI, TSGP, BZPP, IPRSGP) from planned obligations in the ISIP process, twice yearly expenditure updates through the BSIR process, and final funding in the Close-out reporting period. Appendices contain policy, guidance, and technical assistance for data requirements and reporting processes.

### 1.1.3 Target Audience

The guide is designed for use by both SAAs and subgrantees. To avoid confusion, instructions are provided using the term "user" to refer to the individual completing the respective report. User can refer to either a SAA or a subgrantee. However, in situations where the instructions are specific to the SAA, the term "SAA" is used. Conversely, where the instructions are specific to a local jurisdiction or other state agency, the term "subgrantee" is used.

## Section 1.2 Overview of the Grant Reporting Process

FEMA's grant reporting cycle is broken into three main reporting periods:

- 1) ISIP Reporting Period
- 2) BSIR Reporting Period
- 3) Close-out BSIR Reporting Period

Through the ISIP, SAA users will report planned expenditures of the newly awarded grants by the federally mandated due dates. Through the BSIR, grantees update planned information twice a year with the actual obligations, expenditures, and progress made on activities reported in the ISIP. Through the Close-out reporting period, SAAs ensure that the adjusted award amount (due to the de-obligation of funds) equals the obligated and expended amounts.

## 1.2.1 Grant Reporting Timeline

Based on a two-year period of performance, FEMA expects most grants will have six submissions over the course of the period of performance including one ISIP submission, four BSIR submissions, and one final Close-out submission. Please refer to FEMA grant guidance for due dates.

## Section 1.3 Overview of the Grants Reporting Tool

The GRT is divided into eleven modules. Each of these modules is designed to perform different functions that will allow the user to input data, organize grantees/subgrantees, and allow for analysis of the data collected. When a user registers for access to the GRT, they must select their Role (Federal, State, or Local User) and Organizational Assignment (state, territory, or agency). The GRT modules are designed specifically for each user type and only those modules applicable to the user type will appear. The eleven modules include:

1. Admin (for DHS System Administrators only)
2. IJ Submission
3. Investment
4. Scoring
5. Funding
6. PSIC
7. Approval
8. Organization
9. Users
10. Reporting
11. Strategy

In the user interface, the names of the modules are displayed on the left side of the screen and the associated tabs of each module are displayed across the top of the screen. Selected modules will appear as white lettering on dark blue backgrounds, while unselected modules will appear as dark blue lettering on grey backgrounds. Conversely, selected tabs will appear as dark blue lettering on light blue backgrounds, while unselected tabs will appear as dark blue lettering on grey backgrounds. The figure below depicts this example:



Figure 1: Sample view of the application's module and tab layout

### 1.3.1 Grants Reporting Tool Modules

The GRT consists of the eleven modules that are described below. The user type defines which modules a user is able to access.

#### 1.3.1.1 Welcome! (Not a module)

Welcome! provides a brief description of the GRT system and displays listings on the recent activities of the GRT. The Welcome! page also provides a list of supporting documentation available to download. The reference documents are provided to assist users in navigating the GRT system. Some of the reference

materials include: GRT User's Guide, Frequently Asked Questions, What's New in the Latest Version, Close-Out Overview, Data Ports Overview, Funding Overview, Grant Reporting Lifecycle Overview, Getting Started Overview, IJ Submission Module Overview, Investment Overview, ISIP Overview, Organization Overview, PSIC BSIR Overview, Reporting Overview, Strategy Overview, Welcome! News Overview and Workflow Overview. Users are able to send an email to the GRT help desk via an e-mail hyperlink listed on the bottom of the page.

### **1.3.1.2 Admin (Available for DHS System Administrators)**

The Admin module is specific to DHS System Administrators and provides the administrative capabilities for specific sections within the GRT. Such capabilities include setting up e-mail notifications, updating award amounts and the ability to perform data porting.

### **1.3.1.3 IJ Submission**

The IJ Submission module is designed to provide users the ability to compile their HSGP IJ online without the use of an excel template (to date, this includes FY 2009, FY 2010, FY 2011, FY 2012, FY 2013, FY 2014, FY 2015, FY 2016, FY 2017 and FY 2018 IJs). Users can create up to 10 investments. SAA Admin users mark the final online IJ complete and submit the GRT-produced IJ to grants.gov. This module is available to SAA Admins and state/local users designated by SAA Admins.

### **1.3.1.4 Investment**

The Investment module allows SAA and Fed users to review and report on information for all collected grant data. This module allows for Post-Award analysis and adjustments to be made for grants provided for state allocation of FEMA award funds. This module is not available to Local users.

### **1.3.1.5 Scoring**

The Scoring module is designed for HSGP and PSIC IJ reviewers to score Investments within the GRT application. Prior to scoring investments within the Scoring module, reviewers must register for a new user account in order to obtain the IJ Scorer role and privileges. IJ Scorers will score and submit all Investments for the state and urban area IJ submissions within their designated panel.

### **1.3.1.6 Funding**

The Funding module is the foundation of how funding is allocated between grantees/subgrantees and across grant programs. Users enter grant funding, project funding (depending on the reporting period selected), detail, solution area breakdowns, strategies, and metrics.

### **1.3.1.7 PSIC**

The PSIC module allows SAA users to enter Statewide planning, M&A and State-Level Non-Federal Match. The module also allows both SAA and Local users to create projects for each Investment, allocate funding for each project to solution areas, enter Non-Federal Match at the project level, and answer metric questions at the project level. Once all projects within an Investment are complete, and all Investments within the submission are complete, the SAA Admin may certify and submit the PSIC BSIR to FEMA.

### **1.3.1.8 Approval**

The Approval module allows State and Federal Admin users to review and approve all completed submissions (Local to SAA or SAA to FEMA). A series of state level self-checks help users to verify funding compliance and ensure data has been accurately entered. Users are only able to submit their reports for review and approval once all self-checks are in 'OK' status (highlighted in green).

### **1.3.1.9 Organization**

The Organization module allows SAA users to define their respective grantees and subgrantees. In the GRT, it is the responsibility of the SAA to define all grantees/subgrantees that receive funding within their

state/territory. Grantees and subgrantees may be added, edited, and assigned to reporting periods. Contact information is also entered and updated in this section. Subgrantees may access this section to edit the contact information as it pertains to their organization.

### **1.3.1.10 Strategy**

The Strategy Module is designed for SAA Admin users to enter and update their State/Territory Homeland Security Strategies, Urban Area Strategies and Regional Transit Security Strategies. A built-in workflow component allows for Federal Admin users to approve and request more information on strategies when applicable. SAA Admin users are able to submit their strategies to FEMA for approval during each reporting period.

### **1.3.1.11 Users**

Users will be approved and granted access to the system within the Users module. Admin users may review the details of a request before granting users access to the GRT system. They may also reset a user's password. Non-Admin users may only edit their own contact information and change their password in this module.

### **1.3.1.12 Reporting**

The Reporting module is specific to Federal and SAA Admin users. In this module, users will be able to view and/or download reports broken down by state/territory, or at a national level. Reports in this section range in detail from high-level grant funding to detailed solution area and discipline allocations. These reports can be viewed and/or downloaded in PDF or CSV format.

## **Section 1.4 Using the Grants Reporting Tool**

The GRT was designed to make reporting as easy as possible for the SAA and subgrantee users. The GRT follows the natural process of obligating grant funding. Conceptually, the GRT starts with inputting the total grant-funding amount and then tracing the allocation of these funds to a more detailed level. This includes the Projects and their respective Solution Areas, Solution Area Sub-Categories, and Disciplines impacted by the funding. The GRT guides the user through a multi-step process beginning with grantee information and ending with a plan summary to validate the entered data.

This section of the guide includes an overview of how the Grant Funding section is structured, some of the business rules, features, and best practices utilized in the application.

### **1.4.1 Grants Reporting Tool Basics**

This section introduces the conceptual structure and layout of the GRT.

#### **1.4.1.1 Conceptual Design of the GRT**

Conceptual models of the GRT that mirror the capture of data within the application are displayed in the next two figures. These models provide an overview of how funding allocations are organized and how each module logically ties to the next.



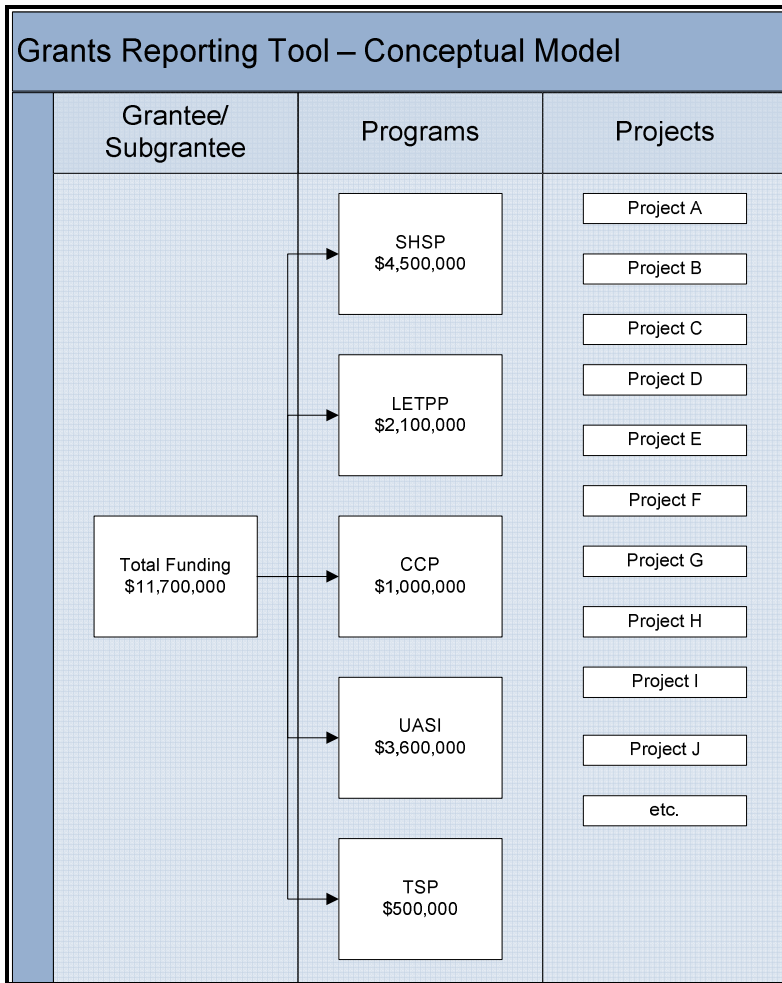


Figure 2: Illustration of how ISIP captures the funding grant programs

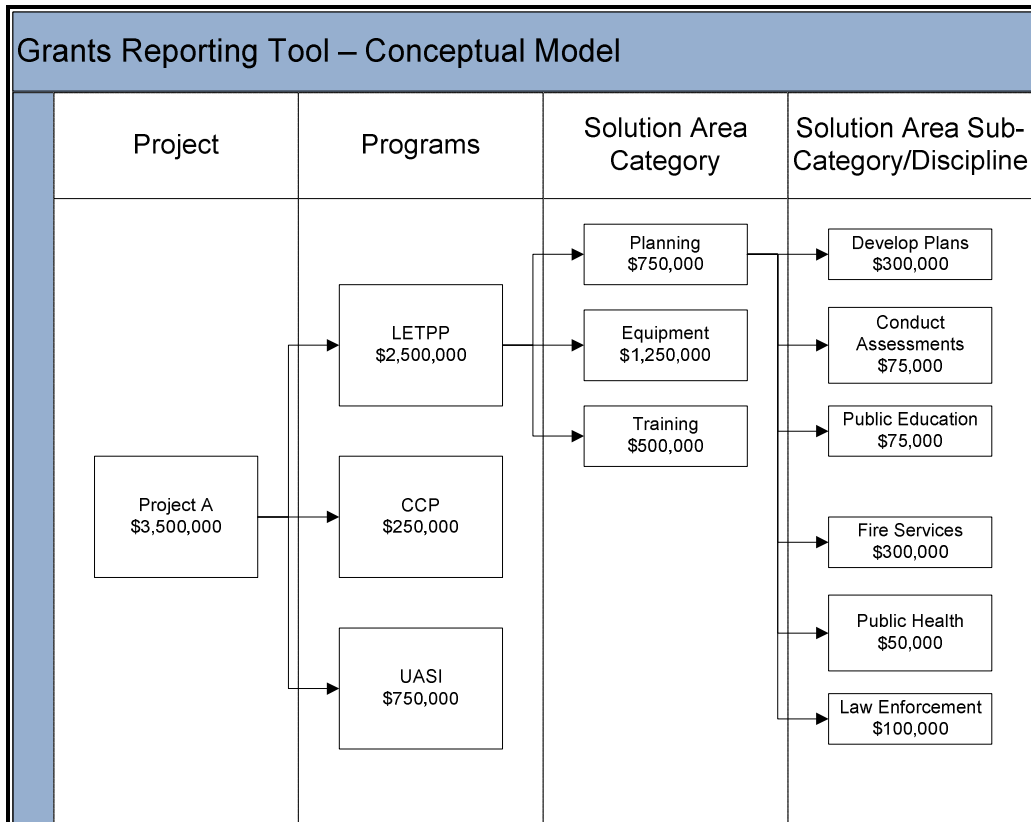


Figure 3: Illustration of project funding allocations broken down to the Solution Area Sub-Category and Discipline levels

### 1.4.1.2 Features of the GRT

A variety of features are used through the GRT. These are described in the following sections.

#### 1.4.1.2.1 Drop-down list boxes

Drop-down list boxes allow the user to enter information from a set list of choices. The drop-down boxes are marked with a down arrow next to the field. To select an item from a drop-down list,

1. Click on the down arrow next to the field. This will display a list of choices.
2. Select one item from the list by clicking on it. The item selected will appear in the window of the drop-down field.

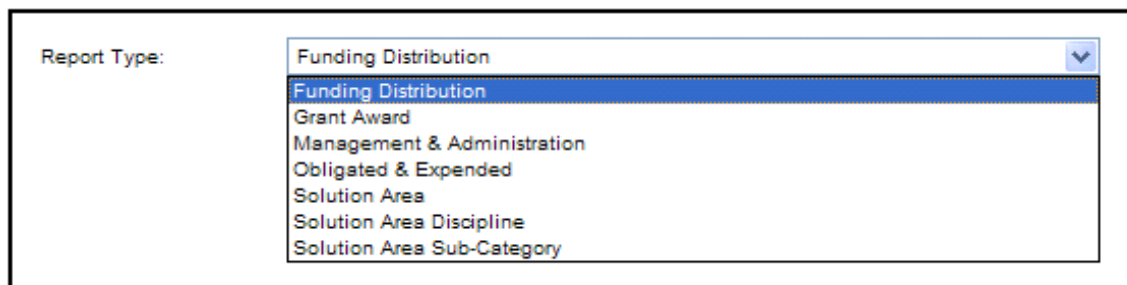


Figure 4: Drop-down List Boxes

#### 1.4.1.2.2 Section Headers

Section headers help notify the user what jurisdiction (state, subgrantee, etc.) they are viewing. This is especially helpful for users who have access to multiple jurisdictions.

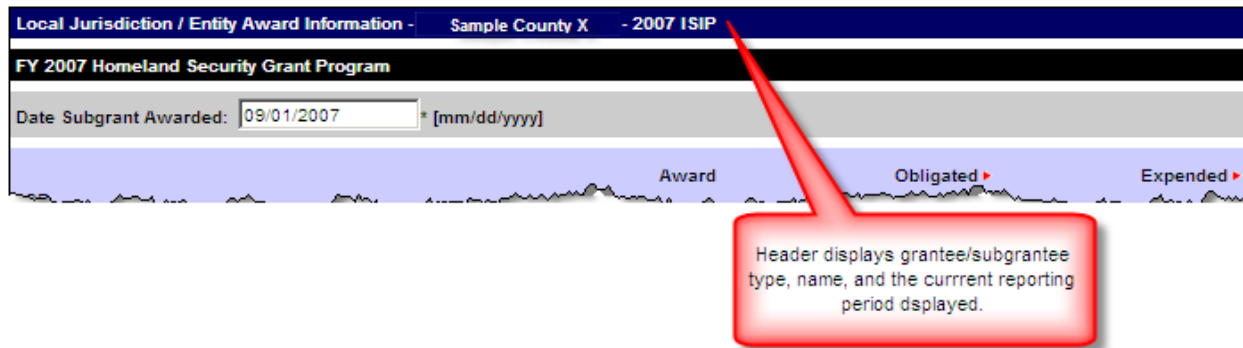


Figure 5: Section Headers

#### 1.4.1.2.3 Item Selection

In sections that display multiple items to be edited, updated, or selected, common icons are used. To select one item, the user can click the underlined words (the hyperlink) to view additional details. For example, in the figure below, clicking the underlined specific Project Title will present the details of the project to the user.

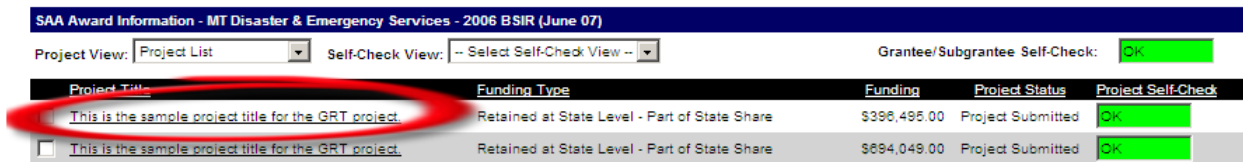


Figure 6: Hyperlinks

To select multiple items, the user can select multiple checkboxes and then click a command button. This is illustrated in the following example:

Grantee/Subgrantee	Grantee Type	Last Updated
<input checked="" type="checkbox"/> Department of Agriculture & Industries	Other State Agency (Non-SAA)	10/18/2006
<input type="checkbox"/> Department of Conservation & Natural Resources	Other State Agency (Non-SAA)	10/18/2006
<input checked="" type="checkbox"/> Department of Homeland Security	SAA	10/18/2006
<input type="checkbox"/> Department of Public Health	Other State Agency (Non-SAA)	10/18/2006
<input checked="" type="checkbox"/> Department of Public Safety	Other State Agency (Non-SAA)	10/18/2006

Figure 7: Checkboxes

#### 1.4.1.2.4 Self-Check Indicators

Within the Investment, Funding, and Approval modules, users will see a self-check indicator across numerous tabs and sections. The self-check indicator (Red=Error, Green=OK) is used to display the current state of a grantee/subgrantee, investment, project, program, state, etc. For example, if a user encounters a project with a self-check status of 'ERROR' (in red), they will be unable to submit the project to the next level in the workflow until the issue has been resolved and the self-check indicator is in 'OK' (green) status.

The screenshot shows a table with columns: Subgrantee, Total Reported, Status, and Self Check. The 'Self Check' column for the first row is circled in red.

Subgrantee	Total Reported	Status	Self Check
<input type="checkbox"/> Sample Subgrantee	\$400.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Sample Subgrantee	\$100,000.00	Data Entry In Progress	OK
<input type="checkbox"/> Sample Subgrantee	\$100,000.00	Data Entry In Progress	OK
<input type="checkbox"/> Sample Subgrantee	\$38,165.00	Data Entry In Progress	OK

Figure 8: Self-Check Indicators

CHAPTER 2

# GETTING STARTED



## Sections

- 2.1 Registration
- 2.2 Login
- 2.3 Organization

## Section 2.1 Registration – First Time Users Only

To access the GRT, each user must register by creating a user profile and account. Registration is a three-step process:

- Step 1: Enter contact information
- Step 2: Select role and organizational assignment
- Step 3: Select a user name and password

After these three steps are completed, the profile and account information will be passed to the proper party for review. SAA/DTG user information will be provided to FEMA for review. Subgrantee registration requests, however, will be submitted to the appropriate SAA/DTG. Once the profile has been approved by the appropriate authority, the user will receive an e-mail notification that access has been granted. Users may then login to the GRT with the user name and password established in their profile.

### 2.1.1 User Registration

#### 2.1.1.1 Registration Form – Step One

From the GRT homepage (<https://www.reporting.odp.dhs.gov/>), first time users will register for an account by selecting the 'Register for an account' hyperlink at the middle left-hand side of the page.

FOR OFFICIAL USE ONLY Federal Emergency Management Agency

## Grants Reporting Tool

Welcome to the Federal Emergency Management Agency's Grants Reporting Tool.

### GRT User ID & Password (Non-PIV Cardholders)

A Personal Identity Verification (PIV) Card Holder who is already registered in GRT and is logging into the system using their PIV card for the first time must enter their user ID and password for the initial login only. The user will then be prompted to enter their PIN number to be PIV authenticated into GRT. For all subsequent logins, the user must select PIV Login option only.

For Non-PIV Card Holders, please enter the GRT User ID & Password to login. If you are not already registered with GRT, please select the Register for an account link below.


User [Register for an account](#)

Password

Login with User ID

### PIV Card

If you are a Personal Identity Verification (PIV) Card Holder, please insert your PIV card into the card reader and choose the PIV Login below to select your certificate and enter your PIN. If you are a PIV Card Holder and have not already registered with GRT, you must first authenticate using your PIV card and corresponding PIN before you are given the option to register.



Login with your PIV

Remember to plug in your PIV card

Login with PIV

For technical assistance, please call 1-866-476-4827 (toll-free) or email [GRT.Support](#).

**WARNING** - You are about to access a Department of Homeland Security computer system. This computer system and data therein are property of the U.S. Government and provided for official U.S. Government information and use. There is no expectation of privacy when you use this computer system. The use of a password or any other security measure does not establish an expectation of privacy. By using this system, you consent to the terms set forth in this notice. You may not process classified national security information on this computer system. Access to this system is restricted to authorized users only. Unauthorized access, use, or modification of this system or of data contained herein, or in transit to/from this system, may constitute a violation of section 1030 of title 18 of the U.S. Code and other criminal laws. Anyone who accesses a Federal computer system without authorization or exceeds access authority, or obtains, alters, damages, destroys, or discloses information, or prevents authorized use of information on the computer system, may be subject to penalties, fines or imprisonment. This computer system and any related equipment is subject to monitoring for administrative oversight, law enforcement, criminal investigative purposes, inquiries into alleged wrongdoing or misuse, and to ensure proper performance of applicable security features and procedures. DHS may conduct monitoring activities without further notice.

GRT Version: 11.20.00.01

Figure 9: GRT Homepage

After the GRT Registration Form appears, complete all mandatory fields denoted by the asterisk (\*). The required fields are described below.

#### 2.1.1.1.1 Name Prefix, First Name, Last Name

These fields require entry of the user's first and last name and will be used as the primary point of contact for any questions regarding the data entered in the GRT.

### 2.1.1.1.2 Address/Phone Number

This field requires entry of the user's office address and phone number. Home or personal addresses should not be entered.

### 2.1.1.1.3 State

This field requires entry of the user's represented state that will be used to pre-populate the GRT with ISIP data submitted for grantees/subgrantees of that state. Additionally, the state selected in this field populates the list of available organizations assigned to this state on the next registration page. From the populated list, the user will select their organization(s).



The State entered in the User Information page will NOT be the user's represented state. The Organizational Assignment selected in Step Two of the Registration Form will be the user's represented state within the system.

### 2.1.1.1.4 E-mail Address

This field requires entry of the user's primary e-mail address. Please ensure this e-mail address is correct, as all correspondence will be e-mailed to this address.

**Registration Form - Step 1**

Please fill out the following fields and press "Next" when complete. Please make sure this information is correct and current. Fields marked with an asterisk (\*) are required. All electronic correspondence will be sent to the point of contact e-mail address, so please make certain this address is correct. If you do not have a permanent e-mail address, you will be required to establish one.

If you need assistance registering, please [click here](#) for a PDF tutorial.

**User Information**

Name Prefix	Select a Prefix
First Name	<input type="text"/>
Last Name	<input type="text"/>
Address Line 1	<input type="text"/>
Address Line 2	<input type="text"/>
City	<input type="text"/>
State	Select a State
Zip Code	<input type="text"/>
Phone Number	<input type="text"/> Ext. <input type="text"/>
Fax Number	<input type="text"/>
E-mail address	<input type="text"/>

Figure 10: User Registration – Step One

## 2.1.1.2 Registration Form – Step Two

After entering their user information, the user must select a Role and Organizational Assignment.

### 2.1.1.2.1 Role

The selected Role will grant the user access to the appropriate information to meet the GRT requirements. There are three roles in the GRT:

- ❖ Federal: DHS FEMA and other Federal Government Representatives
- ❖ State/Direct Tribal Grantee: SAA users and DTG users

- ❖ Local: All other users including Subgrantees, Local Jurisdictions, Other State Agencies/Non-SAAs, and Urban Areas

Figure 11: User Registration – Step Two – Role Selection

#### 2.1.1.2.2 Organizational Assignment

Next, the user must select their Organizational Assignment. The Organizational Assignment will be the user’s represented state/territory/tribe. Additionally, the State selected in this field populates the list of available grantees/subgrantees assigned to that State.

Figure 12: User Registration – Step Two – Organization Assignment

#### 2.1.1.2.3 Request Grantee/Subgrantee

After selecting the Role and Organizational Assignment, the user will select the grantee(s)/subgrantee(s) they wish to access.

- ❖ Federal: Federal users will select the states/territories they represent.
- ❖ State/DTG: SAA/DTG users will automatically be assigned every available grantee/subgrantee within their state.
- ❖ Local: Local users will select the specific organization they represent. As a rule, this is how users shall select and request organizations.




---

FEMA and SAA/DTG/Local Admin users will only provide access to grantee(s)/subgrantee(s) as required to meet the reporting requirements and will not allow local users to access data that outside their realm of accountability.

---

To select the grantee(s)/subgrantee(s), highlight a desired grantee/subgrantee name from the ‘Available Grantees/Subgrantees’ list and click the right arrow button to move the grantee(s)/subgrantee(s) to the ‘Requested Grantees/Subgrantees’ section. To select multiple grantees/subgrantees, hold down the ‘CTRL’ key while clicking on each desired grantee/subgrantee.

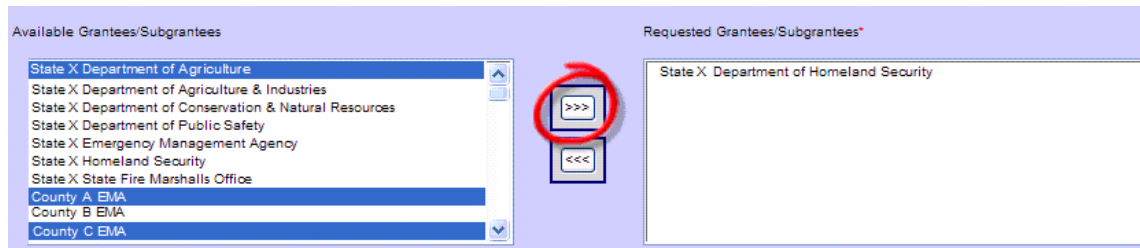


Figure 13: User Registration – Step Two – Grantee/Subgrantee Selection



Only the SAA/DTG user type has the ability to select all available grantees/subgrantees. When a user is registering as an SAA/DTG user, all of the grantees/subgrantees for that state will automatically be assigned to them and appear within 'Requested Grantees/Subgrantees'.

After selecting the desired grantee(s)/subgrantee(s), click 'Next' and proceed to Step Three.

### 2.1.1.3 Registration Form – Step Three

The final step of the registration phase is the creation of the User ID and Password. Users can create their own User ID and Password that meet the requirements outlined below.

#### 2.1.1.3.1 User ID Requirements

The user must create an ID unique to the system. If another user has previously chosen the desired user ID, the system will instruct the registering user to create another one.

#### 2.1.1.3.2 Password Requirements

Passwords must be between 8 and 15 characters in length and consist of a mix of the following: uppercase (A-Z), lowercase (a-z), numeric (0-9), and special characters (\_#\$). Passwords cannot start with a number (0-9) or a special character (\_#\$). Passwords cannot include consecutive keys on the keyboard (qwe, zxc), sequences (abc, 123), consecutive letters in respect to case (aa, dd, GG), the username, or the username separated by special characters.

#### 2.1.1.3.1 Citizenship Requirement

The user shall select 'No' if they are not US Citizen. The default value for the citizenship is 'Yes'.

Figure 14: User Registration – Step Three



After the User ID and Password are entered and citizenship question has been answered, click 'Submit'. The system will alert the user to any deviations from the User ID and/or Password requirements. If the system rejects the User ID and/or Password, it will request a modification to complete their registration process. Upon successful creation of the User ID/Password, the user registration status will default to 'Pending'; the user will be notified via e-mail once access has been granted.

If a password has not been changed in the last 90 days, the user will be required to change their password. Additionally, if a user has not used the system in the last 45 days, the user status will be changed to 'Locked Out'. If a user's account has expired or been 'Locked Out', the system will display the following error message in the login screen: 'Invalid Username and Password'. In the event the user receives this message, they should contact the Helpdesk at 1-800-865-4076 to have their account unlocked. If a user is unable to coordinate with the Helpdesk, the user's respective Admin (the user who approved their account) also has the ability to change their password or unlock their account. Users will receive an e-mail notification once their account has been 'unlocked'. The user will have until midnight to log in and change their password in order to prevent their account from becoming 'Locked Out' again.



Note: When the appropriate Admin user has approved a profile, it will be the responsibility of that approving authority to notify the new user via e-mail that access has been granted to the GRT system.

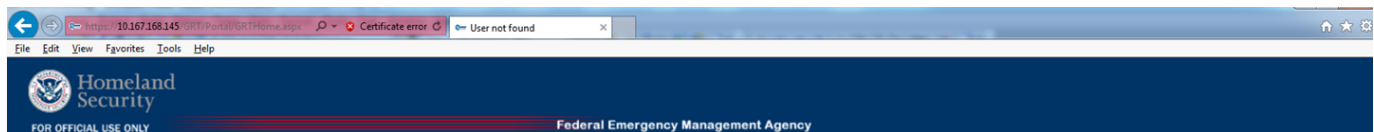
The following table outlines the basic registration approval steps.

Requesting User	Authorized Approver
SAA/DTG	Federal (FEMA) user assigned to requesting state
	SAA/DTG role with admin privileges assigned to requesting state
Subgrantee	Federal (FEMA) user assigned to requesting subgrantee
	SAA/DTG role with admin privileges assigned to requesting subgrantee
	Local role with admin privileges assigned to requesting subgrantee

## PIV Card Holders

### User Not Found

After the user selects PIV card login option, the system will display the user not found screen (please see Figure 2 below). The user will select "register" if they do not have an account.



#### User not found

User is not found in GRT. Please [register](#) here if you need an account.

For technical assistance, please call 1-866-476-4827 (toll-free) or e-mail [GRT Support](#).

If you think you already have an account with GRT, [click here](#) to login with User ID and Password.

**WARNING** - You are about to access a Department of Homeland Security computer system. This computer system and data therein are property of the U.S. Government and provided for official U.S. Government information and use. There is no expectation of privacy when you use this computer system. The use of a password or any other security measure does not establish an expectation of privacy. By using this system, you consent to the terms set forth in this notice. You may not process classified national security information on this computer system. Access to this system is restricted to authorized users only. Unauthorized access, use, or modification of this system or of data contained herein, or in transit to/from this system, may constitute a violation of section 1030 of title 18 of the U.S. Code and other criminal laws. Anyone who accesses a Federal computer system without authorization or exceeds access authority, or obtains, alters, damages, destroys, or discloses information, or prevents authorized use of information on the computer system, may be subject to penalties, fines or imprisonment. This computer system and any related equipment is subject to monitoring for administrative oversight, law enforcement, criminal investigative purposes, inquiries into alleged wrongdoing or misuse, and to ensure proper performance of applicable security features and procedures. DHS may conduct monitoring activities without further notice.

GRT Version: 11.20.00.01

## Registration Step 1 for PIV Card Holders logging in for the first time only

Registration Form - Step 1

The User Information fields below have been pre-populated from your LDAP account. Please review and select Next to continue. If you feel that there is an error, please contact the FEMA Enterprise Service Desk at 1-800-745-0243. If you need assistance registering, a PDF tutorial is available. This tutorial requires Adobe Reader, which can be downloaded from [Adobe's website](#). Once you have Adobe Reader installed, click [here](#) to access the tutorial.

User Information

First Name: fed\_admin

Last Name: testuser

E-mail address: fedadmin@grt.gov

Next

For PIV Card Holders, Registration is a two-step process:

Step 1: your personal contact information

Registration Form - Step 1

The User Information fields below have been pre-populated from your LDAP account. Please review and select Next to continue. If you feel that there is an error, please contact the FEMA Enterprise Service Desk at 1-800-745-0243. If you need assistance registering, a PDF tutorial is available. This tutorial requires Adobe Reader, which can be downloaded from [Adobe's website](#). Once you have Adobe Reader installed, click [here](#) to access the tutorial.

User Information

First Name: fed\_admin

Last Name: testuser

E-mail address: fedadmin@grt.gov

Next

Step 2: Select role, organizational assignment and then select Submit -

Registration Form - Step 2

Please fill out the following fields and press "Next" when complete. Please make sure this information is accurate.

Role and Organizational Assignment

Role

Federal

State/Direct Tribal Grantee (SAA/DTG) User

Local (Local Jurisdictions, Other State Agencies/Non-SAAs)

Organizational Assignment

FEMA

Available Organizations

Alabama

Alaska

American Samoa

Arizona

Arkansas

California

Colorado

Connecticut

Delaware

District of Columbia

Requested Organizations\*

Maryland

Clear Back Submit

After the registration steps are complete, the profile and account information will be passed through the proper channels for review. Once the profile has been approved by the appropriate authority, the user will receive an e-mail notification that access has been granted. Users may then login to the GRT with their PIV Card.

## 2.1.2 Approval Process

There are three steps to the approval process:

- ❖ FEMA Program Analysts approve at least one SAA/DTG account per state.
- ❖ The SAA/DTG user(s) with admin access approves additional users within their states at the SAA/DTG level, and must approve at least one Admin user within each subgrantee.
- ❖ Admin users login to the GRT regularly to ensure users are approved in a timely manner during the mandated reporting period. If the SAA/DTG completes reporting submissions on behalf of subgrantees, there is no need to create/assign local users.



Note: Each subgrantee will be required to have at least one user with admin privileges.

User approval occurs in the User module, which can be selected from the left navigation bar. All user information and related management tasks are contained within the three tabs available to all users within this section:

- ❖ User Management
- ❖ User Detail
- ❖ Change Password

### 2.1.2.1 Users Module - User Management Tab

The User Management tab provides a snapshot of all users within the admin realm of the logged in user. If the user is an SAA/DTG Admin user, they will see all users within their state. If the user is a Local Admin user, they will see all users within their jurisdiction. Lastly, if the user is a local user or if the user does not have admin privileges, they will only see themselves.

The following fields aid the user in reviewing the user status: Name, Grantee/Subgrantee, Account Type, Username, and Status. The User Management List Screen will only display 100 users at one time; the next 100+ users can be accessed through the 'next' page hyperlink, which will only be active if applicable.

Name	State/Territory	Account Type	Username	Status
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	chuckprinney	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	rob43iii	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	jayroorbach	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	...	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	duppinsn	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	oespey	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	MaryBethCaruso	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	adasher	Active
<input type="checkbox"/> Smith, Jean	Multiple	FEMA User	ownerbuilder	Active

Figure 15: User Approval

There are four functions an approving user can perform on the User Approval page. In order to perform one of the functions, the checkbox to the left of the name must be selected before the button is clicked.

- ❖ Approve - Allows the approving user to change a user's status from 'Pending' to 'Active'
- ❖ Deny - Allows the approving user to reject a user's access request
- ❖ Delete - Allows the approving user to delete a user from the system
- ❖ De-activate - Allows the approving user to change a user's status to 'Inactive'



It is important for the approving user to carefully review the name of the registering user and the requested jurisdiction(s) to ensure that only those authorized to enter data for those grantee(s)/subgrantee(s) are approved.

### 2.1.2.2 Users Module - User Detail Tab

To review additional information regarding the user, the approving user can enter the User Detail tab by clicking a user's name. If the user wishes to view their own user information, they can click their name, or click the User Detail tab. The User Detail page will display a user's status, contact information, role, organizational assignment, and user permissions. Additionally, users can see who last activated their account by reviewing the box labeled "last activated by."

Under the User Status section of the User Detail tab, an approving user can change the status of the user. Once the status is changed, the user should click the 'Save' button to update the user's status.

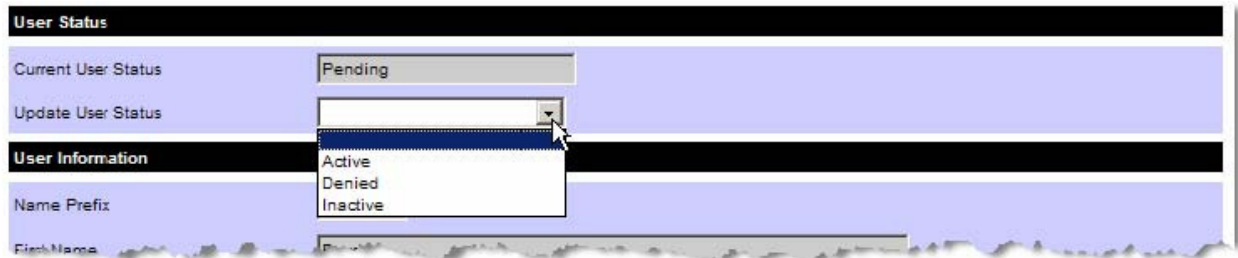
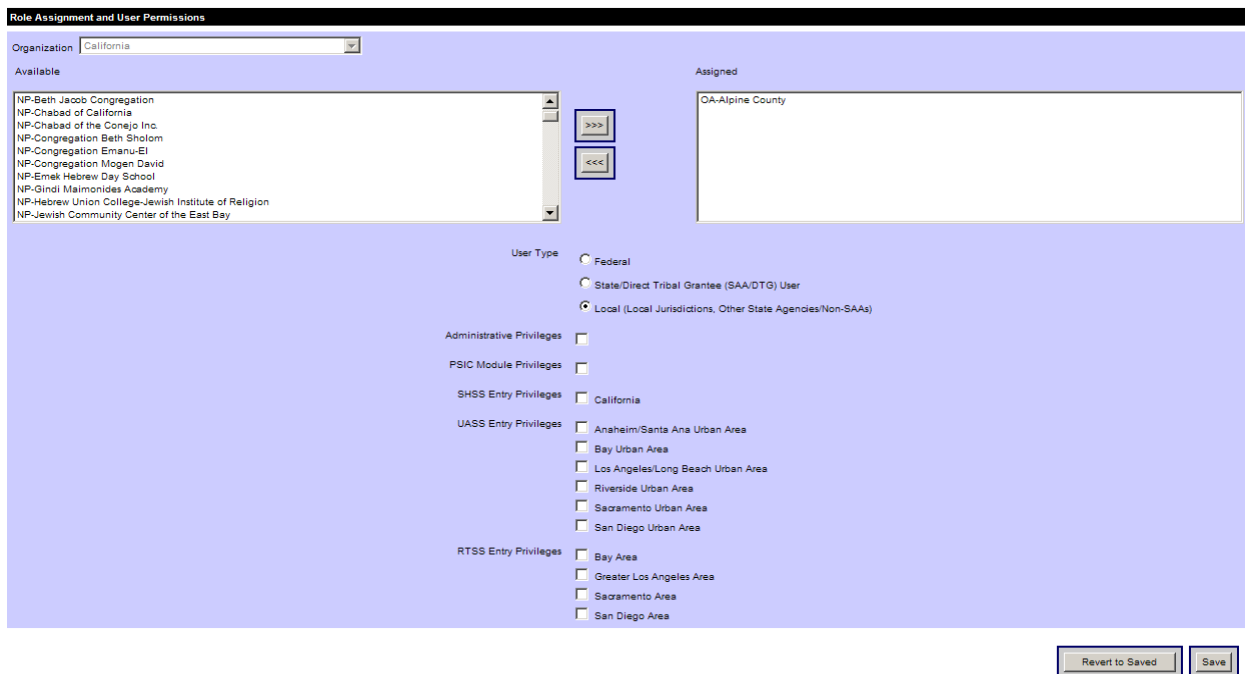


Figure 16: User Status

As displayed below, the approving user can assign Administrative privileges, PSIC Module privileges, or Strategy related roles to the user. In order to have state and local users accessing the PSIC module, SAA Admins will be responsible for assigning their users PSIC module privileges. Per user feedback, State, Urban Area and Regional Transit Area strategies can also be assigned directly to specific users involved in updating those strategies. Users can be given entry privileges for State Homeland Security Strategies (SHSS), Urban Area Security Strategies (UASS) and/or Regional Transit Security Strategies (RTSS).



### Strategy module Role Assignments for SHSS, UASS and RTSS



SAA or Local users seeking Strategy module privileges are asked to contact their Program Analysts with the request. Requests should include the type of entry privilege required: SHSS, UASS (by Urban Area) or RTSS (by Regional Transit Area).

All users pending approval will show 'Pending' in the status column. Once the user has been changed by their Admin user to an active status, 'Active' will appear in the status column and those users are then able to login into the GRT.

Name	Grantee/Subgrantee	Account Type	Username	Status
<input type="checkbox"/> <a href="#">Smith, Lance</a>	Sample Subgrantee	Local Admin	JohnDoe1	Active
<input type="checkbox"/> <a href="#">Smith, Ross</a>	Sample Subgrantee	Local Admin	JohnDoe2	Denied
<input type="checkbox"/> <a href="#">Smith, Aimee</a>	Sample Subgrantee	Local User	JohnDoe3	Inactive
<input type="checkbox"/> <a href="#">Smith, Tom</a>	Sample Subgrantee	Local Admin	JohnDoe4	Active
<input type="checkbox"/> <a href="#">Smith, Tim</a>	Sample Subgrantee	Local Admin	JohnDoe4	Active

Figure 17: User Approval – User Status

The following tables highlight the User Roles and Rights within the GRT as it pertains to the creation and approval of users:

User Roles	User Rights
Federal User/Admin	Review (in read-only mode) SAA submissions to FEMA Approve SAA/DTG submissions to FEMA Approve additional FEMA, SAA/DTG, and Local users Generate Reports
State (SAA)/ Direct Tribal Grantee (DTG) Admin User	Input, certify, and mark complete Investment Justification data Review SAA/DTG and subgrantee reports Approve SAA/DTG and subgrantee reports and submit to FEMA for approval Create and manage other SAA/DTG users Create and manage subgrantees Create and manage Local users Input Grant Funding data Enter subgrantee award(s) Generate Reports
State (SAA) User	Input Investment Justification data Input Grant Funding data Review (in read-only mode) subgrantee submissions to SAA/DTG Enter subgrantee award(s)
Local Admin User	Input Investment Justification data Input Project Funding data Review subgrantee report Submit subgrantee report to SAA/DTG for review and approval Create and manage Local users
Local User	Input Investment Justification data Input Project Funding data
IJ Scorer	Score PSIC and HSGP IJs and submit scores to FEMA

User Status	Definition
Active	Indicates that a user currently has login access to the system
Pending	Indicates that a user has recently requested access to the GRT
Denied	Indicates that a user account has been requested, but denied
Inactive	Indicates that a user account has not been used within 45 days
Locked	Indicates that a user account is currently inaccessible. An account may be locked because a user entered an incorrect password three or more times. Users with admin rights carry the privilege to unlock these users

## Section 2.2 Login

The first time a user successfully logs into the system, they will receive the GRT Rules of Behavior. The Rules of Behavior remind users that they shall be held accountable for their actions related to the information resources entrusted to them. Users must comply with the rules or risk losing their privileges and/or disciplinary action for failure to comply with these responsibilities.

### General Rules of Behavior for Users of Grants Reporting Tool (GRT)

#### 1.0 INTRODUCTION

Persons with access and accounts on the Grants Reporting Tool (GRT) shall be held accountable for their actions related to the information resources entrusted to them. These personnel must comply with the following rules or risk losing their privileges and/or be subject to disciplinary action for failure to comply with these responsibilities. The Rules of Behavior apply to users at their primary workplace and at any alternative workplaces (e.g., telecommuting from home or from a satellite site). They also apply to users on official travel. These Rules of Behavior are consistent with existing DHS policies and DHS Information Technology (IT) Security directives, and are designed to enhance the awareness of each user's responsibilities.

#### 2.0 APPLICATION RULES

##### 2.1 Official Use

- The GRT system is a federal application to be used only in the performance of the user's official duties for grant distribution and reporting.
- The use of the GRT application and resources for unauthorized activities is prohibited and could result in the loss of privileges and/or other disciplinary action.
- By using the GRT system, the user consents to system usage monitoring to ensure appropriate usage for public safety is being observed.

##### 2.3 User Accounts and Passwords

- All GRT users must have unique user account IDs which cannot be the user's social security number. To protect against unauthorized access, passwords linked to the user ID are used to identify and authenticate authorized users.
- I understand that accounts and passwords shall not be transferred or shared for any reason. Sharing of user IDs and passwords is prohibited.
- The selection of passwords must be complex and include:
  - Eight to Fifteen characters
  - Combination of upper and lower case letters
  - Numbers and special characters.
- I understand that passwords must not contain names, repetitive patterns, dictionary words, product names, personal identifying information (e.g., birthdates, SSN, phone number), and must not be the same as the user ID.
- I understand that sharing or disclosing passwords or writing passwords down on a medium that is accessible by others is prohibited.
- To prevent others from obtaining my password via "shoulder surfing," I will shield my keyboard from view as I enter my password.
- Users are required to change their passwords at least once every 90 days.
- I understand that I must promptly change a password whenever the compromise of my password is known or suspected.
- A password may only be entered incorrectly 3 times within a 24 hour time period before the account is locked. If an account is locked, the user must contact the BSIR Helpdesk for assistance.

##### 2.4 Integrity Controls

- All computer workstations accessing the GRT must be protected by anti-virus software. Virus scans must be performed on a periodic basis and when notified by anti-virus software.
- I agree to comply with all software copyrights and licenses.
- I understand on computer workstations that are accessing the GRT, I will:
  - Physically protect computing devices used to access GRT
  - Protect sensitive data used on the GRT system.
  - Not use peer-to-peer (P2P) file sharing, which can provide a mechanism for spreading of viruses and put sensitive information at risk.
- I will not provide personal or official information solicited by e-mail. If I receive e-mail messages from any source requesting personal information or asking to verify accounts, I will report this to my SAA and send the questionable e-mail to the BSIR Helpdesk.
- I will protect sensitive information from disclosure to unauthorized persons or groups and will maintain control over, protect and mark sensitive Government material and resources appropriately. I agree to destroy physical documents and electronic media that may contain GRT information. Sensitive but Unclassified (SBU) or For Official Use Only (FOUO) information by physical destruction (including pulping and shredding), and degaussing or media sanitization methods which meet DoD standards.

##### 2.5 System Access

- I will not attempt to access data or applications I am not authorized to access.
- I will not provide or knowingly allow other individuals to use my account credentials to login to the GRT.
- To prevent and deter others from gaining unauthorized access to sensitive GRT resources, I will log off or lock my computer workstation or will use a password-protected screensaver, whenever I step away from my work area, even for a short time; I will log off when I leave for the day.
- I will not engage in, encourage, or consoal any hacking or cracking, denial of service, unauthorized tampering, or unauthorized attempted use of (or deliberate disruption of) any data or component within the GRT.
- I will not attempt to bypass access control measures.
- I agree to inform my management when access to GRT or a particular computer resource is no longer required.
- I agree that I have completed Computer Security Awareness training prior to my initial access to GRT and that as long as I have continued access to GRT, I will complete Computer Security Awareness training on an annual basis.

##### 2.6 Telecommuting (Working at Home, at a Satellite Center/Office or Contractor Facility)

- Every GRT user is responsible for remote access security as it relates to their use of the GRT application and shall abide by these Rules of Behavior.
- I will protect sensitive data at my alternate workplace. This includes properly disposing of sensitive information (e.g., by shredding).
- I agree not to use wireless connections to transmit GRT information and data unless it is encrypted end-to-end using a FIPS-validated cryptographic module. I will physically protect any laptops or PEDs I use for telecommuting when they are not in use.
- I understand and will comply with the requirement that sensitive information stored on any laptop computer used in a residence or on travel shall be encrypted using FIPS 140-2 Security Requirements for Cryptographic Modules approved encryption.

##### 2.7 Accountability

- I understand that I have no expectation of privacy while using any services or programs provided by GRT.
- I understand that I will be held accountable for my actions while accessing and using the GRT and connected system and IT resources.

##### 2.8 Incident Reporting

- I will promptly report IT security incidents, or any incidents of suspected fraud, waste or misuse of systems to the appropriate officials.

##### 3.0 GRT Rules of Behavior Statement of Acknowledgement

*I have read and agree to comply with the requirements of the GRT Rules of Behavior. I understand that the terms of this agreement are a condition of my initial and continued access to the GRT and related services and that if I fail to abide by the terms of these Rules of Behavior, my access to any and all GRT information systems may be terminated and that action, up to and including legal action, may be instituted against me. I have read and presently understand the above conditions and restrictions concerning my access to the GRT.*



Figure 18: Rules of Behavior

Upon agreeing to the Rules of Behavior, the Welcome! page will display. The Welcome! page will be the opening page upon logging in for every subsequent login. On the Welcome! page, the user logged in will see their username displayed at the top left-hand corner of the application.

The Welcome! page is split into three sections. A welcome message is displayed at top, followed by documentation and news. The documentation section provides supporting documentation (guidance and overview documents) on using the GRT application. The drop-down list displays the Overview Documents listed below. Users may select the desired document from the appropriate drop-down. The file will open in a new window. The news section will display brief news items regarding the application. For example, if the system is scheduled for an updated release or maintenance, it will be noted in the news.

The Documentation section is split under two categories: Guidance Documents and Overview Documents. Listed below is a chart of all the available Guidance and Overview Documents.

Guidance Documents	Overview Documents
GRT Super User's Guide (For Super Users only)	ISIP Overview
GRT User's Guide	Close-out Overview
Frequently Asked Questions	Data Ports Overview ( <i>Fed user only</i> )
What's New in the Latest Version	Funding Overview
	Getting Started Overview
	Investment Module Overview
	Organization Overview
	Reporting Overview
	Welcome! News Overview ( <i>Fed user only</i> )
	Workflow Overview
	Strategy Module Overview
	IJ Submission Module Overview
	PSIC Module Overview
	GRT Reporting Lifecycle Overview
	PSIC Close-Out Overview

Figure 19: Documentation Chart

References for the help desk are also provided on the Welcome! page. The help desk can be reached toll-free at 1-800-865-4076 or through e-mail at [gmd-systems-branch@fema.dhs.gov](mailto:gmd-systems-branch@fema.dhs.gov).

The examples below display the SAA view and a Subgrantee view.

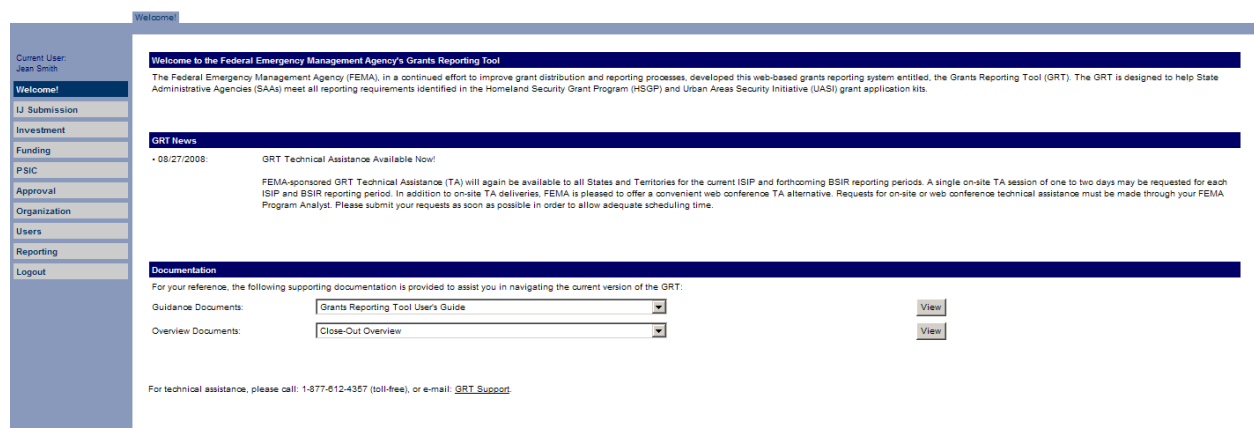


Figure 20: SAA View

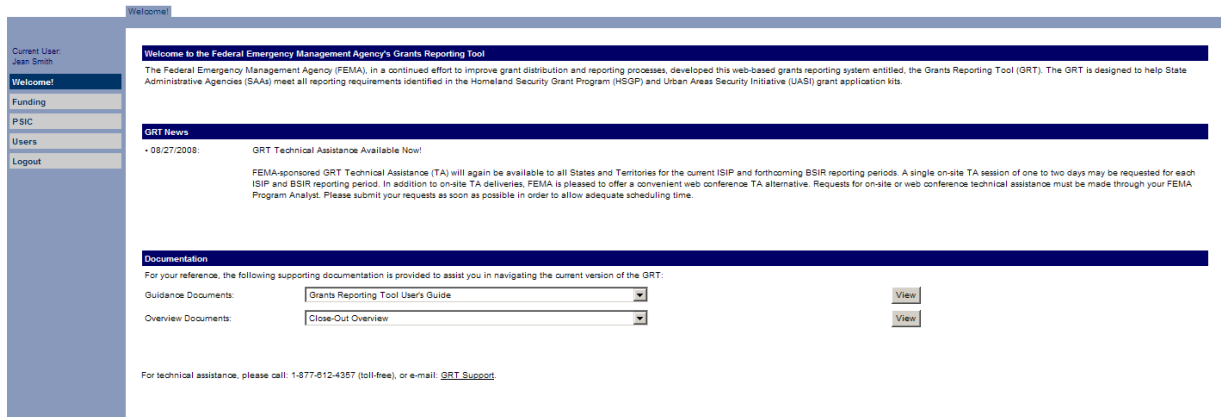


Figure 21: Subgrantee View

## Section 2.3 Organization

In the Organization module, SAA/DTG users define the entire grantee/subgrantee population for a specific grant-reporting period. The Organization module is divided into five tabs: Organizations, Subgrantee, Subgrantee Detail, Add Subgrantee, and Organization Options. The Organizations tab is accessible to Fed users only, and will list all the State names, as depicted below. From this point, the SAA/DTG user must click on the state name to be taken to the full list of subgrantees receiving funding for a defined reporting period.

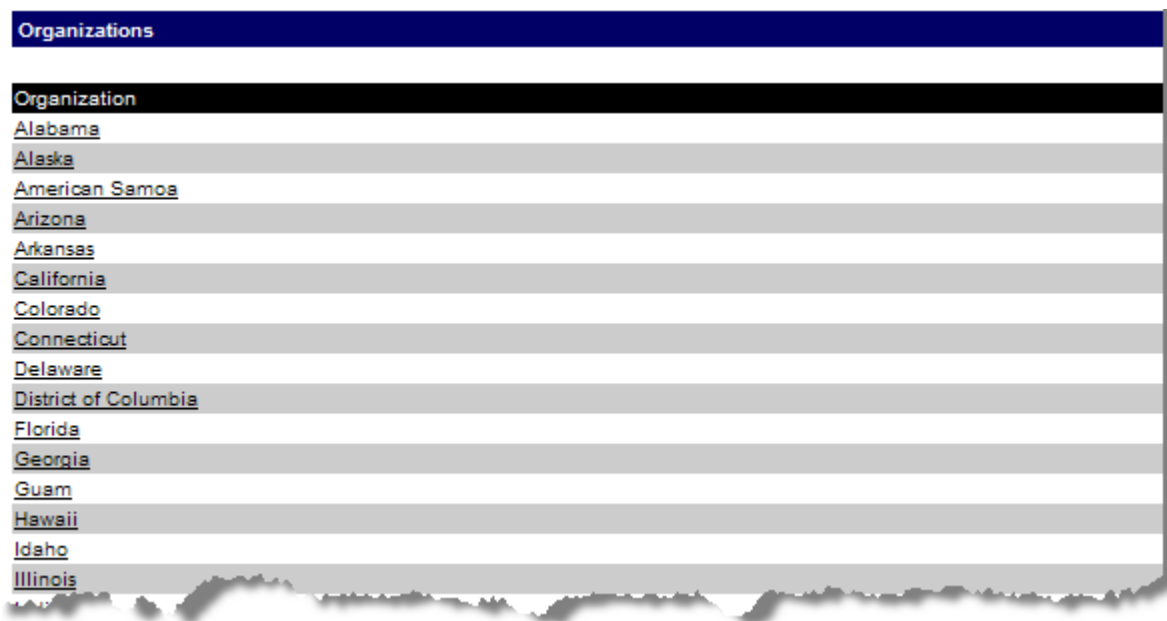


Figure 22: Organizations Page

Once the Fed user clicks on their state name, the Subgrantee tab opens. This tab displays all subgrantees that the SAA/DTG user may view and/or edit.

The list of state subgrantees is displayed with their grantee type, as well as the last date the subgrantee was updated. Only 100 will be displayed at one time on the Subgrantee List Screen. When there are more than 100 subgrantees, the continued list can be viewed by clicking the 'Next' hyperlink on the bottom of the page.



Grantees/Subgrantees for State X		
Grantee/Subgrantee	Grantee Type	Last Updated
<input type="checkbox"/> Sample Subgrantee	Other State Agency (Non-SAA)	2/2/2006
<input type="checkbox"/> Sample Subgrantee	Other State Agency (Non-SAA)	5/12/2005
<input type="checkbox"/> Sample Subgrantee	Other State Agency (Non-SAA)	2/21/2006
<input type="checkbox"/> Sample Subgrantee	Other State Agency (Non-SAA)	2/22/2006
<input type="checkbox"/> Sample Subgrantee	Other State Agency (Non-SAA)	5/11/2005
<input checked="" type="checkbox"/> Sample Subgrantee	Local Jurisdiction / Entity	4/5/2005
<input type="checkbox"/> Sample Subgrantee	Local Jurisdiction / Entity	2/16/2006
<input type="checkbox"/> Sample Subgrantee	Local Jurisdiction / Entity	7/21/2005
<input type="checkbox"/> Sample Subgrantee	Local Jurisdiction / Entity	2/21/2006

Reporting Period: [ALL]

Check All - Clear All

first | previous | [1] | [2] | **next** | last

Remove

Figure 23: Subgrantee Page

### 2.3.1 Editing Subgrantee Information

SAA/DTG users are able to edit the contact information for each of their respective subgrantees. To access this feature, SAA/DTG users should click on the name of the subgrantee they wish to update. This will take the SAA/DTG user into the Subgrantee Detail tab. The SAA/DTG user should then update any of the fields and click 'Update' to update their changes to the system.



Any other user who has the rights to view the new subgrantee will also be able to edit the contact information. This feature is especially important to the subgrantees as they may want to edit and correct information that the state has entered on their behalf. For example, the SAA/DTG may not know the exact phrasing of a subgrantee's legal agency name or jurisdiction name and the subgrantee may wish to change it.

Within the Subgrantee Detail, there is a reporting period indicator to specify which reporting periods are relevant to the respective subgrantee. This option is available to prevent SAA/DTG users from adding and deleting the same subgrantees over the course of many reporting periods. It is often the case that one subgrantee will receive funding in FY04 but not in FY05. In this situation, the subgrantee cannot be deleted, but rather the reporting period box should be unchecked. Likewise, a new subgrantee should not be created for this reporting period. The existing subgrantee should be used, and the appropriate reporting periods marked.

If a Reporting Period is unable to be changed, it will be marked in gray. The Reporting Period selectors can be gray for one of two reasons:

- The subgrantee was funded during the reporting period.
- The state has already been approved by FEMA and the reporting period is now closed.

Subgrantees that have not submitted through review and approval may change their reporting period association.



If the subgrantee was funded in a previous reporting period but is not being funded in the current reporting period, the funding MUST be re-allocated to other subgrantees.

**Grantee/Subgrantee Detail**

Legal Agency Name:

Legal Grantee/Subgrantee Name:

Grantee Type:

DUNS:

Contact Name:

Contact Email:

Contact Phone Number:  Ext.

Mailing Zip Code:

Reporting Period:

- 2004 Close-Out
- 2005 Close-Out
- 2004 ISIP
- 2004 BSIR (December 04)
- 2005 ISIP
- 2004 BSIR (June 05)
- 2005 BSIR (June 05)
- 2004 BSIR (December 05)
- 2005 BSIR (December 05)
- 2004 BSIR (June 06)
- 2005 BSIR (June 06)
- 2006 ISIP
- 2004 BSIR (December 06)
- 2005 BSIR (December 06)
- 2006 BSIR (December 06)
- 2004 BSIR (June 07)
- 2005 BSIR (June 07)
- 2006 BSIR (June 07)
- 2007 ISIP
- 2004 BSIR (December 07)
- 2005 BSIR (December 07)
- 2006 BSIR (December 07)
- 2007 BSIR (December 07)
- 2004 BSIR (June 08)
- 2005 BSIR (June 08)
- 2006 BSIR (June 08)
- 2007 BSIR (June 08)
- 2008 ISIP
- 2004 BSIR (December 08)
- 2005 BSIR (December 08)
- 2006 BSIR (December 08)
- 2007 BSIR (December 08)
- 2008 BSIR (December 08)
- 2009 BSIR (December 09)
- 2004 BSIR (June 09)
- 2005 BSIR (June 09)
- 2006 BSIR (June 09)
- 2007 BSIR (June 09)
- 2008 BSIR (June 09)
- 2009 BSIR (June 09)

Figure 24: Subgrantee Detail Page

### 2.3.2 Adding Subgrantees

The SAA/DTG may add subgrantees as they see fit, however, a subgrantee only needs to be created once. The same subgrantee name can be used across reporting periods and fiscal years. Once the SAA/DTG user adds a new subgrantee, it will appear in the state's master list.

In order to add additional subgrantees to the system, the SAA/DTG should click the Add Subgrantee tab on the top menu of the Organization page. The SAA/DTG user will enter in the name of the new subgrantee they are creating, along with the appropriate details. If applicable, the user will also need to select the appropriate Urban Area from the Urban Area drop-down list box. Grantee/subgrantee information such as e-mail, phone, zip code, and DUNS are now being validated for accuracy in format and content.

### 2.3.3 Subgrantee Detail

Each grantee/subgrantee receiving UASI funding must be aligned to an Urban Area. This association is important because it will enable users to select the appropriate Urban Area Goals & Objectives for their projects which are allocated UASI funding. Once the Urban Area association is made, it will carry over for future reporting periods. Grantees/Subgrantees with Urban Area associations and/or those residing within the boundaries of an urban area regardless of the receipt of funding are required to select an urban area. Users needing clarification on which urban area applies to them should contact their FEMA Program Analyst.

In order to view Regional Transit Security Strategies (RTSS), a Regional Transit Area must be associated with each subgrantee in the Subgrantee Detail tab. If a subgrantee is not associated with a Regional Transit Area, their respective SAA user can associate them with the appropriate area.

### 2.3.4 Subgrantee UA/RTA Tab

The Subgrantee UA/RTA tab allows users to assign an Urban Area and Regional Transit Area (if applicable) to each individual grantee/subgrantee. Upon selecting a grantee/subgrantee from the Subgrantee Tab, users may choose to edit the Urban Area and/or Regional Transit Area assignment for that particular grantee/subgrantee. The assigned Urban Area/Regional Transit Area will be applied for the grant award year selected in the drop-down menu.



The Urban Area and Regional Transit Area drop-down menus will appear disabled (grayed-out) if the grantee/subgrantee already has either strategy or investment data aligned to it using the selected Urban Area/Regional Transit Area. This feature was put in place to prevent data from being misaligned.

**Urban Area / Regional Transit Area Assignment**

Grant Award Year

**Accomack County**

Urban Area

Regional Transit Area

Figure 25: Subgrantee UA/RTA Page

### 2.3.5 Organization Options

The Organization Options tab allows for the SAA/DTG Admin users to make changes to their state's/territory's options. SAA/DTG Admin users may designate their preference as to whether or not their funding data should be captured and tracked in whole dollars or to the cents. This option can only be selected at the state/territory level and will be used consistently across all grantees within that state/territory. This will help to ensure that funding information ties out accurately. In order to set the preference for this option, users should enter preferred funding format (whole dollars vs. cents) the Organization Options tab. While it is not recommended that they make changes to a state's preferences, Fed users have the ability to make state option changes to all states/territories.

SAA/DTG Admin users may also grant local users the ability to edit grantee/subgrantee award amounts. The funding data award amount (whole dollar amounts vs. cents to two decimal places) preferences are based on the previously chosen format. By default, local users will not be enabled to edit grantee/subgrantee award amounts.

Organization Options for State X

Allow local users to edit grantee/subgrantee award amounts

Funding with two decimals

Revert to Saved Save

Figure 26: Organization Options Page



## CHAPTER 3

# THE ISIP REPORTING PERIOD

## Sections

- 3.1 ISIP Reporting Period Introduction
- 3.2 Grantees/Subgrantees
- 3.3 Investment Module
- 3.4 Approval Module

## Section 3.1 ISIP Reporting Period Introduction

### 3.1.1 ISIP vs. All Other Reporting Periods

The ISIP is the first reporting period of the fiscal year grant reporting cycle. This reporting period will be followed by five additional submissions over the course of the period of performance – four Biannual Strategy Implementation Reports (BSIRs) and a final Close-out reporting period (see figure below). Through the ISIP, SAA users will report planned expenditures of the newly awarded grants by the federally mandated due dates.

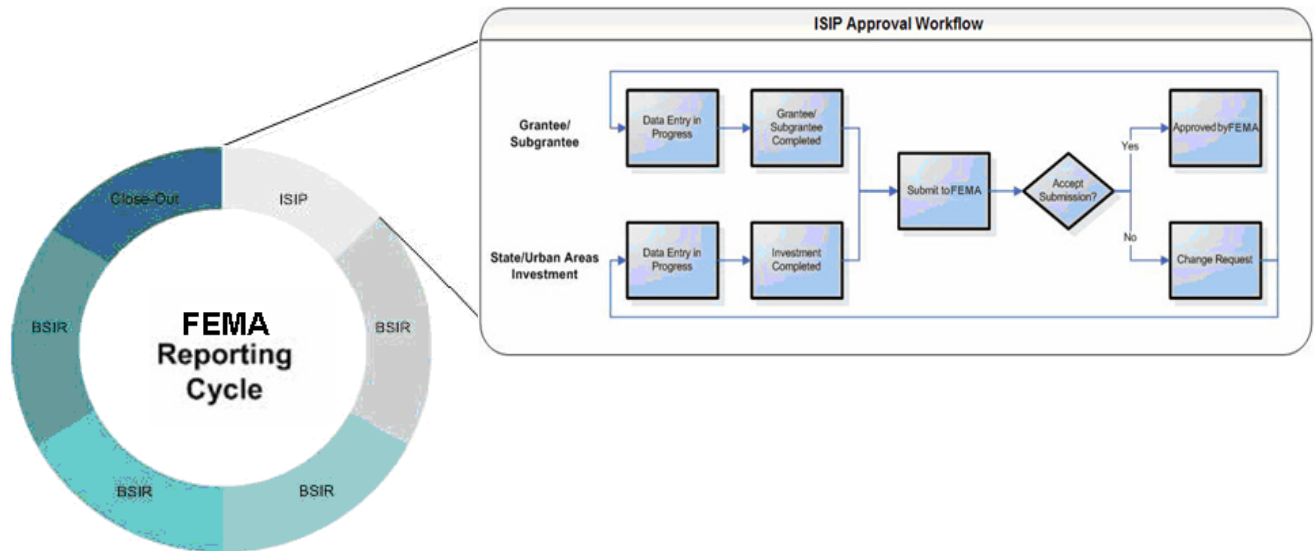


Figure 27: ISIP Reporting Period

### 3.1.2 ISIP Reporting Requirements

#### 3.1.2.1 FEMA Grant Programs

Submission of the ISIPs satisfies the reporting requirements identified in the FEMA Grant Programs. All funds provided to the SAA through these grant programs must be accounted for and linked to one or more projects. This in turn must support specific goals and objectives in the State Homeland Security Strategy (SHSS) and where appropriate, the Urban Area Security Strategies (UASS) and Regional Transit Security Strategies.

#### 3.1.2.2 ISIP Submission Requirements

The SAA user is responsible for the submission of the ISIP report to FEMA. The final submission will include an SAA-level report (if the SAA user retains a portion of funding) and one for every local jurisdiction, state agency, or non-governmental organization receiving a subgrant. Specifically, the number of ISIPs to be completed correlates to the number of subgrants awarded by the SAA user; one ISIP per subgrant must be completed in addition to one ISIP for funding retained by the SAA user.

#### 3.1.2.3 SAA Roles and Responsibilities

The SAA user is responsible for providing FEMA with an ISIP to account for all HSGP, TSGP, and UASI grant funding. The submission of the ISIP will include one report that reflects funds retained by the SAA, and one for each grant made to local jurisdictions and other state agencies. Several options are available to the SAA user for ensuring that the required reports are completed. This process is illustrated in the figure below:

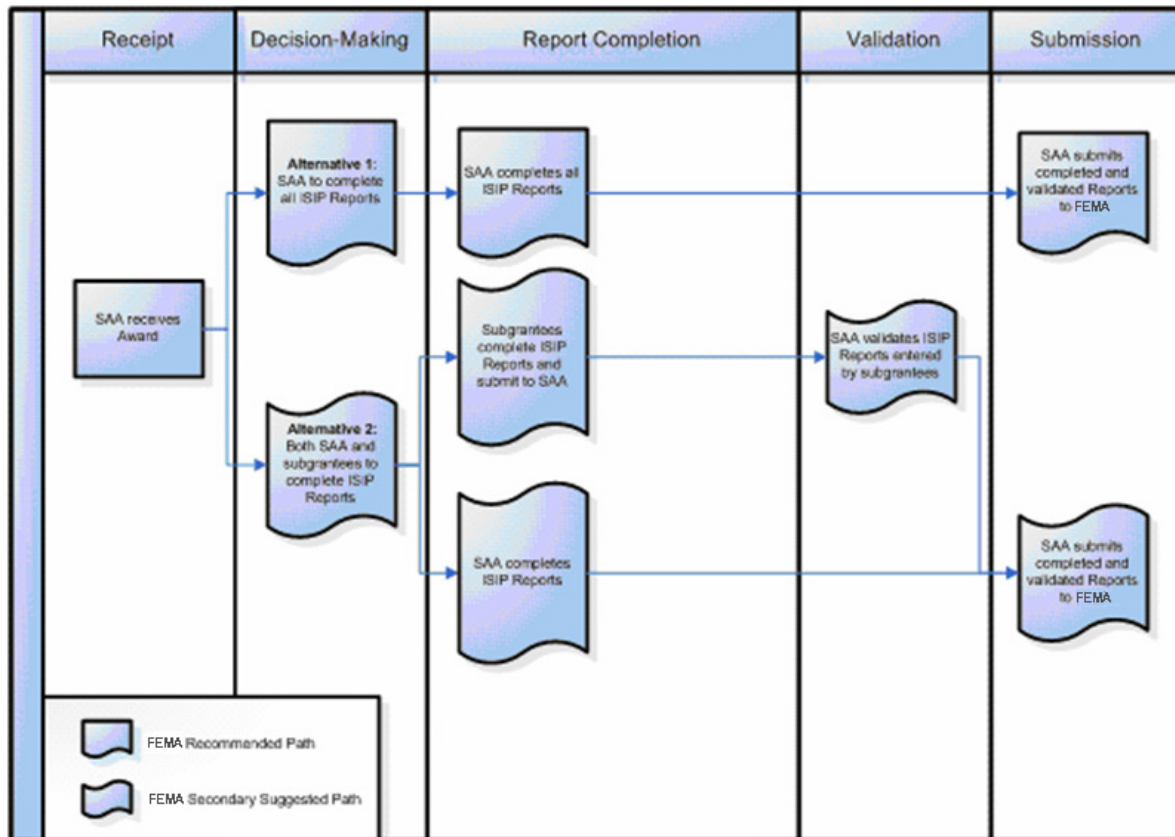


Figure 28: Decision Matrix for the completion & submission of the ISIP



As outlined in the FEMA Grant Programs, only SAAs and Subgrantees that received funds should complete ISIP reports. Funding from previous fiscal years or from programs other than the HSGP or UASI should not be included in these reports.

## Section 3.2 Grantees/Subgrantees

### 3.2.1 Unassigning Subgrantees

Grantees/Subgrantees can be unassigned from a reporting period in one of two ways. As discussed earlier, the grantee/subgrantee can be removed from a reporting period from the Subgrantee Detail tab of the Organization module. The grantee/subgrantee can only be unassigned from the reporting period if funding has not been allocated to this grantee/subgrantee for the selected reporting period. The reporting period must also still be open for this state (submission has not already been approved by FEMA).

From the Subgrantees tab, SAAs can select all grantees/subgrantees that they wish to remove from the reporting period (via a checkbox) and then click the 'Unassign from ISIP' button to remove these non-applicable grantees/subgrantees from the current ISIP Reporting Period.

Organizations | **Subgrantees** | Subgrantee Detail | Subgrantee UA/RTA | Add Subgrantee | Organization Options

Current User: Jean Smith

Welcome!

Admin

I/J Submission

Investment

Scoring

Funding

PSIC

Approval

Organization

**Grantees/Subgrantees for Delaware**

Grant Award Year: [ALL] Reporting Period: [ALL]

Grantee/Subgrantee	Grantee Type	Last Updated
<input type="checkbox"/> Delaware Emergency Management Agency	SAA/DTG	6/15/2005
<input type="checkbox"/> Delaware Emergency Management Agency (SAA)	SAA/DTG	10/11/2012
<input type="checkbox"/> Entire State of Delaware	Local Jurisdiction / Entity	10/11/2012
<input type="checkbox"/> test agency by jason	Local Jurisdiction / Entity	10/11/2012

Check All - Clear All

Remove

Figure 29: Subgrantee Tab



Note: Unassigning grantees/subgrantees from the ISIP reporting period does not remove the grantees/subgrantees permanently from the system, rather only from the reporting period.

### 3.2.2 Adding Subgrantees

If the SAA user would like to include additional subgrantees for the ISIP reporting periods, they can do so in the Organization module. If the subgrantee has never been entered for their state/territory before, they can create a new entry using the Add Subgrantee tab of the Organization module.

If the subgrantee has been used previously for the state, the user should find the subgrantee on the list from the Subgrantee tab of the Organization module, and click on the subgrantee name. The Subgrantee Detail tab will be display where the user can select the desired reporting period to add.



Organizations | Subgrantees | Subgrantee Detail | Subgrantee U/ARTA | Add Subgrantee | Organization Options

Current User: Jean Smith

Welcome!

Admin

IJ Submission

Investment

Scoring

Funding

PSIC

Approval

**Organization**

Users

Reporting

Strategy

Logout

---

**Grantee/Subgrantee Detail**

Legal Agency Name: Alabama Department of Homeland Security

Legal Grantee/Subgrantee Name: [Redacted]

Grantee Type: SAA/DTG

DUNS: 555555555 5555

Contact Name: James Nunn

Contact Email: blumberg\_nathan@bah.com

Contact Phone Number: 555-555-1212 Ext. [Redacted]

Mailing Zip Code: 36130

Reporting Period:

- 2004 Close-Out
- 2005 Close-Out
- 2011 BSIR (June 13)
- 2012 BSIR (June 13)
- 2013 ISIP
- 2008 BSIR (December 13)
- 2009 BSIR (December 13)
- 2010 BSIR (December 13)
- 2011 BSIR (December 13)
- 2012 BSIR (December 13)

Figure 30: Subgrantee Detail Tab

### 3.2.3 Grantee/Subgrantee Completion

After a new subgrantee has been added, the SAA user should proceed to fund the subgrantee by entering the Funding module.

Upon entering the Funding module, the SAA user should select the ISIP reporting period from the drop-down list box and click on the newly created subgrantee from the list. This will pull the SAA user into the Award tab. Within the Award tab, the SAA user should enter the award information for the subgrantee. Upon ensuring that all self-checks and validations have been met, the SAA user can re-enter the Recipients tab and mark the grantee/subgrantee as completed. Once marked, the grantee/subgrantee status will change to 'Grantee/Subgrantee Completed'.

Grantees/Subgrantees for Alaska				
Grant Award Year	2013	Reporting Period	ISIP	
Grantee/Subgrantee	Grantee Type	Total Award	Status	Last Updated
<input type="checkbox"/> City and Borough of Juneau	Local Jurisdiction / Entity		Data Entry In Progress	06/11/2013
<input type="checkbox"/> City of Cordova	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> City of Craig	Local Jurisdiction / Entity		Data Entry In Progress	08/05/2013
<input type="checkbox"/> City of Fairbanks	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> City of Houston	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> City of Ketchikan	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> City of Kodiak	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> City of North Pele	Local Jurisdiction / Entity		Data Entry In Progress	08/05/2013
<input type="checkbox"/> City of Palmer	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> City of Unalaska	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> Fairbanks North Star Borough	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> Kenai Peninsula Borough	Local Jurisdiction / Entity		Data Entry In Progress	06/11/2013
<input type="checkbox"/> Kodiak Island Borough	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013
<input type="checkbox"/> Matanuska-Susitna Borough	Local Jurisdiction / Entity		Data Entry In Progress	06/11/2013
<input type="checkbox"/> Municipality of Anchorage	Local Jurisdiction / Entity		Data Entry In Progress	06/11/2013
<input type="checkbox"/> North Slope Borough	Local Jurisdiction / Entity		Data Entry In Progress	02/21/2013

[Check All On This Page](#) - [Clear All](#)

Figure 31: Recipients Tab for 2013 ISIP

### 3.2.4 Grantee/Subgrantee Workflow

The figure below displays an overview of the grantees/subgrantees workflow:

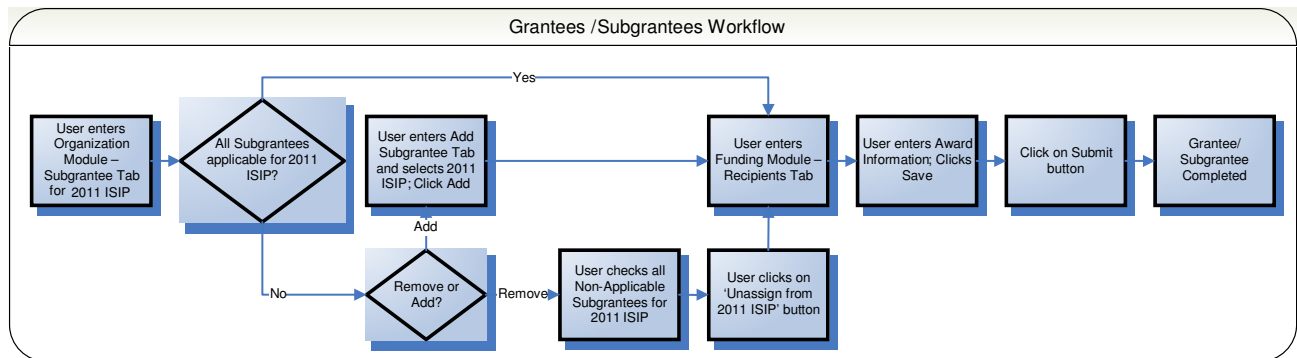


Figure 32: Grantees/Subgrantees Workflow

## Section 3.3 GRT Functionality – Investment Module

### 3.3.1 Investment Module Background

The purpose of the Investment module is for the SAA user to review the collected grant data. States and Urban Areas were required to submit an IJ template outlining their state/territory money allocations. All of this data was collected, compiled, and loaded into the GRT.

### 3.3.2 Investment Module Process

The Investment module allows for Post-Award analysis and state allocation of FEMA awarded funds. The figure below displays the general process that users will follow within the Investment module.

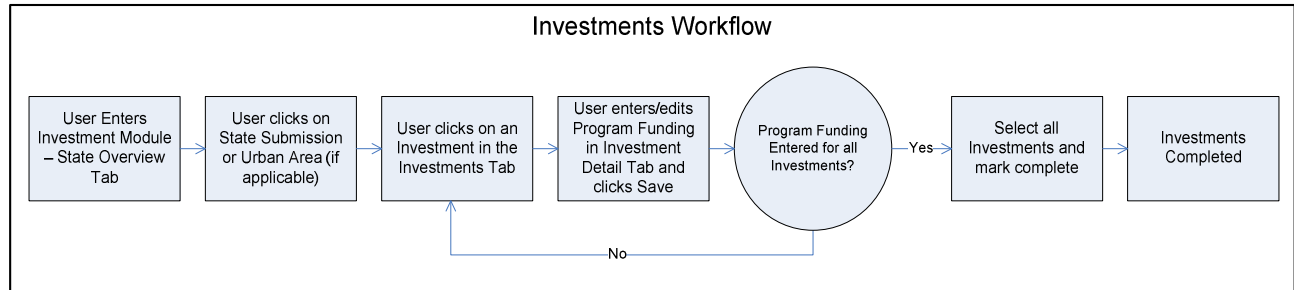


Figure 33: 2012 ISIP Investment Module Process

### 3.3.3 Investment Module Breakdown

In the Investment module, Fed and SAA users will examine and amend all the grant data for their respective state/territory. Once the user clicks on the link for the Investment module, the Federal Overview tab (or State Overview tab for SAA users) will display.

The Investment module tabs include the following:

- ❖ Federal Overview (Fed users only)
- ❖ State Overview
- ❖ Investments
- ❖ Investment Detail

#### 3.3.3.1 Federal Overview Tab

Designed specifically for Fed users, the Federal Overview tab displays the Estimated, Awarded, and Reported amounts for all states/territories within the selected ISIP Reporting Period. The page will display the workflow and self-check statuses of the investments for all states/territories.

The Federal Overview tab displays all states/territories (as a hyperlink), the Total Requested amount, Total HSGP Awarded amount, Total HSGP Reported amount, workflow Status, and the Investments Self-Check.

If there aren't any funding/self-check errors detected, then the Investments Self-Check will reflect an 'OK' status. If the self-check is in 'ERROR' status, there is at least one self-check or validation error. To determine why the self-check is displayed in 'ERROR' status, click the state/territory name and proceed to the State Overview tab.

State/Territory	Total HSGP Proposed	Total HSGP Awarded	Total HSGP Reported	Status	Investments Self-Check
Alabama	\$3,286,396.00	\$3,459,364.00	\$3,286,396.00	Data Entry In Progress	ERROR
Alaska	\$3,286,396.00	\$3,459,364.00	\$3,286,396.00	Data Entry In Progress	ERROR
American Samoa	\$751,176.00	\$790,712.00	\$751,176.00	Data Entry In Progress	ERROR
Arizona	\$4,401,014.00	\$9,472,417.00	\$4,401,014.00	Data Entry In Progress	ERROR
California	\$1,110.00	\$168,238,685.00	\$1,110.00	Data Entry In Progress	ERROR
Delaware	\$0.00	\$3,459,364.00	\$0.00	Data Entry In Progress	ERROR
Guam	\$751,035.00	\$790,712.00	\$751,035.00	Data Entry In Progress	ERROR
Illinois	\$2.00	\$81,950,798.00	\$2.00	Data Entry In Progress	ERROR
Maryland	\$30,010,000.00	\$10,825,727.00	\$30,010,000.00	Data Entry In Progress	ERROR
Michigan	\$5,989,935.00	\$11,289,215.00	\$5,989,935.00	Data Entry In Progress	ERROR
Montana	\$6,195,298.00	\$3,459,364.00	\$6,195,298.00	Data Entry In Progress	ERROR

Figure 34: Federal Overview Tab

### 3.3.3.2 State Overview Tab

The State Overview tab is the default tab within the Investment module for all SAAs. Within the page, the option exists to select different views via a drop-down list box. The different views are the Submissions and Funding Validation views.

#### 3.3.3.2.1 Submissions View

The default view within the State Overview tab is the Submissions view. This page displays the SAA user's state and urban areas (if available), its Total HSGP Estimated amount, Total HSGP Reported amount, Status of investments, and an overall Investments Self-Check for the selected ISIP reporting period. If the Investments Self-Check is in 'OK' status, then the state/territory has no funding or self-check errors within the validation criteria for investments. If the self-check is in 'ERROR' status, there is at least one self-check or validation error. To determine why the self-check is displayed in 'ERROR' status, select State Funding Validation from the select option drop-down list box.

Submission	Total HSGP Proposed	Total HSGP Reported	Status
State Submission	\$10.00	\$10.00	Data Entry In Progress
Sacramento Urban Area	\$1,100.00	\$1,100.00	Data Entry In Progress

Figure 35: State Overview Tab – Submission View

#### 3.3.3.2.2 Funding Validation View

The second view within the State Overview tab is the Funding Validation view. This page displays a chart that displays funding for all grant programs broken down by each investment and for the state and urban area submissions (if applicable). The funding total for each investment is automatically calculated in the last column while the Total HSGP Reported amount for each grant program is calculated across the bottom of the chart. The Total HSGP Reported amounts are listed in conjunction with the Total Awarded amounts below each grant program. The funding amounts are followed by self-check indicators for each grant program. In order for a grant program to display a self-check status of 'OK', the grant program total must equal the grant program award amount; otherwise, the self-check indicator will display 'ERROR'.

Investment Name	SHSP	UASI	Total
State Submission			
Alabama Fusion Center	\$450,000.00	---	\$450,000.00
Information Sharing Program	\$400,000.00	---	\$400,000.00
Statewide Interoperable Communications	\$346,364.00	---	\$346,364.00
Regional Prevention and Emergency Response Program	\$2,090,032.00	---	\$2,090,032.00
Total HSGP Reported	\$3,286,396.00	---	\$3,286,396.00
Total HSGP Awarded	\$3,459,364.00	---	\$3,459,364.00

**Self-Check**

ERROR OK

Figure 36: State Overview Tab –Funding Validation View

### 3.3.3.3 Investments Tab

The Investments tab is the main piece of the Investment module. Upon selecting a submission type from the State Overview tab, SAA users will use this page to review their investments as well as the breakdown of funding. While reviewing investment data, SAA users have the ability to enter or edit funding information. All edits are made in the Investment Detail tab which is reached by clicking the Investment Name. Fed users will only be able to view the data entered by the SAA; they will not have the ability to edit or enter data.

Once the SAA user has entered funding details for all investments and the state has no investment funding self-check errors, the SAA user can confirm completion of their investments by clicking on the 'Mark Complete' button. Upon successful submission, the investment will be in 'Investment Completed' status. Once all investments have been marked completed, then the investment portion of the ISIP package will be ready for the SAA Admin user to submit to FEMA.

#	Investment Name	HSGP Proposed	HSGP Reported	Status
1	<a href="#">Alabama Fusion Center</a>	\$450,000.00	\$450,000.00	Data Entry In Progress
2	<a href="#">Information Sharing Program</a>	\$400,000.00	\$400,000.00	Data Entry In Progress
3	<a href="#">Statewide Interoperable Communications</a>	\$346,364.00	\$346,364.00	Data Entry In Progress
4	<a href="#">Regional Prevention and Emergency Response Program</a>	\$2,090,032.00	\$2,090,032.00	Data Entry In Progress

Figure 37: Investments Tab – Fed User View

#	Investment Name	HSGP Proposed	HSGP Reported	Status
<input type="checkbox"/>	1 <a href="#">Strengthen Information Sharing, Collaboration, and Communications</a>	\$978,216.00	\$978,216.00	Data Entry In Progress
<input type="checkbox"/>	2 <a href="#">Enhance Fusion Center Capabilities</a>	\$25,000.00	\$25,000.00	Data Entry In Progress
<input type="checkbox"/>	3 <a href="#">Strengthen Medical Surge and Mass Care Capabilities</a>	\$622,685.00	\$622,685.00	Data Entry In Progress
<input type="checkbox"/>	4 <a href="#">Strengthen Whole Community Planning and Preparedness</a>	\$1,660,495.00	\$1,660,495.00	Data Entry In Progress

Check All - Clear All

Mark Complete Change Status

Figure 38: Investments Tab – SAA User View

### 3.3.3.4 Investment Detail Tab

Once a user has selected an investment, they are directed to the Investment Detail Tab. All edits are made in the Investment Detail tab which is reached by clicking the Investment Name. The system displays as read-only text the Proposed funding amounts by each POETE solution area. Users may then enter the 2012 ISIP funding amounts for each grant program by POETE solution area. This year the user will enter the M&A amount too. Fed users will only be able to view the data entered by the SAA; they will not have the ability to edit or enter data.

Investment Detail - Alaska - State Submission - 2013 ISIP

Investment Information

Investment Number: 1  
 Investment Name: Strengthen Information Sharing, Collaboration, and Communications  
 Investment Description: The state will assist local jurisdictions in developing communications plans, policies, and procedures; acquire and deploy operable and interoperable communications hardware and software; and develop or conduct training and exercise activities based on the State of Alaska's Strategic Interoperable Communications Training and Exercise Plan (SICTEP). This investment relates to providing statewide, sustainable, interoperable communications infrastructure to support local, regional, and statewide re...

Investment Funding

		SHSP	UASI	MMRS	CCP	
2013 Proposed Primary:		\$978,216.00	---	---	---	
2013 ISIP Primary:		\$978,216.00				
		SHSP	UASI	MMRS	CCP	Total
Planning	2013 Proposed	\$48,910.00	---	---	---	\$48,910.00
	2013 ISIP	\$48,910.00				\$48,910.00
Organization	2013 Proposed	---	---	---	---	---
	2013 ISIP					---
Equipment	2013 Proposed	\$733,664.00	---	---	---	\$733,664.00
	2013 ISIP	\$733,664.00				\$733,664.00
Training	2013 Proposed	\$97,821.00	---	---	---	\$97,821.00
	2013 ISIP	\$97,821.00				\$97,821.00
Exercises	2013 Proposed	\$97,821.00	---	---	---	\$97,821.00
	2013 ISIP	\$97,821.00				\$97,821.00
M&A	2013 Proposed	---	---	---	---	---
	2013 ISIP					---
Total	2013 Proposed	\$978,216.00	---	---	---	\$978,216.00
	2013 ISIP	\$978,216.00	---	---	---	\$978,216.00

Figure 39: Investment Detail Tab – SAA User

## Section 3.4 GRT Functionality – Approval Module

### 3.4.1 Approval Module for ISIP's

The Approval module for the selected ISIP reporting period displays the validation self-checks and computations for both the investments and grantees/subgrantees. The Federal Approval tab will provide the Fed Admin user with the ability to approve or change request submissions by states/territories. The State Approval tab will provide SAA Admin users with three different views. These different views will allow for the submission of their respective state's ISIP package (investments and grantees/subgrantees) to FEMA, as well as other funding validation views. The figure below depicts the Modified ISIP Approval workflow.

### 3.4.2 ISIP Approval Workflow

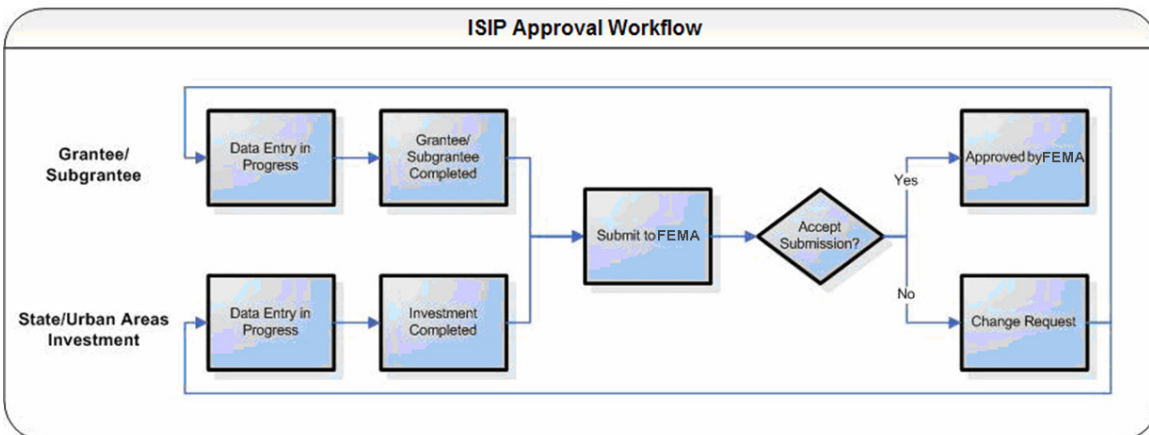


Figure 40: Modified ISIP Approval Workflow




---

In order for a state/territory to 'Submit to FEMA', both the investment and grantee/subgrantee must be in 'Completed' status and all self-checks 'OK'.

---

### 3.4.3 Federal Approval Tab

#### 3.4.3.1 Approve or Change Request Submissions

Upon selecting the ISIP reporting period, the Fed Admin user will have the ability to Approve or Change Request submissions made by states/territories. The Federal Approval tab will display all states/territories and their respective statuses and self-checks. Fed users will also be able to view the Grantees/Subgrantees Total amount, Investments Total amount, and Federal Grant amount for each state.

#### 3.4.3.2 Status Options

The status dictates what level of approval is next in the workflow process. For the SAA user, the status also dictates when the report is in read-only format. The report will always be in read-only format for the Fed users.

Status	Definition
Data Entry in Progress	Investments and grantees/subgrantees are currently being populated and completed.
Investment Completed	State's investments have been completed and 'locked down'.
Grantee/Subgrantee Completed	State's grantee/subgrantee funding information has been completed and 'locked down'.
ISIP Completed	Both the state's investments and grantees/subgrantees have been completed and 'locked down'. In this stage, the state's ISIP is ready to be submitted to FEMA.
Submitted to FEMA	State's ISIP has been submitted by the SAA Admin user to FEMA for review and approval.
Approved by FEMA	State's submission to FEMA has been approved and there are no outstanding change requests.
Change Request	Approving user has denied the ISIP submission and requests changes be made.

When validating a state's ISIP submission, the Fed Admin user has the ability to deny the submission and request changes to be made. When creating a change request, the approving user must enter a reason in the free-text box below the 'Change Request' button. Upon clicking on the 'Change Request' button, the system will automatically generate and send an e-mail to the SAA user. The change request comments made by the Fed user will only be available in this e-mail.




---

Once an approving user requests changes to be made to a state's ISIP submission, the status of both the state's investment and grantee/subgrantee will change to 'Change Request'.

---

Federal Approval | Organization Approval

**Federal Approval**

Grant Award Year: 2013 | Reporting Period: ISIP

Organization	Grantees/Subgrantees Total	Investments Total (HSGP Only)	Total Federal Awards	Status	Self Check
<input type="checkbox"/> Alabama	\$0.00	\$3,286,396.00	\$3,459,364.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Alaska	\$0.00	\$3,286,396.00	\$3,459,364.00	Data Entry In Progress	ERROR
<input type="checkbox"/> American Samoa	\$0.00	\$751,176.00	\$790,712.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Delaware	\$0.00	\$0.00	\$3,459,364.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Guam	\$0.00	\$751,035.00	\$790,712.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Michigan	\$0.00	\$5,989,935.00	\$11,289,215.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Montana	\$0.00	\$6,195,298.00	\$3,459,364.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Northern Mariana Islands	\$0.00	\$751,176.00	\$790,712.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Wisconsin	\$0.00	\$1,718,032.00	\$3,459,364.00	Data Entry In Progress	ERROR

Check All On This Page - Clear All

Approve | Change Request

Change Request Comments

Figure 41: Federal Approval Tab

### 3.4.4 State Approval Tab

The State Approval tab provides the SAA Admin user with the ability to examine various validation checks for the selected ISIP reporting period. Once all data has been entered and all self-checks are in 'OK' status, the SAA Admin user will be able to submit the investment and grantee/subgrantee. The three different views within the State Approval tab include the following:

- ❖ Submission Status View
- ❖ Grantees/Subgrantees Funding Validation
- ❖ Investments Funding Validation

#### 3.4.4.1 Submission Status View

The Submission Status View is the default view within the ISIP reporting period of the State Approval tab. In this view, SAA Admin users will be able to view the two required components (investments and grantees/subgrantees), Total Awarded amount, Total Reported amount, the status of the respective component, its self-check indicators, and the state self-check indicator. SAA Admin users will be able to submit the ISIP package to FEMA by clicking the 'Submit to FEMA' button, only once both investments and grantees/subgrantees are in self-check 'OK' status and have an overall state self-check status of 'OK'.

Organization Approval

**Approval - Alaska**

Select Option: Submission Status View | Grant Award Year: 2013 | Reporting Period: ISIP | Overall Self Check: ERROR

**Submission Status View**

Component	Total Awarded	Total Reported	Status	Self Check
Grantees/Subgrantees	\$3,459,364.00	\$0.00	Data Entry In Progress	ERROR
Investments (HSGP only)	\$3,459,364.00	\$3,286,396.00	Data Entry In Progress	ERROR
Passthrough Compliance Validation				OK

Submit to FEMA

Figure 42: State Approval – Submission Status View



### 3.4.4.2 Grantees/Subgrantees Funding Validation

The Grantees/Subgrantees Funding Validation view displays all of the ISIP funding validation points for the user's state, broken down by grant program. This view also displays the Total Reported and Federal Award amounts. In order for the state to pass the self-check validation for each grant program, the Total Reported Amount must equal the Federal Award amount.

Organization Approval

---

**Approval - Alaska**

Select Option: Grantees/Subgrantees Funding Validation | Grant Award Year: 2013 | Reporting Period: ISIP

**Grantees/Subgrantees Funding Validation**

Grant Program	Total Awarded	Total Reported	Self Check
SHSP	\$3,459,364.00	\$0.00	ERROR
UASI	\$0.00	\$0.00	OK

Figure 43: State Approval – Grantees/Subgrantees Funding Validation View

### 3.4.4.3 Investments Funding Validation

The Investments Funding Validation view displays the funding validations for all grant programs associated with the user's state. In a single snapshot, the user is able to examine all grant programs as well as their Estimated, Awarded, and Reported amounts. In order to display an 'OK' self-check, the Awarded and Reported Amounts must be equal.

Organization Approval

---

**Approval - Alaska**

Select Option: Investments Funding Validation | Grant Award Year: 2013 | Reporting Period: ISIP

**Investments Funding Validation (HSQP Only)**

Grant Program	Total Proposed	Total Awarded	Total Reported	Self Check
SHSP	\$3,286,396.00	\$3,459,364.00	\$3,286,396.00	ERROR
UASI	\$0.00	\$0.00	\$0.00	OK

Figure 44: State Approval – Investments Funding Validation View

### 3.4.4.4 Passthrough Compliance Validation

This validation validates the 80/20 passthrough rule where local share needs to be a minimum of 80% of the distributed amount and state share can be a maximum of 20% of the total awarded amount.

Organization Approval

---

**Approval - Alaska**

Select Option: Passthrough Compliance Validation | Grant Award Year: 2013 | Reporting Period: ISIP

**Passthrough Compliance Validation**

Grant Program	Total State Share	Total Local Share	State Percent	Local Percent	Self Check
UASI	\$0.00	\$0.00	N/A	N/A	OK
SHSP	\$0.00	\$0.00	N/A	N/A	OK

Figure 45: State Approval – Passthrough Compliance Validation View



## CHAPTER 4

# THE BSIR REPORTING PERIOD

## Sections

- 4.1 BSIR Reporting Period Introduction
- 4.2 SAA Reporting Process
- 4.3 GRT Functionality – Funding Module
- 4.4 GRT Functionality – Approval Module

## Section 4.1 BSIR Reporting Period Introduction

### 4.1.1 BSIR vs. All Other Reporting Periods

The BSIR serves as the reporting format for the Categorical Assistance Program Reports (CAPR), which are due every six months for the life of the award. A Close-out BSIR is due after the end date of the award period. Refer to program guidance for specific due dates.

Users should note that the PSIC BSIR, while within the same reporting period as the standard BSIR, is a distinct process that must be completed through the PSIC module. States and territories receiving grant funds from PSIC and other FEMA grant program within GRT must complete both BSIRs. For a user's guide of the PSIC module, please refer to the Appendices.

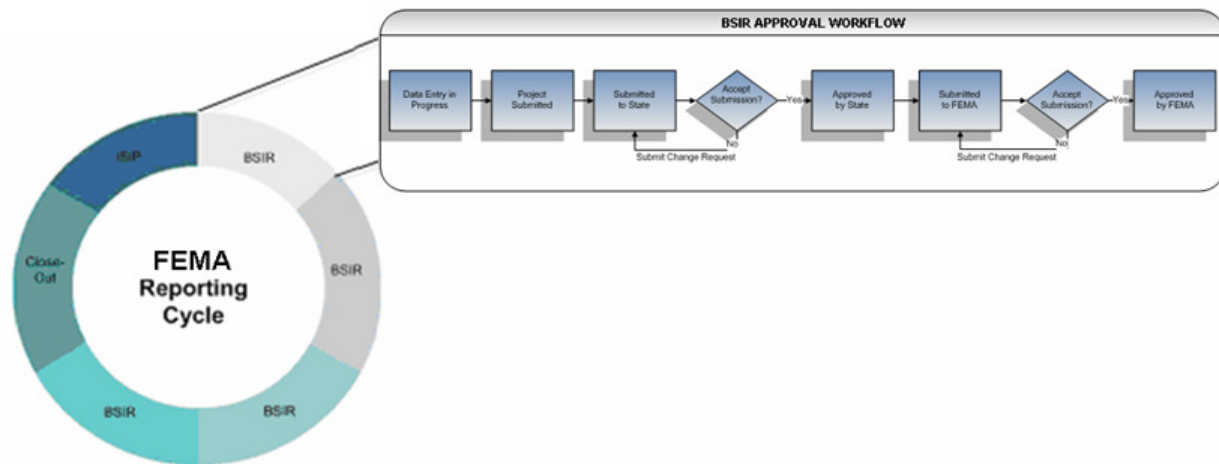


Figure 46: BSIR Approval Workflow

### 4.1.2 BSIR Reporting Requirements

#### 4.1.2.1 Grant Application Kit Requirements

While the submission of the ISIP satisfies the planning requirements, the submission of a completed BSIR satisfies the expenditure requirements. The FEMA Grant Programs state that all funds provided to the SAA/DTG through these grant programs must be accounted for and linked to one or more projects. In return, this funding must support specific goals and objectives in the State Homeland Security Strategy and where appropriate, the Urban Area Homeland Security Strategy, and Regional Transit Security Strategy, as detailed in the special conditions of the grant award (strategy requirements not applicable to Direct Tribal Grantees).

#### 4.1.2.2 BSIR Submission Requirements

The BSIR must account for all HSGP, TSGP, and UASI grant funding within the acceptable margin as published by FEMA. Submissions made outside of the one thousand dollar threshold will be evaluated by FEMA on a case-by-case basis. The BSIR should also include one SAA/DTG-level submission (if the SAA/DTG retains a portion of funding) and one GRT submission for every local jurisdiction, state agency, urban area, tribe, or non-governmental organization receiving a grant. Specifically, the number of reports submitted by the SAA/DTG through the BSIR correlates to the number of subgrantees in a state; if a subgrantee receives two separate grants, both grants should be captured in one GRT submission.

## **Section 4.2 SAA/DTG Reporting Process**

### **4.2.1 Roles and Responsibilities**

The SAA/DTG's key roles and responsibilities include the following:

- ❖ Ensuring the timeliness and accuracy of the BSIR submission
- ❖ Approving at least one Local (subgrantee) user for each subgrantee and assigning them admin privileges (if necessary)
- ❖ Completing the SAA/DTG level report for the reporting period
- ❖ Populating the subgrantee award amounts for all subgrantees within their state per reporting period
- ❖ Reviewing and approving the SAA/DTG report
- ❖ Compliance with the appropriate passthrough requirement of each grant award to subgrantees, (Local Jurisdictions/Entities, Other State Agency (Non-SAAs), or UASIs)
- ❖ Reviewing and approving all subgrantee submission reports that have been submitted to the state from their subgrantees
- ❖ Submitting all state/territory/tribe reports to FEMA for review and approval

### **4.2.2 Process for Completing the BSIR**

The SAA/DTG is responsible for providing FEMA with BSIR reports to account for all HSGP, TSGP, and UASI grant funding. This submission will include one report that reflect funds retained by the SAA/DTG and one report for all subgrants given to local jurisdictions, other state agencies, and the urban areas. Several options are available to the SAA/DTG for ensuring that the required reports are completed. These options are described below.

As the state's admin, the SAA/DTG is given access to all subgrantee reports within the GRT system. The SAA/DTG may choose to update each report with actual expenditure data and then submit the completed BSIR to FEMA.

If the SAA/DTG opts to have subgrantees complete their own BSIR, the SAA/DTG should notify the subgrantees of their participation in this process and establish deadlines, roles, and responsibilities. The SAA/DTG must approve access to subgrantees and pre-populate their award information. They should assign one individual as the approval admin for the state. Then, the SAA/DTG Admin user may grant access to an admin at the local level (Local Admin user). The Local Admin user may then grant access to other users that are apart of that subgrantees. The SAA/DTG Admin user will retain admin access to all reports and should review them for accuracy prior to final submission to FEMA.

SAA is required to communicate the goals and objectives identified in the State Homeland Security Strategy, Urban Area Security Strategy, and/or Regional Transit Security Strategy to its subgrantees completing BSIRs. Subgrantees will be unable to accurately complete their BSIR without this information as all projects must be assigned to the appropriate goals and objectives. This requirement is not applicable to Direct Tribal Grantees.

## **Section 4.3 GRT Functionality – Funding Module**

### **4.3.1 Funding Module Breakdown**

#### **4.3.1.1 Recipients Tab**

The view of the Recipients tab within the Funding module will change depending on which reporting period is selected). In order to change the reporting period, simply select the desired grant year from the 'Grant Award Year' drop-down list box and then select the reporting period from the 'Reporting Period' drop-down list box to toggle the views. The 'Reporting Period' drop-down list box will update based on the grant award year selected. For FY 2017 the Grantee/Subgrantee will be populated from 2016 BSIR (June 17).

Grantee/Subgrantee	Grantee Type	Total Award	Last Updated
AL State Port Authority	Other State Agency (Non-SAA)		06/05/2013
Alabama Criminal Justice Information	Other State Agency (Non-SAA)		06/05/2013
Alabama Department of Agriculture and Industries	Other State Agency (Non-SAA)		01/16/2012
Alabama Department of Conservation & Natural Resources	Other State Agency (Non-SAA)		10/30/2012
Alabama Department of Corrections	Other State Agency (Non-SAA)		07/26/2010
Alabama Department of Homeland Security	SAA/DTG		06/05/2013
Alabama Department of Public Safety	Other State Agency (Non-SAA)		10/30/2012
Alabama Emergency Management Agency	Other State Agency (Non-SAA)		10/30/2012
Alabama Fire College	Local Jurisdiction / Entity		10/30/2012
Alabama Governor's Office of Faith Based and Community Initiatives	Other State Agency (Non-SAA)		02/23/2011
Alabama Homeland Security	Local Jurisdiction / Entity		05/03/2013
Autauga County EMA	Local Jurisdiction / Entity		10/30/2012
Calhoun County EMA	Local Jurisdiction / Entity		10/30/2012
Chambers County Emergency Management Agency	Local Jurisdiction / Entity		10/30/2012
Colbert County	Local Jurisdiction / Entity		10/30/2012
Cullman County EMA	Local Jurisdiction / Entity		10/30/2012
De Kalb County EMA	Local Jurisdiction / Entity		10/30/2012
Franklin County EMA	Local Jurisdiction / Entity		10/30/2012

Figure 47: Recipients Tab – Fed User

Grantee/Subgrantee	Grantee Type	Total Award	Last Updated
EC-Brawley_City_of	Local Jurisdiction / Entity	\$2,000	07/19/2014
EC-Cudahy_City_of	Local Jurisdiction / Entity		02/23/2011
EC-EK_Govs_City_of	Local Jurisdiction / Entity		02/02/2011
IP-City of Fresno	Local Jurisdiction / Entity		02/17/2011
NP_Rais Menachem Day School	Local Jurisdiction / Entity		04/14/2011
SA-L-CA-Governor's Office of Homeland Security (SAA)	SAA/DTG	\$50,000	07/18/2014
SA-CA-Department of Food & Agriculture	Other State Agency (Non-SAA)		02/04/2011
SA-CA-CC Riverside	Other State Agency (Non-SAA)		04/17/2014
SU-Bay Area	Local Jurisdiction / Entity		10/25/2013
TA-Foothill Transit System Authority	Local Jurisdiction / Entity		04/17/2014
UA-City of San Diego UA1	Local Jurisdiction / Entity		10/25/2013
UA-City/County of San Francisco UA1	Local Jurisdiction / Entity		04/17/2014

Figure 48: Recipients Tab – SAA/DTG User

The GRT is designed to capture each fiscal year's funding in a single report regardless of the number of grant programs being funded. The following periods are currently in the application:

2007: Close-Out, ISIP, BSIR (June 12), BSIR (December 12)

2008: Close-Out, ISIP, BSIR (June 10), BSIR (December 10), BSIR (June 11), BSIR (June 12), BSIR (December 12), BSIR (June 13), BSIR (December 13)

2009: Close-Out, ISIP, BSIR (December 09), BSIR (June 10), BSIR (December 10), BSIR (June 11), BSIR (June 12), BSIR (December 12), BSIR (June 13), BSIR (December 13), BSIR (June 14), BSIR (December 14)

2010: Close-Out, ISIP, BSIR (December 10), BSIR (June 11), BSIR (June 12), BSIR (December 12), BSIR (June 13), BSIR (December 13), BSIR (June 14), BSIR (December 14), BSIR (June 15), BSIR (December 15), BSIR (December 16), and BSIR (June 17)

2011: Close-Out, ISIP, BSIR (June 12), BSIR (December 12), BSIR (June 13), BSIR (December 13), BSIR (June 14), BSIR (December 14), BSIR (June 15), BSIR (December 15), BSIR (December 16), and BSIR (June 17)

2012: Close-Out, ISIP, BSIR (June 13), BSIR (June 14), BSIR (December 14), BSIR (June 15), BSIR (December 15), BSIR (December 16), BSIR (June 17), and BSIR (December 17)

2013: Close-Out, ISIP, BSIR (June 14), BSIR (December 14), BSIR (June 15), BSIR (December 15), BSIR (December 16), BSIR (June 17), and BSIR (December 17)

2014: Close-Out, ISIP, BSIR (June 14), BSIR (December 14), BSIR (June 15), BSIR (December 15), BSIR (December 16), BSIR (June 17), and BSIR (December 17)

2015: BSIR (June 15), BSIR (December 15), BSIR (December 16), BSIR (June 17), and BSIR (December 17)

2016: BSIR (December 16), BSIR (June 17), and BSIR (December 17)

2017: BSIR (December 17)

#### 4.3.1.2 Award Tab

The Award tab within the Funding module displays the grant programs that are available for the applicable Grant Award Year.

In FY 2004, award information was divided into two solicitations, Homeland Security Grant Program (HSGP) and Urban Areas Security Initiative (UASI). The following was the breakdown of programs by solicitations:

##### FY 2007 Grant Programs

- ❖ Citizen Corps Program (CCP)
- ❖ Law Enforcement Training and Prevention Program (LETPP)
- ❖ State Homeland Security Program (SHSP)
- ❖ Emergency Management Performance Grant (EMPG)
- ❖ Emergency Management Performance Grant–Supplemental (EMPGSUP)
- ❖ Metropolitan Medical Response System (MMRS)
- ❖ Buffer Zone Protection Program (BZPP)
- ❖ Urban Areas Security Initiative (UASI)
- ❖ Transit Security Grant Program (TSGP)
- ❖ Transit Security Grant Program–Supplemental (TSGPSUP)
- ❖ Transit Security Grant Program–Ferry (TSGPF)
- ❖ Public Safety Interoperable Communications Grant Program (PSIC)
- ❖ UASI Nonprofit Security Grant Program (NSGP)

##### FY 2008 Grant Programs

- ❖ Citizen Corps Program (CCP)
- ❖ State Homeland Security Program (SHSP)
- ❖ Emergency Management Performance Grant (EMPG)
- ❖ Metropolitan Medical Response System (MMRS)
- ❖ Buffer Zone Protection Program (BZPP)
- ❖ Urban Areas Security Initiative (UASI)
- ❖ Transit Security Grant Program (TSGP)
- ❖ Intercity Passenger Rail Program (IPR)
- ❖ Operation Stonegarden Grant Program (OPSG)
- ❖ Public Safety Interoperable Communications Grant Program (PSIC)
- ❖ UASI Nonprofit Security Grant Program (NSGP)
- ❖ Interoperable Emergency Communications Grant Program (IECGP)
- ❖ Emergency Operations Center (EOC) Grant Program
- ❖ Regional Catastrophic Preparedness Grant Program (RCPGP)
- ❖ Mississippi Interoperable Communications Grant (MSICG)

##### FY 2009 Grant Programs

- ❖ State Homeland Security Program (SHSP)
- ❖ Urban Areas Security Initiative (UASI)
- ❖ Metropolitan Medical Response System (MMRS)
- ❖ Citizen Corps Program (CCP)
- ❖ Emergency Management Performance Grant (EMPG)
- ❖ UASI Nonprofit Security Grant Program (NSGP)
- ❖ Operation Stonegarden Grant Program (OPSG)
- ❖ Interoperable Emergency Communications Grant Program (IECGP)
- ❖ Emergency Operations Center (EOC) Grant Program
- ❖ Buffer Zone Protection Program (BZPP)
- ❖ Intercity Passenger Rail Program (IPR)
- ❖ Regional Catastrophic Preparedness Grant Program (RCPGP)

#### FY 2010 Grant Programs

- ❖ State Homeland Security Program (SHSP)
- ❖ Urban Areas Security Initiative (UASI)
- ❖ Metropolitan Medical Response System (MMRS)
- ❖ Citizen Corps Program (CCP)
- ❖ Emergency Management Performance Grant (EMPG)
- ❖ UASI Nonprofit Security Grant Program (NSGP)
- ❖ Operation Stonegarden Grant Program (OPSG)
- ❖ Interoperable Emergency Communications Grant Program (IECGP)
- ❖ Emergency Operations Center (EOC) Grant Program
- ❖ Buffer Zone Protection Program (BZPP)
- ❖ Intercity Passenger Rail Program (IPR)
- ❖ Regional Catastrophic Preparedness Grant Program (RCPGP)

#### FY 2011 Grant Programs

- ❖ State Homeland Security Program (SHSP)
- ❖ Urban Areas Security Initiative (UASI)
- ❖ Citizen Corps Program (CCP)
- ❖ Metropolitan Medical Response System (MMRS)
- ❖ Operation Stonegarden Grant Program (OPSG)
- ❖ Emergency Operations Center (EOC) Grant Program
- ❖ Emergency Management Performance Grant (EMPG)
- ❖ UASI Nonprofit Security Grant Program (NSGP)
- ❖ Intercity Passenger Rail Program (IPR)
- ❖ Regional Catastrophic Preparedness Grant Program (RCPGP)

#### FY 2012 - 2014 Grant Programs

- ❖ State Homeland Security Program (SHSP)
- ❖ Urban Areas Security Initiative (UASI)
- ❖ Operation Stonegarden Grant Program (OPSG)
- ❖ Emergency Management Performance Grant (EMPG)
- ❖ UASI Nonprofit Security Grant Program (NSGP)
- ❖ Intercity Passenger Rail Program (IPR)

#### FY 2015 Grant Programs

- State Homeland Security Program (SHSP)
- Urban Areas Security Initiative (UASI)
- Operation Stonegarden Grant Program (OPSG)
- Emergency Management Performance Grant (EMPG)
- UASI Nonprofit Security Grant Program (NSGP)





Recipients | Award | Project | Project (5) | Project Detail | Allocations | Strategies

Current User: Jean Smith

SAAD/DTG Award Information - SA-1-CA-Governor's Office of Homeland Security (SAA) - 2014 BSIR (December 14)

Project View: Project List | Self-Check View: -- Select Self-Check View -- | Grantee/Subgrantee Self-Check: ERROR

Project Title	Funding Type	Funding	Project Status	Project Self-Check
<input type="checkbox"/> Project for Paj	Retained at State/OTG Level All Request of Local(s)	\$2,000	Data Entry in Progress	ERROR
<input type="checkbox"/> Second Project	Retained at State/OTG Level	\$5,000	Data Entry in Progress	ERROR
<input type="checkbox"/> Test Project for the DIT	Retained at State/OTG Level All Request of Local(s)	\$5,300	Data Entry in Progress	ERROR

Comments

Check All | Clear All

Submit | Change Status | Delete

For Additional Detailed Information on the Project tab [click here](#)  
 Steps for Submitting Project to SAA/DTG:

1. Project Self-Check: When self-check is displayed as ERROR for a specific project one or more of the following areas is in error:
  - Strategies Completion
  - Allocations Completion (Solution Area Funding: Sub-Categories & Discipline) tie out
  - Project Funding & Solution Area Funding tie out
  - Metrics Completion
2. Subgrantee Self-Check: Grantee/Subgrantee is displayed as ERROR when one of the following is in error:
  - One or more of the projects in ERROR.
  - All projects are OK, the funding and necessary requirements per project have been met, however, the TOTAL FUNDING does not tie out between grant funding, project funding, and/or solution area funding
3. Submitting Projects
  - Pass all self-checks
  - Project status is 'Data Entry in Progress' or 'Subgrantee Change Request'

Figure 51: Project Tab – Project List View

#### 4.3.1.3.3 Project View – Project History

The Project History view within the Project tab displays the workflow step, last updated (date), updated by (user), comments and the grantee/subgrantee self-check.

Recipients | Award | Project | Project (5) | Project Detail | Allocations | Strategies | Metrics

Current User: Jean Smith

SAAD/DTG Award Information - Delaware Emergency Management Agency (SAA) - 2013 BSIR (June 14)

Project View: Project History | Self-Check View: -- Select Self-Check View -- | Grantee/Subgrantee Self-Check: OK

Workflow History

Workflow Step	Last Updated	Updated By	Comments
Subgrantee Change Request	4/8/2014 11:14:08 AM	Smith Jean	aaa
Submitted to SAA/DTG	4/3/2014 1:20:01 PM	Smith Jean	EMPG Project
Submitted to SAA/DTG	4/3/2014 1:20:01 PM	Smith Jean	SHSP Project
Project Submitted	4/3/2014 1:19:58 PM	Smith Jean	EMPG Project
Project Submitted	4/3/2014 1:19:58 PM	Smith Jean	SHSP Project

Figure 52: Project Tab – Project History View

#### 4.3.1.3.4 Self-Check View – Grant Program

The Grant Program self-check view displays grant program funding, totals, and self-check validations.

Current User: Jean Smith

Project View: -- Select Project List -- Self-Check View: Grant Program

SHSP	UASI	EMPG	NSGP	OPSG	IPR	Project Total	Solution Area	Self-Check
<b>EMPG Project</b>								
\$0.00	\$0.00	\$3,000,000.00	\$0.00	\$0.00	\$0.00	\$3,000,000.00	\$3,000,000.00	OK
<b>SHSP Project</b>								
\$691,872.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$691,872.00	\$691,872.00	OK
<b>Total</b>								
\$691,872.00	\$0.00	\$3,000,000.00	\$0.00	\$0.00	\$0.00	\$3,691,872.00	\$3,691,872.00	
<b>Award Information</b>								
\$691,872.00	\$0.00	\$3,000,000.00	\$0.00	\$0.00	\$0.00	\$3,691,872.00	\$3,691,872.00	
<b>Self-Check</b>								
OK	OK	OK	OK	OK	OK			

Figure 53: Project Tab – Grant Program Self-Check View

#### 4.3.1.3.5 Self-Check View – Solution Area

The Solution Area self-check view displays solution area amounts, totals, and self-check validations.

Current User: Jean Smith

Project View: -- Select Project List -- Self-Check View: Solution Area

Equipment	Exercises	Management & Administration	Organization	Planning	Training	Solution Area	Project Total	Self-Check
<b>EMPG Project</b>								
\$0.00	\$835,159.00	\$100,000.00	\$1,000,000.00	\$1,064,841.00	\$0.00	\$3,000,000.00	\$3,000,000.00	OK
<b>SHSP Project</b>								
\$0.00	\$0.00	\$0.00	\$0.00	\$691,872.00	\$0.00	\$691,872.00	\$691,872.00	OK
<b>Total</b>								
\$0.00	\$835,159.00	\$100,000.00	\$1,000,000.00	\$1,756,713.00	\$0.00	\$3,691,872.00	\$3,691,872.00	

Figure 54: Project Tab – Solution Area Self-Check View

#### 4.3.1.3.6 Self-Check View – Project Validation

The Project Validation self-check view displays goals & objectives, project metrics, funding impact, solution area tie-out, and self-check validations.

SAADTG Award Information - Delaware Emergency Management Agency (SAA) - 2013 BSIR (June 14)

Project View: -- Select Project List -- Self-Check View: Project Validation

Goals and Objectives	Project Metrics	Funding Impact	Solution Area Tie-Out	Project Detail	Self-Check
EMPG Project	100%	100%	100%	100%	OK
SHSP Project	100%	100%	100%	100%	OK
<b>Self-Check</b>					
OK	OK	OK	OK	OK	

Figure 55: Project Tab – Project Validation Self-Check View

#### 4.3.1.4 Project (\$) Tab

The Project (\$) tab is the section in which the user will allocate grant funding to the projects the user created under the Project tab. It is important for the user to remember that the total grant-funding amount must equal the total grant project-funding amount. Project funding allocation cannot exceed the total award funding, nor can project funding allocation fall short of total award funding. Users will be unable to submit projects that contain errors.

Therefore, once the user is satisfied that they have entered all necessary projects, they may continue to the Project (\$) section for each project. In order to fund a project, the user must click the project name of the desired project. The user will then be taken to the Project (\$) tab specific to the project they selected to fund.

The Project (\$) tab is also the page where users can make changes to the Investment supported selected in the Create Project page.

For FY2014; user will be able to enter the Expended Amount for each Project here. The sum of the Project Expended Amount for each Grantee/Subgrantee will be displayed on the Award tab.

[Recipients](#) / [Award](#) / [Project](#) / [Project \(\\$\)](#) / [Project Detail](#) / [Allocations](#) / [Strategies](#)

---

Current User: Jean Smith  
 Welcome!  
[IJ Submission](#)  
[Investment](#)  
**[Funding](#)**  
[PSIC](#)  
[Approval](#)  
[Organization](#)  
[Users](#)  
[Reporting](#)  
[Logout](#)

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**SAA/DTG Award Information - SA-1-CA-Governor's Office of Homeland Security (SAA) - 2014 BSIR (December 14)**

**Project Funding Summary**

Project Title: \*   
 Project Type: \*   
 Project Location: \*   
 Funding Type: \*   
 Signed MOU:   
 Project Description: \*

**Total Grantee Funding:**

SHSP	\$10,000
UASI	\$10,000
EMPG	\$10,000
NSGP	\$10,000
OPSG	\$10,000
IPR	
<b>Total</b>	<b>\$50,000</b>

---

**FY 2014 Grant Programs**

	Award	Expended
SHSP		
2014 BSIR (December 14)	\$2,000	\$100
UASI		
2014 BSIR (December 14)	\$0,000	\$10
EMPG		
2014 BSIR (December 14)	\$100	\$10
NSGP		
2014 BSIR (December 14)	\$100	\$10
OPSG		
2014 BSIR (December 14)	\$100	\$10
IPR		
2014 BSIR (December 14)		
<b>Total</b>	<b>\$5,300</b>	<b>\$140</b>

---

**Shared Funding Grantee/Subgrantee**

Available Grantee/Subgrantee(s)	Shared Funding Grantee/Subgrantee(s)
BZ-California Natural Resources Agency BZ-Sacramento County Sheriff EC-American Red Cross, Sacramento-Sierra Chapter EC-Brawley, City of EC-Commerce, City of EC-Cupertino, City of EC-Elk Grove, City of EC-Half Moon Bay, City of EC-La Habra, City of EC-Monterey Park, City of EC-Moreno Valley, City of EC-Rialto, City of EC-San Diego Unified School District EC-Whittier, City of	EC-Cudahy, City of

Figure 56: Project (\$) Tab

The user will be able to edit the specific details of the project they are funding/editing. This information is identical to the information the user entered for this project on the Project tab. The total grant funding amount is also included in this view. This information is displayed to provide the user a funding reference point so they know the pool of total program funding.

#### 4.3.1.4.1 Funding Type/Pass through Compliance

**SAs and other State Agencies** - Using the drop-down list box, these users will identify if the project is funded by monies retained at the state/DTG level as part of the state's share or by monies retained at the request of a local jurisdiction. These two pools of funding cannot be combined under one project. If a project receives

funds from the state share and the funding retained on behalf of the local jurisdiction, two separate projects bearing the same name must be completed for each source of funding.

**Local Jurisdictions** - There will not be a drop-down list box and “Passthrough to Local” will be presented as the default in read-only format.

To view a chart that shows the passthrough compliance for the grant programs in FY, please refer to the Appendices.



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States that retain funds that exceed the passthrough requirements must enter into a Memorandum of Understanding (MOU) with the local unit of government specifying the amount of funds to be retained by the SAA/DTG for expenditure. This process can only occur if the request is in writing by a local unit of government, stating that the state may retain funds on behalf of the local unit of government. SAA/DTGs must keep the request(s) and MOU(s) on file.

---

#### **4.3.1.4.2 Funding retained on behalf of local jurisdictions**

If the project is funded by money retained by the SAA/DTG on behalf of a local jurisdiction, use the check box to indicate whether a Memorandum of Understanding (MOU) has been executed.

If the SAA/DTG is retaining funds on behalf of a local jurisdiction, the SAA/DTG should ensure that the money is reported only once. In order to accomplish this, the SAA/DTG should include all funding that is being retained on behalf of a local jurisdiction. Subgrantees should not account for these funds in their projects.

#### **4.3.1.4.3 Project funding source(s)**

When completing the BSIR, the user may enter in the dollar amounts allocated to this project from each of the grant programs. The system will automatically total the grant program funds for this project. Examples of the Project Funding (Project \$) screens are below:

FY 2007 Grant Programs		
CCP	2007 BSIR (December 07)	<input type="text"/>
LETPP	2007 BSIR (December 07)	<input type="text"/>
SHSP	2007 BSIR (December 07)	<input type="text"/>
UASI	2007 BSIR (December 07)	<input type="text"/>
EMPG	2007 BSIR (December 07)	<input type="text"/>
EMPGSUP	2007 BSIR (December 07)	<input type="text"/>
MMRS	2007 BSIR (December 07)	<input type="text"/>
BZPP	2007 BSIR (December 07)	<input type="text"/>
TSGP	2007 BSIR (December 07)	<input type="text"/>
TSGPSUP	2007 BSIR (December 07)	<input type="text"/>
TSGPF	2007 BSIR (December 07)	<input type="text"/>
NSGP	2007 BSIR (December 07)	<input type="text"/>
PSIC	2007 BSIR (December 07)	<input type="text"/>
Subtotal	2007 BSIR (December 07)	<input type="text"/>
<b>Total Project Funding</b>		
2007 BSIR (December 07)		<input type="text"/>

Figure 57: Project Funding Example for FY07 Grant Programs

FY 2008 Grant Programs		
SHSP	2008 BSIR (December 08)	<input type="text"/>
UASI	2008 BSIR (December 08)	<input type="text"/>
MMRS	2008 BSIR (December 08)	<input type="text"/>
CCP	2008 BSIR (December 08)	<input type="text"/>
EMPG	2008 BSIR (December 08)	<input type="text"/>
NSGP	2008 BSIR (December 08)	<input type="text"/>
OPSG	2008 BSIR (December 08)	<input type="text"/>
IECGP	2008 BSIR (December 08)	<input type="text"/>
EOC	2008 BSIR (December 08)	<input type="text"/>
BZPP	2008 BSIR (December 08)	<input type="text"/>
TSGP	2008 BSIR (December 08)	<input type="text"/>
IPR	2008 BSIR (December 08)	<input type="text"/>
RCPGP	2008 BSIR (December 08)	<input type="text"/>
MSICG	2008 BSIR (December 08)	<input type="text"/>
Subtotal	2008 BSIR (December 08)	<input type="text"/>
		<b>Total Project Funding</b>
		2008 BSIR (December 08) <input type="text"/>

Figure 58: Project Funding Example for FY08 Grant Programs

FY 2009 Grant Programs		
SHSP		
2009 BSIR (December 09)		\$1,430,000.00
UASI		
2009 BSIR (December 09)		
MMRS		
2009 BSIR (December 09)		
CCP		
2009 BSIR (December 09)		\$38,284.00
EMPG		
2009 BSIR (December 09)		
NSGP		
2009 BSIR (December 09)		
OPSG		
2009 BSIR (December 09)		
IECGP		
2009 BSIR (December 09)		
EOC		
2009 BSIR (December 09)		
BZFP		
2009 BSIR (December 09)		
IPR		
2009 BSIR (December 09)		
RCPGP		
2009 BSIR (December 09)		
OPSGS		
2009 BSIR (December 09)		
Subtotal		
2009 BSIR (December 09)		\$1,468,284.00

Figure 59: Project Funding Example for FY09 Grant Programs



FY 2010 Grant Programs		
SHSP	2010 BSIR (December 10)	\$1,469,600.00
	2010 BSIR (June 11)	\$1,469,600.00
UASI	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
MMRS	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
CCP	2010 BSIR (December 10)	\$32,793.00
	2010 BSIR (June 11)	\$32,793.00
EMPG	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
NSGP	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
OPSG	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
IECGP	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
EOC	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
BZPP	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
IPR	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
RCPGP	2010 BSIR (December 10)	---
	2010 BSIR (June 11)	---
Subtotal	2010 BSIR (December 10)	\$1,502,393.00

Figure 60: Project Funding Example for FY10 Grant Programs

FY 2011 Grant Programs		
SHSP	2011 BSIR (June 12)	\$4,000.00
UASI	2011 BSIR (June 12)	
MMRS	2011 BSIR (June 12)	
CCP	2011 BSIR (June 12)	
EMPG	2011 BSIR (June 12)	
NSGP	2011 BSIR (June 12)	
OPSG	2011 BSIR (June 12)	
EOC	2011 BSIR (June 12)	
IPR	2011 BSIR (June 12)	
RCPGP	2011 BSIR (June 12)	
Subtotal	2011 BSIR (June 12)	\$4,000.00

Figure 61: Project Funding Example for FY11 Grant Programs

FY 2012 Grant Programs		
SHSP	2012 BSIR (June 13)	\$200,470.00
UASI	2012 BSIR (June 13)	
EMPG	2012 BSIR (June 13)	
NSGP	2012 BSIR (June 13)	
OPSG	2012 BSIR (June 13)	
IPR	2012 BSIR (June 13)	
Subtotal	2012 BSIR (June 13)	\$200,470.00
Total Project Funding		
2012 BSIR (June 13)		\$200,470.00

Figure 62: Project Funding Example for FY12 and FY 13 Grant Programs

FY 2014 Grant Programs			Award	Expended
SHSP	2014 BSIR (December 14)		\$2,000	\$100
UASI	2014 BSIR (December 14)			
EMPG	2014 BSIR (December 14)		\$100	\$10
NSGP	2014 BSIR (December 14)		\$100	\$10
OPSG	2014 BSIR (December 14)		\$100	\$10
IPR	2014 BSIR (December 14)			
Total	2014 BSIR (December 14)		\$2,300	\$130

Figure 63: Project Funding Example for FY14 Grant Programs



Only programs with funding entered in Award Information will be active and appear 'ungrayed'; all others will be grayed out. If the subgrantee indicated in Award Information that it did not receive grant funds from a program, the program field will be 'grayed out' in Project Funding and no funds should be assigned to this program. Funding from a grant program does not need to be allocated to every project.

#### 4.3.1.4.4 Shared Funding

Users have the option of noting, if any, other state Subgrantees that are working on the same project type. At the bottom of the page, the user may assign same state subgrantees by selecting the appropriate one(s) from the 'Available Subgrantee(s)' column and clicking on the right arrow to move them to the 'Shared Funding Subgrantee(s)' column.

After saving the data the user entered, the user may click 'Save', or continue to add the details of this project by clicking 'Save and Continue'. This will take the user to the Project Detail tab.

Figure 64: Project Funding Tab – Shared Funding

#### 4.3.1.5 Project Detail Tab

The Project Detail page has been created to allow the user to choose a submission type (state or urban area submission) and the investment that is being supported. Upon selection of an investment, the page will automatically refresh and display the user selected target capabilities from the associated Investment Justification. Based on the grantee/subgrantee of the project, a list of applicable target capabilities is displayed. Users must select a primary target capability from the available list via a drop-down list box and

anywhere between zero to four secondary target capabilities. All grant programs are aligned to all target capabilities. Upon entering all the required fields, the Save button must be clicked to confirm the selections.

Recipients | Award | Project | Project (5) | Project Detail | Allocations | Strategies

Current User: Jean Smith

Welcome!

IJ Submission

Investment

**Funding**

PSIC

Approval

Organization

Users

Reporting

Logout

**SAAD/DTG Award Information - SA-1-CA-Governor's Office of Homeland Security (SAA) - 2014 BSIR (December 14)**

**Project Detail**

Project Title: Test Project for the DIT

Project Description: Describing the condition of the weather

Self-Check: OK

**2014 Investment Alignment and Fusion Center**

Submission Type: State Submission

Investment Supported: Third Investment

Fusion Center: California State Threat Assessment Center

Fusion Center Capability: COC 2: Analyze

**Available Fusion Center Attributes:**

Fusion center has approved plans, policies, or SOPs for assessing the local implications of time-sensitive and emerging threat information  
 Fusion center has a documented analytic production plan  
 Fusion center has access to multidisciplinary subject-matter experts (SMEs) within its area of responsibility (AOR) to inform analytic production  
 Fusion center has a process to provide Department of Homeland Security (DHS) with information and/or intelligence that offers a local context to threat information in the event of an NTAS-related alert  
 Fusion center conducts threat assessments within its AOR  
 Fusion center contributes to national-level risk assessments  
 Fusion center has a structured customer feedback mechanism for some or all of its analytic products  
 All fusion center analysts have received at least 20 hours of issue-specific training in the past 12 months

**Selected Fusion Center Attributes:**

Fusion center has access to multidisciplinary SMEs outside of its AOR to inform analytic production  
 Fusion center contributes to or conducts a statewide risk assessment (threat, vulnerability, and consequence analysis)  
 Fusion center evaluates the effectiveness of the customer feedback mechanism for analytic products on an annual basis

Does this project support a Previously Awarded Investment? Yes

Prior Year	Investment Name	Last Completed Milestone	Remove
2012	Enhance Community Resilience	This is testing for GRT_11.18.00.00 release	Remove

Add Prior Investment

**2014 BSIR (December 14) Core Capability and Project Details**

Primary Core Capability: Situational Assessment

Capabilities Building: Sustain

Deployable: Yes

Shareable: No

Project Status: Not Started

Project Management Step: Execute

Start Date (mm/yyyy): 06/2014

End Date (mm/yyyy): 09/2017

Does this project require new construction, renovation, retrofitting or modifications of existing structure? Yes

**Milestones and NIMS Typing**

Milestone	Milestone Complete	Remove
This is a major milestone	<input type="checkbox"/>	Remove

Add Milestone

Does this project support a NIMS typed resource? Yes

Enter the name of the typed resources from the Resource Type Library Tool: TRest

Enter the ID of the typed resources from the Resource Type Library Tool: 123

[Click to access the Resource Type Library Tool](#)

Figure 65: Project Detail Tab

### 4.3.1.6 Allocations Tab

The Allocations tab within the Funding module has been updated with the appropriate Fiscal Year subcategories/disciplines and their respective allowable costs by solution area. The tab was also modified to show only funded grant programs across solution area sub-categories and disciplines. Prior versions of the GRT showed all grant programs across solution area sub-category and discipline, rather than just those which were funded. For PSIC-specific projects, this tab has been deactivated.

The screenshot displays the 'Grants Reporting Tool' interface for the 'Federal Emergency Management Agency'. The 'Allocations' tab is active, showing project details for 'SAADTG Award Information - SA-1-CA-Governor's Office of Homeland Security (SAA) - 2014 BSIR (December 14)'. The project title is 'Test Project for the DIT' and the description is 'Describing the condition of the weather'. The solution area is set to 'Planning'. The funding breakdown is as follows:

Total Project Funding:		Total Solution Area Funding:	
SHSP	\$2,000	Planning	\$1,050
UASI		Organization	\$590
EMPG	\$100	Equipment	\$660
NSGP	\$100	Training	
OPSG	\$100	Exercises	
IPR		Management & Administration	
<b>Total</b>	<b>\$2,300</b>	<b>Total</b>	<b>\$2,300</b>

The 'Total' funding amount of \$2,300 is marked as 'OK' in a green box.

Solution Area Subcategories		
	SHSP	Total
Develop, deliver and evaluate training		
2011 BSIR (June 12)		
Overtime and backfill for emergency preparedness and response personnel attending FEMA-sponsored and approved training classes		
2011 BSIR (June 12)	\$2,000.00	\$2,000.00
Overtime and backfill expenses for part-time and volunteer emergency response personnel participating in FEMA training		
2011 BSIR (June 12)		
Training workshops and conferences		
2011 BSIR (June 12)		
Certification/Recertification of Instructors		
2011 BSIR (June 12)		
Full- or part-time staff or contractors/consultants		
2011 BSIR (June 12)		
Travel		
2011 BSIR (June 12)		
Supplies		
2011 BSIR (June 12)		
Tuition for higher education		
2011 BSIR (June 12)		
Other items		
2011 BSIR (June 12)		
Other Authorized Personnel		
2011 BSIR (June 12)		
Total Solution Area Funding		
2011 BSIR (June 12)	\$2,000.00	\$2,000.00

Disciplines		
	SHSP	Total
Agriculture		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Cyber Security		
2011 BSIR (June 12)	<input type="text" value="\$2,000.00"/>	<input type="text" value="\$2,000.00"/>
Emergency Management		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Emergency Medical Services (Non fire-based)		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Emergency Medical Services (Fire-based)		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Fire Service		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Governmental/Administrative		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Health Care		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
HazMat		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Law Enforcement/LETPA		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Not for Profit/Non-Profit		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Public Health		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Public Safety Communications		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Public Works		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Regional Transit System		
2011 BSIR (June 12)	<input type="text"/>	<input type="text"/>
Total Distributions to All Disciplines		
2011 BSIR (June 12)	<input type="text" value="\$2,000.00"/>	<input type="text" value="\$2,000.00"/>
Self-Check		
<input type="button" value="OK"/>		

Figure 66: Allocations Tab

In the Allocations tab, the user assigns project funding to a series of solution areas through solution area sub-categories and disciplines. Detailed project information is displayed for the user so they know which project they are funding. Details on how to access all solution areas are found below.

#### 4.3.1.6.1 Solution Areas

The six solution areas correspond to the strategic objectives in the State Homeland Security Strategies, Urban Area Homeland Security Strategies, and Regional Transit Security Strategies. Each project's funding must be aligned with at least one of the six solution areas:

- ❖ Planning
- ❖ Organization
- ❖ Equipment
- ❖ Training
- ❖ Exercise
- ❖ Management and Administration

For each solution area, the user will identify both solution area sub-categories and disciplines that will receive grant funding. Although the solution area sub-categories and disciplines are mutually exclusive, the totals for the funding assigned to solution area sub-categories and disciplines should be equal.

#### 4.3.1.6.2 Solution Area Sub-Categories

A solution area sub-category is an allowable expenditure within each solution area to accomplish identified projects. The sub-categories are initially defined in the grant application kits. Sub-categories vary from one solution area to the next. The user will be asked to indicate the total funding for each solution area to a sub-category for each grant program (i.e., SHSP, LETPA, CCP, UASI, EMPG, MMRS, etc. as appropriate).



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Specific subcategories within HSGP have been directly associated with the personnel cap. To ensure that the 50% cap is met, all subcategories that are identified as part of 'personnel' would be totaled and compared against the 50% threshold. Should the state/territory be over the 50% cap, an error would be thrown on the funding validation for Personnel. Those subcategories that will count towards the cap are noted with a red help carat and the following pop-up text: *Funding entered into this subcategory will count toward the Personnel cap for the grant program.*

---

#### 4.3.1.6.3 Disciplines

A discipline is a generally defined group or function receiving grant funding for specific work within a solution area. The list of disciplines is the same for all solution areas. The user must identify within each solution area, which disciplines will benefit from each type of grant program funding (e.g., SHSP, LETPA, CCP, UASI, EMPG, MMRS, TSGP, etc. as appropriate).



---

States are required to fund 25% of specified grant programs to the LETPA discipline. Since the 25% number is based on a variety of factors, DHS has published the minimum funding thresholds for states to meet the LETPA requirements. GRT will compare the total LETPA funding reported to the DHS requirement, if states are under the DHS-required LETPA funding amount, they will receive an error – states may overfund LETPA. The LETPA discipline funding textbox is noted with a red help carat and the following pop-up text: *Funding entered into this discipline will count toward the Law Enforcement Terrorism Prevention Activities (LETPA) minimum for the grant program.*

---

#### 4.3.1.6.4 Self-Checks

As shown below, the Total Solution Area Funding summary will also provide users a self-check that will identify any errors within the alignment of their sub-categories and disciplines. Per grant program, the total project funding, total sub-category funding and total discipline funding must equal each other in order for the self-check to be 'OK'.



Total Project Funding:		Total Solution Area Funding:	
SHSP	\$2,000	Planning	\$1,050
UASI		Organization	\$590
EMPG	\$100	Equipment	\$660
NSGP	\$100	Training	
OPSG	\$100	Exercises	
IPR		Management & Administration	
<b>Total</b>	<b>\$2,300</b> OK	<b>Total</b>	<b>\$2,300</b>

Figure 67: Funding – No Errors

If, for whatever reason, the sub-category totals and discipline totals do not equal within a grant program, the system will display an error to the user.

Total Project Funding:		Total Solution Area Funding:	
SHSP	\$2,000	Planning	ERROR
UASI		Organization	\$590
EMPG	\$100	Equipment	\$660
NSGP	\$100	Training	
OPSG	\$100	Exercises	
IPR		Management & Administration	
<b>Total</b>	<b>\$2,300</b> ERROR	<b>Total</b>	<b>\$1,250</b>

Figure 68: Funding – Errors



The user may save their work with an error as it is unlikely that a user will complete their BSIR in one sitting. Therefore, self-check errors may occur naturally. However, it is important to remember that the user will be unable to submit their information for approval if it contains errors.

#### 4.3.1.7 Strategies Tab (not applicable to DTGs)

All projects must support specific goals and objectives in the Homeland Security Grant Program, Urban Areas Security Initiative, and/or Transit Security Grant Program. The Strategies tab displays Goals & Objectives for each State/territory. Users should refer to the strategies recently submitted to FEMA.

After creating a project and populating the project with applicable funding, the user must assign the appropriate goals and objectives.



OBJECTIVE (1)	
2010 BSIR (December 10)	Identify CBRNE equipment needs and purchase DHS-approved equipment to close identified gaps
2010 BSIR (June 11)	<div style="border: 1px solid gray; padding: 2px;">Identify CBRNE equipment needs and purch... ▼</div> <div style="border: 1px solid gray; padding: 2px; margin-top: 5px;"> <b>Objective Description</b>  Identify CBRNE equipment needs and purchase DHS-approved equipment to close identified gaps ▲▼ </div> <div style="border: 1px solid gray; padding: 2px; margin-top: 5px;"> <b>National Priority</b>  Strengthen CBRNE Detection, Response, &amp; Decontamination Capabilities ▲▼ </div>
OBJECTIVE (2)	
2010 BSIR (December 10)	Conduct multi-disciplinary HSEEP-compliant exercises to test CBRNE plans, protocols, and response procedures
2010 BSIR (June 11)	<div style="border: 1px solid gray; padding: 2px;">Conduct multi-disciplinary HSEEP-complia... ▼</div> <div style="border: 1px solid gray; padding: 2px; margin-top: 5px;"> <b>Objective Description</b>  Conduct multi-disciplinary HSEEP-compliant exercises to test CBRNE plans, protocols, and response procedures ▲▼ </div> <div style="border: 1px solid gray; padding: 2px; margin-top: 5px;"> <b>National Priority</b>  Strengthen CBRNE Detection, Response, &amp; Decontamination Capabilities ▲▼ </div>

Figure 70: Strategies - Objectives View

Projects funded with UASI funding or grantees aligned with urban areas will be displayed as Urban Area Goals & Objectives. Projects funded with TSGP funding will be displayed as Regional Transit Security Strategy Goals & Objectives.

The goals and objectives self-check will notify the user that they have not assigned goals and objectives to a project by displaying 'ERROR'. The system will display 'OK' once goals and objectives have been assigned.

#### 4.3.1.8 Metrics Tab




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As of the FY 2005 Grant Award Year, Metrics are not required as part of the ISIP submission.  
For FY 2014: Metrics tab will not be available.

---

The Metrics tab within the Funding module will be dynamically displayed so that it maps directly to the solution areas with associated funding to cover associated costs. Essentially, metrics should only be applied against the specific POETE that had funding allocated to it. The Metrics tab has been modified so that funding can be allocated to the identified POETE.

Like the solution area subcategories and disciplines, there are differences from the FY04 to FY05 project metrics. Users will see this difference in the application and are encouraged to pay close attention to the reporting period they are modifying/editing to ensure accuracy.

All projects for reporting periods after December 2005 will not require metrics, and therefore users do not have to fill out the fields in the Metrics tab. To indicate this, users must access the Metrics tab for the associated project, scroll down to the 'Metrics Exception' section, and select the checkbox next to "No metrics associated with this project". This indicates that there are no metrics captured for this project. The user should then click on the 'Save' button at the bottom of the page to save this change.

Recipients	Award	Project	Project (\$)	Project Detail	Allocations	Strategies	Metrics
------------	-------	---------	--------------	----------------	-------------	------------	---------

Current User: Jean Smith <hr/> Welcome! <hr/> IJ Submission <hr/> Investment <hr/> <b>Funding</b> <hr/> PSIC <hr/> Approval <hr/> Organization <hr/> Users <hr/> Reporting <hr/> Strategy <hr/> Logout	<table border="1"> <thead> <tr> <th colspan="2">SAA/DTG Award Information - Delaware Emergency Management Agency (SAA) - 2013 BSIR (June 14)</th> </tr> <tr> <th colspan="2">Project Funding Summary</th> </tr> </thead> <tbody> <tr> <td>Project Title:</td> <td>EMPG Project</td> </tr> <tr> <td>Project Description:</td> <td>Testing EMPG</td> </tr> <tr> <td>Self-Check:</td> <td><span style="background-color: green; color: black;">OK</span></td> </tr> <tr> <td colspan="2"><b>Total Project Funding:</b></td> </tr> <tr> <td></td> <td>SHSP <input type="text"/></td> </tr> <tr> <td></td> <td>UASI <input type="text"/></td> </tr> <tr> <td></td> <td>EMPG <input type="text" value="\$3,000,000.00"/></td> </tr> <tr> <td></td> <td>OPSG <input type="text"/></td> </tr> <tr> <td></td> <td>IPR <input type="text"/></td> </tr> <tr> <td></td> <td>NSGP <input type="text"/></td> </tr> <tr> <td></td> <td><b>Total</b> <input type="text" value="\$3,000,000.00"/></td> </tr> <tr> <td>Metrics Exception:</td> <td><input checked="" type="checkbox"/> No metrics associated with this project</td> </tr> </tbody> </table>	SAA/DTG Award Information - Delaware Emergency Management Agency (SAA) - 2013 BSIR (June 14)		Project Funding Summary		Project Title:	EMPG Project	Project Description:	Testing EMPG	Self-Check:	<span style="background-color: green; color: black;">OK</span>	<b>Total Project Funding:</b>			SHSP <input type="text"/>		UASI <input type="text"/>		EMPG <input type="text" value="\$3,000,000.00"/>		OPSG <input type="text"/>		IPR <input type="text"/>		NSGP <input type="text"/>		<b>Total</b> <input type="text" value="\$3,000,000.00"/>	Metrics Exception:	<input checked="" type="checkbox"/> No metrics associated with this project
SAA/DTG Award Information - Delaware Emergency Management Agency (SAA) - 2013 BSIR (June 14)																													
Project Funding Summary																													
Project Title:	EMPG Project																												
Project Description:	Testing EMPG																												
Self-Check:	<span style="background-color: green; color: black;">OK</span>																												
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	OPSG <input type="text"/>																												
	IPR <input type="text"/>																												
	NSGP <input type="text"/>																												
	<b>Total</b> <input type="text" value="\$3,000,000.00"/>																												
Metrics Exception:	<input checked="" type="checkbox"/> No metrics associated with this project																												

Figure 71: Metrics Tab

The Metrics tab contains the metric options for the six solution area categories. The metrics are either quantitative or “Yes/No” in nature. There is no text-based summary associated with the items; users must enter in numeric values or select a check box if they are indicating that a metric was satisfied.

#### 4.3.1.9 Project Completion and Submission

Once the user selects the Metrics tab, the user will see the Project Title and Project Description for that project’s metrics. Below the project description is a summary of their solution area funding specific to the project. The solution area summary is displayed to remind the user of which solution areas were allocated funds for this project.

The performance metrics are found below the project summary box and the project metrics are sorted by Solution Area. The user scrolls down the page and populates the performance metrics under the funded solution areas.

The Metrics tab has a drop-down list box for each metric. The dropdown options are N/A, Yes, or No. If the metric does not apply to the project, select ‘N/A’. If the metric is applicable to the project, select the appropriate ‘Yes’ or ‘No’ answer. For some metrics, a ‘Yes’ answer must be coupled with a numeric response in order to ensure no errors. Once all of the metrics have been addressed, the user should click ‘Save’.

Planning				
	Applicable	Total Planned for Life of Grant	Total Accomplished for Current Reporting Period	Total Cumulative Accomplished
<b>Assessments Conducted</b>				
Terrorism Risk Assessments	N/A	---	---	
Identification of Critical Infrastructure and Key Assets	N/A	---	---	
All-Hazards Analysis and Risk Assessments	N/A	---	---	
Capability Gap Analysis / Shortfalls Against Planning Scenarios / Capacity Thresholds	N/A	---	---	
Point Vulnerability Assessments	N/A	---	---	
<b>Plans and Procedures Developed/Enhanced</b>				
Terrorism Prevention/Deterrence Plan	N/A	---	---	
Site and Buffer Zone Security Plan	N/A	---	---	
Risk Mitigation Plan	N/A	---	---	
COOP/COG Plan	N/A	---	---	
Interoperable Communications Plans	N/A	---	---	
Develop / Enhance Emergency Operations Plans	N/A	---	---	
Develop / Enhance Emergency Operations Plans: Integration of Citizen / Volunteer Resources	N/A	---	---	
Develop / Enhance Emergency Operations Plans: Other EOP Elements and	N/A	---	---	

Figure 72: Metrics View Before 'YES' Selected

After clicking 'Save', those metrics that have a 'Yes' answer will be opened to accept numerical or "check-box" responses. After all updates are made, the user should save their work.

Exercises				
	Applicable	Total Planned for Life of Grant	Total Accomplished for Current Reporting Period	Total Cumulative Accomplished
<b>Exercises Impacted</b>				
Number of Seminars Conducted	Yes	10	6	6
Number of Games Conducted	No	---	---	
Number of Drills Conducted	Yes	5	4	4
Number of Functional Exercises Conducted	Yes	2	1	1
Number of Exercises Conducted (all types) that Included Citizen Participation	Yes	2	1	1
Number of Tabletop Exercises Conducted	Yes	3	1	1
Number of Workshops Conducted	Yes	5	5	5

Figure 73: Metrics View After 'YES' Selected

After a user completes all of the applicable steps of the project created (funds project, assigns goals & objectives, funds solution areas, completes project metrics), the user may navigate back to the Project tab if they wish to create more projects or work with projects already created.

#### 4.3.1.9.1 State Level Submission

If the user has completed all applicable steps within the Funding module, they may navigate to the Project tab and submit the projects for approval. Please refer to the 'Submitting Projects' (sec 4.4.5.1.2) section for detailed instructions.

## **Section 4.4 GRT Functionality – Approval Module**

### **4.4.1 Approval Module Access**

The major validation self-checks and computations are contained within the Approval module. Only SAA/DTG Admin and Federal users will have access to and use of this module.

### **4.4.2 Email Notifications**

All Federal and SAA/DTG Admin users will receive e-mail notifications based on the approval and/or change request status of subgrantees. If a user wants to receive e-mail notifications, their Program Analyst should contact the DHS System Administrator so they can be assigned to receive e-mail notifications by GRT. There are two major types of e-mail notifications, the Approval notification and the Change Request notification.

#### **4.4.2.1 Federal Approval**

##### ***4.4.2.1.1 Approval Email Notification***

When the Federal user approves a state submission, the GRT system will automatically send an e-mail from grt@www.reporting.odp.dhs.gov to the active SAA/DTG Admin user specific to the approved state.

##### ***4.4.2.1.2 Change Request Email Notification***

If a Federal user submits a 'State Change Request', the GRT will automatically send an e-mail from grt@www.reporting.odp.dhs.gov to the active SAA/DTG Admin user specific to the change requested state.

#### **4.4.2.2 State Approval**

##### ***4.4.2.2.1 Submitted to FEMA Email Notification***

If an SAA/DTG Admin user submits a 'State Change Request' to FEMA, the GRT will automatically send an e-mail from grt@www.reporting.odp.dhs.gov to the active Federal and SAA/DTG Admin users assigned to the state.

### **4.4.3 Federal Approval Tab**

#### **4.4.3.1 Approve or Change Request State Submissions**

The Federal Approval tab (available to Fed users only) displays each state assigned to the user as a hyperlink, the Reporting Period drop-down list box, the Total Reported dollars, the Federal Grant dollars, workflow Status, and the State Self-Check. If the State Self-Check is in 'OK' status, then the state has no funding or self-check errors within any of the projects or validation criteria. If the self-check is in 'ERROR' status, there is at least one self-check or validation error.

Fed Admin users are able to approve or change request states by clicking the checkbox next to the intended state/territory, and click the action button at the bottom of the page, to indicate 'Approve' or 'Change Request'.

**Grants Reporting Tool**  
Federal Emergency Management Agency

Current User: Jean Smith

Welcome!

Admin

IJ Submission

Investment

Scoring

Funding

PSIC

**Approval**

Organization

Users

Reporting

Strategy

Logout

Federal Approval | Organization Approval

**Federal Approval**

Grant Award Year: 2014 | Reporting Period: BSIR (December 14)

Organization	Total Reported	Federal Grant	Status	Self Check
<input type="checkbox"/> Alabama	\$0.00	\$5,672,297.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Alaska	\$12.00	\$2,952,078.00	Data Entry In Progress	ERROR
<input type="checkbox"/> American Samoa	\$0.00	\$865,595.00	Data Entry In Progress	OK
<input type="checkbox"/> Arizona	\$0.00	\$18,674,616.00	Data Entry In Progress	OK
<input type="checkbox"/> Arkansas	\$0.00	\$4,342,696.00	Data Entry In Progress	OK
<input type="checkbox"/> California	\$9,300.00	\$38,088,644.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Colorado	\$0.00	\$6,003,158.00	Data Entry In Progress	OK
<input type="checkbox"/> Connecticut	\$0.00	\$4,744,763.00	Data Entry In Progress	OK
<input type="checkbox"/> Delaware	\$1.00	\$3,068,487.00	Data Entry In Progress	ERROR
<input type="checkbox"/> District of Columbia	\$0.00	\$3,602,339.00	Data Entry In Progress	OK
<input type="checkbox"/> Florida	\$0.00	\$15,924,563.00	Data Entry In Progress	OK
<input type="checkbox"/> Georgia	\$0.00	\$8,713,765.00	Data Entry In Progress	OK
<input type="checkbox"/> Guam	\$0.00	\$931,415.00	Data Entry In Progress	ERROR
<input type="checkbox"/> Hawaii	\$0.00	\$3,366,476.00	Data Entry In Progress	OK
<input type="checkbox"/> Idaho	\$0.00	\$3,543,149.00	Data Entry In Progress	OK
<input type="checkbox"/> West Virginia	\$0.00	\$3,656,885.00	Data Entry In Progress	OK
<input type="checkbox"/> Wisconsin	\$0.00	\$6,084,183.00	Data Entry In Progress	OK
<input type="checkbox"/> Wyoming	\$0.00	\$2,854,862.00	Data Entry In Progress	OK

Check All On This Page - Clear All

Figure 74: Federal Approval Tab

## 4.4.4 Organization Approval Tab

### 4.4.4.1 Self-Check Validation Views

The Organization Approval tab is the page in which the SAA/DTG Admin user will review and approve all individual grantees and subgrantees, as well as submit the state's BSIR to FEMA.

There are three drop-down list boxes available for the state:

- ❖ Select Option - State self-check validation components (individually described in this section)
- ❖ Grant Award Year – Grant Award Year selector
- ❖ Reporting Period – Reporting Period selector; populated based on Grant Award Year selected

**Grants Reporting Tool**  
Federal Emergency Management Agency

Current User: Jean Smith

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**Approval**

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Organization Approval

**Approval - California**

Select Option: Grantee/Subgrantee Approval | Grant Award Year: 2014 | Reporting Period: BSIR (December 14) | Overall Self Check: ERROR

**Grantee/Subgrantee Approval**

Grantee/Subgrantee	Total Reported	Status	Self Check
<input type="checkbox"/> EC-Brawley, Cty of	\$0.00	Data Entry In Progress	ERROR
<input type="checkbox"/> EC-Cudahy, Cty of	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> EC-Ek Grove, Cty of	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> EC-City of Fresno	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> NP-Bas. Menachem Dav. School	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> SA-1-CA-Governor's Office of Homeland Security (SAA)	\$9,300.00	Data Entry In Progress	ERROR
<input type="checkbox"/> SA-CA-Department of Food & Agriculture	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> SA-CA-JC Riverside	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> SU-Bay Area	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> TA-Foothill Transit System Authority	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> UA-City of San Diego UA1	\$0.00	Data Entry In Progress	OK
<input type="checkbox"/> UA-City/County of San Francisco UA1	\$0.00	Data Entry In Progress	OK

Check All On This Page - Clear All

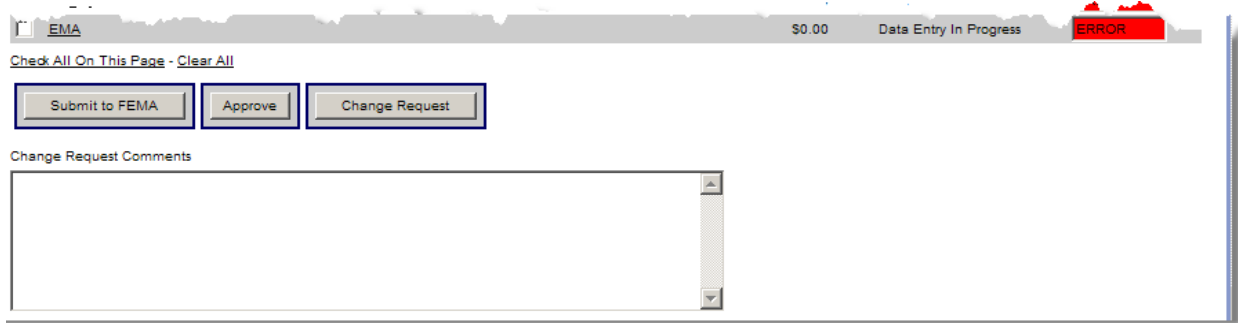


Figure 75: Organization Approval Tab

#### 4.4.4.1.1 Grantee/Subgrantee Approval

This is the default view in the State Approval tab. This page displays the entire list of grantees/subgrantees within the state for the specific reporting period as well as their associated self-checks.

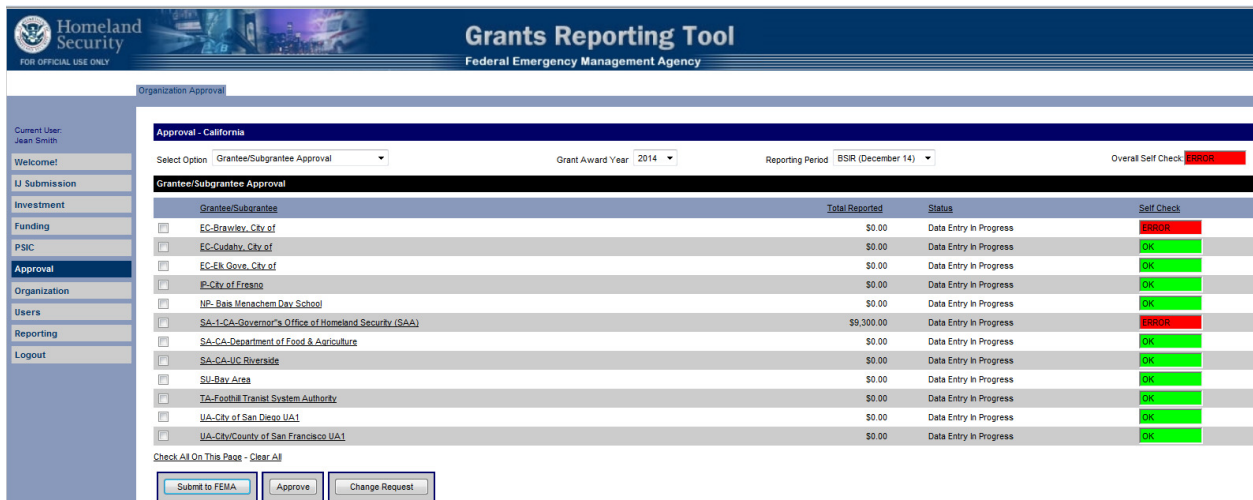


Figure 76: Organization Approval Tab – Grantee/Subgrantee Approval View

#### 4.4.4.1.2 State Submission

This validation page displays all of the validation points for the selected State. In one snapshot, the user is able to determine if any self-check errors exist. Specifically, the Funding, Passthrough Compliance, Management & Administration, MOU, Personnel, LETPA and Organization (Close-out - if in a Close-out period) validations are confirmed.



**Grants Reporting Tool**  
Federal Emergency Management Agency

Organization Approval

Approval - California

Select Option: Overall Submission Validation | Grant Award Year: 2014 | Reporting Period: BSR (December 14)

Validation	Self Check
Funding Validation	ERROR
Passthrough Compliance Validation	ERROR
Management & Administration Validation	OK
MOU Validation	OK
Personnel Validation	OK
LETPA Validation	ERROR
Organization Validation	OK

Figure 77: Organization Approval Tab – Overall Submission Validation View

#### 4.4.4.1.3 Funding Validation

This validation page displays aggregate grant award funding totals at the state level. The amounts totaled are from the Award tab in the Funding module. The totals from all subgrantees from all grant programs per reporting period are displayed and compared to the Federal Grant amounts.

**Grants Reporting Tool**  
Federal Emergency Management Agency

Organization Approval

Approval - California

Select Option: Funding Validation | Grant Award Year: 2014 | Reporting Period: BSR (December 14)

Grant Program	Total Award Reported	Federal Award	Difference	Self Check
SHSP	\$11,000.00	\$0.00	0%	ERROR
UASI	\$11,000.00	\$0.00	0%	ERROR
EMPG	\$10,000.00	\$26,347,468.00	99.962%	ERROR
NSGP	\$10,000.00	\$2,315,444.00	99.5681%	ERROR
OPSG	\$10,000.00	\$9,425,732.00	89.8939%	ERROR
PR	\$0.00	\$0.00	0%	OK

Figure 78: Organization Approval Tab – Funding Validation View

#### 4.4.4.1.4 Passthrough Compliance Validation

This validation page displays the passthrough compliance validation at the state level. Passthrough amounts are broken out by grant program and subsequently by state and local funding share.

**Grants Reporting Tool**  
Federal Emergency Management Agency

Organization Approval

Approval - California

Select Option: Passthrough Compliance Validation | Grant Award Year: 2014 | Reporting Period: BSR (December 14)

Grant Program	Total State Share	Total Local Share	State Percent	Local Percent	Self Check
SHSP	\$1,000.00	\$3,000.00	25%	75%	ERROR
UASI	\$1,000.00	\$1,000.00	50%	50%	ERROR
EMPG	\$1,000.00	\$100.00	90.91%	9.09%	OK
NSGP	\$1,000.00	\$100.00	90.91%	9.09%	ERROR
OPSG	\$1,000.00	\$100.00	90.91%	9.09%	ERROR
PR	\$0.00	\$0.00	N/A	N/A	OK

Figure 79: Organization Approval Tab – Passthrough Compliance View

#### 4.4.4.15 Management & Administration Validation

This validation page displays the Management & Administration validation per grant program. The percent allocated to Management & Administration is displayed and compared to the allowable percentage.

Grant Program	Total Award	Local Share	Total M&A (% of Total Award)	State M&A (% of Total Award)	Local M&A (% of Local Share)	Self Check
SHSP	\$0.00	\$3,000.00	\$0.00 (N/A)	\$0.00 (N/A)	\$0.00 (N/A)	OK
UASI	\$0.00	\$1,000.00	\$0.00 (N/A)	\$0.00 (N/A)	\$0.00 (N/A)	OK
EMPG	\$26,347,468.00	\$100.00	\$0.00 (0%)	\$0.00 (0%)	\$0.00 (0%)	OK
NSGP	\$2,315,444.00	\$100.00	\$1,000.00 (0.04%)	\$1,000.00 (0.04%)	\$0.00 (0%)	OK
OPSG	\$9,425,732.00	\$100.00	\$0.00 (0%)	\$0.00 (0%)	\$0.00 (0%)	OK
IPR	\$0.00	\$0.00	\$0.00 (N/A)	\$0.00 (N/A)	\$0.00 (N/A)	OK

Figure 80: Organization Approval Tab – Management & Administration View

#### 4.4.4.16 Planning Validation (applicable for FY 2007 – FY 2008 grant programs)

This validation page displays the Planning validation per grant program. The percent allocated to Planning is displayed and compared to the allowable percentage. The self-check OK and ERROR are displayed accordingly.

Grant Program	Federal Award	Allocations	Program %	Self Check
SHSP	\$110,090,000.00	\$28,396,078.00	25.79%	OK
UASI	\$143,789,500.00	\$56,333,217.00	39.18%	OK
MMRS	\$5,781,978.00	\$1,571,587.00	27.18%	OK
CCP	\$1,162,496.00	\$631,158.00	54.29%	OK
EMPG	\$23,054,978.00	\$6,436,163.00	27.92%	OK
NSGP	\$4,089,001.00	\$0.00	0%	OK
OPSG	\$7,650,000.00	\$5,317,782.00	69.51%	OK
IECGP	\$6,107,351.00	\$5,798,446.00	94.94%	OK
EOC	\$323,943.00	\$0.00	0%	OK
BZPP	\$7,379,000.00	\$2,519,640.00	34.15%	OK
TSGP	\$41,760,804.00	\$12,854,077.00	30.78%	OK
IPR	\$0.00	\$0.00	N/A	OK
RCPGP	\$13,500,000.00	\$13,095,000.00	97%	OK
MSICG	\$0.00	\$0.00	N/A	OK

Figure 81: Organization Approval Tab – Planning (Statewide) Validation View

#### 4.4.4.17 MOU Validation

This validation page displays the grantees/subgrantees which are retaining funding on behalf of local jurisdictions. The projects which fit this category will be listed along with a self-check confirming whether or not an MOU is in place.

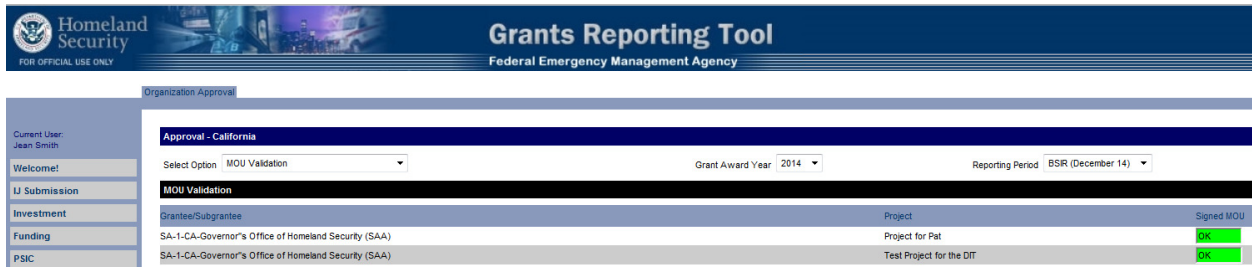


Figure 82: Organization Approval Tab – MOU Validation View

#### 4.4.4.1.8 LETPA Validation

This validation page displays the LETPA validation per grant program. The minimum funding required is displayed and compared to the allocations. The self-check OK and ERROR are displayed accordingly.

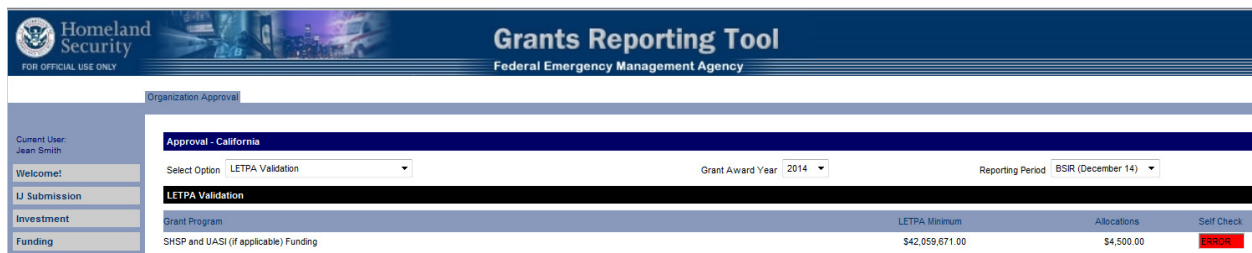


Figure 83: Organization Approval Tab – LETPA Validation View

#### 4.4.4.1.9 Organization Validation

This validation page displays the Organization validation per grant program. The Federal Award, Allocations, Program % and Allowable % are displayed. The self-check OK and ERROR are displayed accordingly.

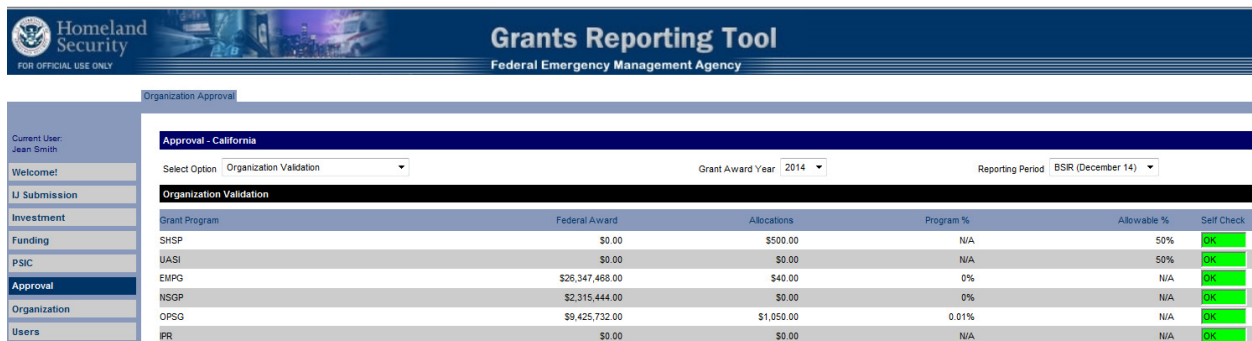


Figure 84: Organization Approval Tab – Organization Validation View

#### 4.4.4.1.10 Personnel Validation (not applicable for FY 2007 grant programs)

This validation page displays the Personnel validation per grant program. The percent allocated to Personnel is displayed and compared to the allowable percentage. Allowable percentages can be found in the Appendix in Personnel pass-through requirements. The self-check OK and ERROR are displayed accordingly.

Grant Program	Federal Award	Allocations	Program %	Allowable %	Self Check
SHSP	\$0.00	\$0.00	N/A	50%	OK
UASI	\$0.00	\$0.00	N/A	50%	OK
EMPG	\$26,347,468.00	\$40.00	0%	N/A	OK
NSGP	\$2,315,444.00	\$1,000.00	0.04%	N/A	OK
OPSG	\$9,425,732.00	\$0.00	0%	N/A	OK
PR	\$0.00	\$0.00	N/A	N/A	OK

Figure 85: Organization Approval Tab – Personnel Validation View

#### 4.4.4.1.11 Close-out Validation

The Close-out Validation page displays the difference between the Obligated and Expended data for the final Close-out reporting period. The user will not be able to submit to FEMA without certifying that the Close-out reporting period is accurate. In order for the Adjusted Award Amount (the original award amount minus the deobligated amounts) be changed for a specific grant program, SAAs are advised to contact their PA or the GRT Help Desk for this request.

#### Self-Check Status

- ❖ The user will see a self-check status of 'OK' or 'ERROR' depending on the values of the Obligated Amount Reported, Expended Amount Reported, and Adjusted Award Amount.
- ❖ A self-check status of 'OK' should be activated when the Obligated Amount Reported is \$0.00. Also, the difference between Expended Amount Reported and Adjusted Amount Reported is \$0.00. A self-check status of 'ERROR' should be set when the Obligated Amount Reported is not \$0.00 and/or the difference between Expended Amount Reported and Adjusted Amount Reported is not \$0.00.

Grant Program	Award Amount	Obligated Amount Reported	Expended Amount Reported	Adjusted Award Amount	Difference	Self Check
SHSP	\$350,000.00	\$0.00	\$350,000.00	\$350,000.00	\$0.00	OK
UASI	\$4,997,000.00	\$0.00	\$4,997,000.00	\$4,997,000.00	\$0.00	OK
MMRS	\$16,839,000.00	\$0.00	\$16,839,000.00	\$16,839,000.00	\$0.00	OK
CCP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	OK
EMPG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	OK

Organization Approval Tab – Close-out Validation View

#### 4.4.4.1.12 Close-Out Submission

In order for the SAA user to submit the Close-out reporting period to FEMA, the Close-out validation requirements must be satisfied. Once satisfied, the SAA/DTG admin user must certify, within the Close-out validation, that the data within this final BSIR reporting period is accurate.

The SAA Admin user will not be able to submit to FEMA without certifying that the final BSIR reporting period is accurate.

Close-out – Organization Approval

- ❖ The SAA Admin user should select the checkbox, then click ‘Approve’.
- ❖ Data can only be certified if the self-check status is ‘OK’ and all criteria have been satisfied.

Approval - State X

Select Option: Grantee/Subgrantee Approval | Grant Award Year: 2008 | Reporting Period: Close-Out | State Self Check: OK

**Grantee/Subgrantee Approval**

Grantee/Subgrantee	Total Reported	Status	Self Check
<input type="checkbox"/> Attorney General's Office	\$222,108.00	Submitted to State	OK
<input type="checkbox"/> Dept of Accounting and General Services	\$108,739.00	Submitted to State	OK
<input type="checkbox"/> Dept of Agriculture	\$133,705.00	Submitted to State	OK
<input type="checkbox"/> Dept of Education	\$110,000.00	Submitted to State	OK
<input type="checkbox"/> Dept of Health	\$251,257.00	Submitted to State	OK
<input type="checkbox"/> Dept of Land and Natural Resources	\$332,986.00	Submitted to State	OK
<input type="checkbox"/> Dept of Public Safety	\$1,648,777.00	Submitted to State	OK
<input type="checkbox"/> Dept of Transportation	\$203,084.00	Submitted to State	OK
<input type="checkbox"/> The University of Hawaii	\$300,000.00	Submitted to State	OK

Check All On This Page - Clear All

Buttons: Submit to FEMA, Approve, Change Request

Figure 86: Organization Approval Tab – SAA/DTG Approval

Close-out – Submit to FEMA

- ❖ Upon approving the Close-out period at the state level, the SAA Admin user should check mark the certification box (circled below), then click ‘Submit to FEMA’ to submit for approval.

Approval - State X

Select Option: Grantee/Subgrantee Approval | Grant Award Year: 2008 | Reporting Period: Close-Out | State Self Check: OK

**Grantee/Subgrantee Approval**

Grantee/Subgrantee	Total Reported	Status	Self Check
<input type="checkbox"/> Attorney General's Office	\$222,108.00	Approved by State	OK
<input type="checkbox"/> Dept of Accounting and General Services	\$108,739.00	Approved by State	OK
<input type="checkbox"/> Dept of Agriculture	\$133,705.00	Approved by State	OK
<input type="checkbox"/> Dept of Education	\$110,000.00	Approved by State	OK
<input type="checkbox"/> Dept of Health	\$251,257.00	Approved by State	OK
<input type="checkbox"/> Dept of Land and Natural Resources	\$332,986.00	Approved by State	OK
<input type="checkbox"/> Dept of Public Safety	\$1,648,777.00	Approved by State	OK
<input type="checkbox"/> Dept of Transportation	\$203,084.00	Approved by State	OK
<input type="checkbox"/> The University of Hawaii	\$300,000.00	Approved by State	OK

Check All On This Page - Clear All

certify that the data within this final reporting period ties-out and is accurate.

Buttons: Submit to FEMA, Approve, Change Request

Figure 87: Organization Approval Tab – Submit to FEMA

#### 4.4.5 Approval – SAA/DTG User

The SAA/DTG is responsible for reviewing and approving all grantees/subgrantees associated with their state. Only SAA/DTG users with admin rights can review and approve their state’s grantees/subgrantees and submit them to FEMA.

The status dictates which users can review the reports, when the report is in read-only format, and what level of approval is next in the workflow process.

##### 4.4.5.1 Status and Definition

Status	Definition
Data Entry in Progress	This status denotes that a grantees/subgrantees and related projects are currently being populated and completed.
Project Submitted	This status denotes that a specific project has been completed and ‘locked down’. Once all of the projects are in ‘Project Submitted’ status, they may be sent to the next level for review and approval.
Submitted to State	This status denotes that the subgrantee’s project(s) have been submitted and are awaiting approval by the SAA/DTG.
Approved by State (SAA/DTG)	This status denotes that the subgrantee’s project(s) have been approved by the SAA/DTG and is/are pending submittal to FEMA.
Submitted to FEMA	This status denotes that grantees/subgrantees have been submitted by the SAA/DTG to FEMA for review and approval. All of the state’s grantees/subgrantees must be in the ‘Approved by State’ status prior to FEMA approval.
Approved by FEMA	This status denotes that the state’s submission to FEMA has been approved and there are no outstanding change requests.
Change Request	This status denotes that an approving user has denied the submission and requests changes be made. There are two types of change requests: ‘SAA/DTG Change Request’ and ‘Subgrantee Change Request’.

Figure 88: Statuses and Definitions

Once a subgrantee submits all of their projects and officially submits their report to the SAA/DTG for review, the SAA/DTG will receive an e-mail notification that project has been submitted to the State level and is awaiting approval. The SAA/DTG Admin user will see the status of these projects as ‘Submitted to State’. The SAA/DTG Admin user can now review and approve the subgrantees with this status.



It is suggested that the SAA/DTG review and approve the subgrantee reports as they are submitted to the state. As a reminder, states can always view the status of all of their subgrantees to see who has (and has not) been approved.

After an SAA/DTG Admin user reviews each report and is confident that no changes need to be made, they should approve the report. Approving a subgrantee report at the SAA/DTG level changes the status to ‘Approved by State’. Each subgrantee report must have the status ‘Approved by State’ in order for the entire state’s submission to then be submitted to FEMA for approval.

**For example, if a state has 50 subgrantees, each subgrantee report will need to be ‘Approved by State’. The SAA/DTG report flow follows the same path as the subgrantees; however, the SAA/DTG Admin user would be submitting the report to themselves (the SAA/DTG) for review and approval. The SAA/DTG report also must be ‘Approved by State’.**

In order to approve the report, the SAA/DTG should click on the check box of the subgrantee they wish to approve. The SAA/DTG user should then select the ‘Approve’ button to approve the subgrantee.

#### 4.4.5.1.1 Approval

In order for any subgrantee to submit their report to the SAA/DTG Admin user for review and approval, the Local Admin user of that subgrantee must ensure that all projects that have been created have been allocated funds and individually submitted. The user may check the progress of all the projects under the Funding tab. The 'Project Status' column will note the status of the project: 'Data Entry In Progress' or 'Projected Submitted'. All projects need to be in the 'Project Submitted' phase in order for the projects to proceed to the next level, 'Submitted to State'.

Local Jurisdiction / Entity Award Information - City of Cordova - 2012 BSIR (June 13)					
Project View: <input type="button" value="Project List"/>		Self-Check View: <input type="button" value="-- Select Self-Check View --"/>		Grantee/Subgrantee Self-Check: <b>ERROR</b>	
Project Title	Funding Type	Funding	Project Status	Project Self-Check	
<input type="checkbox"/> Fusion Center and Law enforcement	Passthrough to Local	\$18,000.00	Data Entry In Progress	<b>OK</b>	
<input type="checkbox"/> SHSP	Passthrough to Local	\$200,470.00	Data Entry In Progress	<b>ERROR</b>	

Figure 89: Funding Module – Project Tab – Review Status

#### 4.4.5.1.2 Submitting Projects

Each project must be individually submitted and confirmed. However, one or many project(s) in 'Data Entry in Progress' may be changed to 'Project Submitted'. This additional confirmation is put in place to ensure that all projects have been correctly addressed and that there are no funding errors. If the system does not allow a project to be submitted, the user must check to ensure that there are no data entry errors or that required fields have been correctly populated.

Each project that is created but not submitted will show the status of 'Data Entry in Progress'. To change this status to 'Project Submitted', the user should click on the check box next to the subgrantee name, and then click 'Submit'. If the user needs to change the status to 'Data Entry in Progress,' the user will click the check box, and then the 'Change Status' button. Users may select one or more projects with the same status when changing the workflow status.

Local Jurisdiction / Entity Award Information - City of Cordova - 2012 BSIR (June 13)					
Project View: <input type="button" value="Project List"/>		Self-Check View: <input type="button" value="-- Select Self-Check View --"/>		Grantee/Subgrantee Self-Check: <b>ERROR</b>	
Project Title	Funding Type	Funding	Project Status	Project Self-Check	
<input type="checkbox"/> Fusion Center and Law enforcement	Passthrough to Local	\$18,000.00	Project Submitted	<b>OK</b>	
<input type="checkbox"/> SHSP	Passthrough to Local	\$200,470.00	Data Entry In Progress	<b>ERROR</b>	

Comments

Check All Clear All

Figure 90: Funding Module – Project Tab – Submit Projects

#### 4.4.5.1.3 Change Request

SAA/DTG Admin users have the ability to request a change to the subgrantee's report. In order to request a change, the SAA/DTG should select the check box(es) of the appropriate grantee(s)/subgrantee(s) and then click the 'Change Request' button. The SAA/DTG will be required to enter a reason for the change request.

#### 4.4.5.1.4 Responding to Change Requests

SAA/DTG Admin users have the ability to request a change to the subgrantee's submittal. SAA/DTGs may request changes after reviewing a subgrantee's report. The subgrantees will be notified by the SAA/DTG user that a change request has been made. When a change request is made, the status of the submitted

subgrantee will change to 'Change Request'. The report will no longer be in read-only mode, to allow for all necessary changes to be made.

#### 4.4.5.1.5 Impact Tab



The Impact tab has been disabled and will only be accessible for reporting periods before December 2005.

The final step prior to submitting to the SAA for review is for the subgrantee to complete the Impact tab. This section is found as a tab under the Approval module. The Impact tab seeks to qualify the impact the grant award funding has had on the specific subgrantee's progress to date. This is completed once per reporting period.

There are three sections of questions, including: Prevention, Response, and Recovery. Each question has two types of answers. The first set of answers is on a numerical scale of 0 to 5. The other type of question is a response that will be either a 'Yes', 'No', or 'N/A'. Additional instructions on completing this section can be found on the top of the Impact tab. After completing the section the user must click on the 'Save' button.

SAA Award Information - State Civil Defense - 2005 BSIR (June 05)

Self-Check:  OK

**Prevention**

Goals and Objectives

	Ability to Demonstrate?
How much progress will the projects you identified allow you to make towards your State and/or Urban Area strategic goals/objectives in the area of Prevention?	Slight - Up to 20% measurable improvement Yes

Details

	Ability to Demonstrate?
Collaboration between and among public and private sector agencies and the general public to prevent terrorism (e.g., task forces, MOUs, community policing, regional command centers)	Slight - Up to 20% measurable improvement Yes
Information sharing linkages to prevent terrorism (e.g., information exchange networks, alert and warning capability)	Slight - Up to 20% measurable improvement Yes
Threat recognition to stop terrorism threat before it is executed (e.g., data warehousing, mapping threats and capabilities, public safety communications, detection, epidemiological surveillance)	Slight - Up to 20% measurable improvement Yes
Risk management approaches to reduce vulnerability of targets and prevent terrorism (e.g., target hardening, vulnerability assessments, threat analysis, crime prevention through environmental design, surveillance)	Slight - Up to 20% measurable improvement Yes
Intervention to stop terrorists before a threat is executed (e.g., training in legal, tactical, and strategic policing to apprehend terrorists)	Slight - Up to 20% measurable improvement Yes

**Response**

Goals and Objectives

	Ability to Demonstrate?
How much progress will the projects you identified allow you to make towards your State and/or Urban Area strategic goals/objectives in the area of Response?	Slight - Up to 20% measurable improvement

Figure 91: Impact Tab

#### 4.4.6 Submitting Completed BSIR

To fulfill its reporting requirements to FEMA, the SAA/DTG must submit its grantees/subgrantees to FEMA. BSIR reports should be approved by the SAA/DTG and submitted to FEMA for approval. When all of the subgrantee and SAA/DTG reports have been 'Approved by State', the SAA/DTG may submit the entire state's grantee/subgrantee report to FEMA.

In order to submit the grantees/subgrantees to FEMA, the SAA/DTG must first approve all individual subgrantee reports. To approve a subgrantee, the SAA/DTG Admin user may select the check box(es) for the subgrantee(s) and click the 'Approve by State' button. After the SAA/DTG has approved ALL individual grantees/subgrantees, they can submit them to FEMA for approval by clicking on the 'Submit to FEMA' button.



The SAA/DTG Admin user may also submit a change request by selecting the check box for the subgrantee and clicking the 'Change Request' button. Comments must be entered in the comments box when submitting a change request.

Organization Approval

---

**Approval - California**

Select Option: Grantee/Subgrantee Approval      Grant Award Year: 2014      Reporting Period: BSR (December 14)      Overall Self Check: **ERROR**

**Grantee/Subgrantee Approval**

Grantee/Subgrantee	Total Reported	Status	Self Check
<input type="checkbox"/> EC-Brawley, City of	\$0.00	Data Entry In Progress	<b>ERROR</b>
<input type="checkbox"/> EC-Cudahy, City of	\$0.00	Data Entry In Progress	<b>OK</b>
<input type="checkbox"/> EC-EK Gov. City of	\$0.00	Data Entry In Progress	<b>OK</b>
<input type="checkbox"/> P-City of Fresno	\$0.00	Data Entry In Progress	<b>OK</b>
<input type="checkbox"/> NP- Bais Menachem Day School	\$0.00	Data Entry In Progress	<b>OK</b>
<input type="checkbox"/> SA-1-CA-Governor's Office of Homeland Security (SAA)	\$9,300.00	Data Entry In Progress	<b>ERROR</b>
<b>EIMA</b>	<b>\$0.00</b>	<b>Data Entry In Progress</b>	<b>ERROR</b>

Check All On This Page - Clear All

Change Request Comments

Figure 92: Approval



## CHAPTER 5

# THE CLOSE-OUT REPORTING PERIOD

## Sections

- 5.1 Close-out Reporting Period Introduction
- 5.2 SAA User

## Section 5.1 Close-out Reporting Period Introduction

### 5.1.1 Close-Out vs. All Other Reporting Periods

The Close-out BSIR serves as the final reporting in the reporting cycle of a grant. A Close-out BSIR is due after the end date of the award period. Refer to program guidance for specific due dates. The following section will outline all differences between a regular BSIR and a Close-out BSIR reporting period. Since this is a BSIR, all previous information outlined in the BSIR chapter will be applicable to the Close-out BSIR.

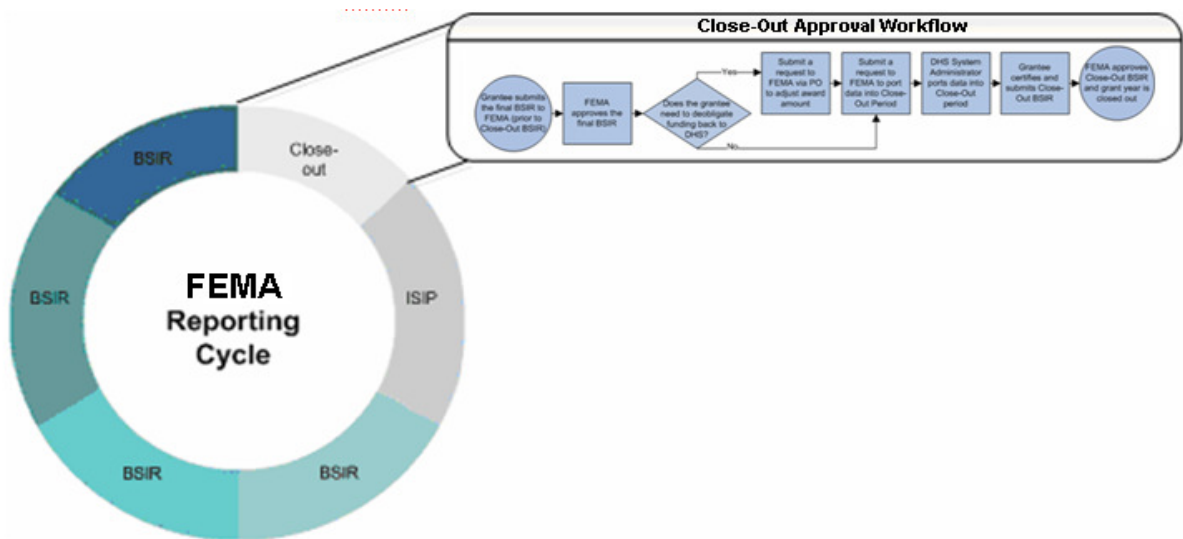


Figure 93: Close-Out Reporting Period

### 5.1.2 Background Information Regarding the Close-Out Reporting Period

In order for a state to be in a Close-out period, the DHS System Administrator must port the data into the respective Close-out period. The state/territory must be in an 'Approved by FEMA' status in order to be ported to the Close-out period.

### 5.1.3 Due Date

The Close-out reporting period is due after the end date of the award period. Refer to program guidance for specific due dates.

## Section 5.2 SAA User

During the Close-out reporting period, SAAs are responsible for the final funding allocations submitted during the BSIR with actual obligated, expended, and adjusted data.

### 5.2.1 Close-Out Validation View

The Close-out Validation page displays the difference between the Obligated and Expended data for the final Close-out reporting period. The user will not be able to submit to FEMA without certifying that the Close-out reporting period is accurate.

### Self-Check Status

- ❖ The user will see a self-check status of 'OK' or 'ERROR' depending on the values of the Obligated Amount Reported, Expended Amount Reported, and Adjusted Award Amount.
- ❖ A self-check status of 'OK' should be activated when the Obligated Amount Reported is \$0.00. Also, the difference between Expended Amount Reported and Adjusted Amount Reported is \$0.00. A self-check status of 'ERROR' should be set when the Obligated Amount Reported is not \$0.00 and/or the difference between Expended Amount Reported and Adjusted Amount Reported is not \$0.00.

Grant Program	Award Amount	Obligated Amount Reported	Expended Amount Reported	Adjusted Award Amount	Difference	Self Check
SHSP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	OK
UASI	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	OK
EMPG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	OK
NSGP	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	OK
OPSG	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	OK
<b>PR</b>	<b>\$10,000,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$10,000,000.00</b>	<b>(\$10,000,000.00)</b>	<b>ERROR</b>

Figure 94: State Approval Tab – Close-Out Validation View

### 5.2.2 Submission

In order for the SAA user to submit the Close-out reporting period to FEMA, the Close-out validation requirements must be satisfied. Once satisfied, the SAA admin user must certify, within the Close-out validation, that the data within this final BSIR reporting period is accurate.

The SAA Admin user will not be able to submit to FEMA without certifying that the final BSIR reporting period is accurate and that data within this final BSIR ties-out

### 5.2.3 State Approval

The SAA Admin user should select the checkbox, and then click the 'Approve' button. Data can only be certified if the self-check status is 'OK' and all criteria have been satisfied.

Grantee/Subgrantee	Total Reported	Status	Self Check
<input type="checkbox"/> Attorney General's Office	\$222,108.00	Submitted to State	OK
<input type="checkbox"/> Dept of Accounting and General Services	\$108,739.00	Submitted to State	OK
<input type="checkbox"/> Dept of Agriculture	\$133,705.00	Submitted to State	OK
<input type="checkbox"/> Dept of Education	\$110,000.00	Submitted to State	OK
<input type="checkbox"/> Dept of Health	\$251,257.00	Submitted to State	OK
<input type="checkbox"/> Dept of Land and Natural Resources	\$332,988.00	Submitted to State	OK
<input type="checkbox"/> Dept of Public Safety	\$1,648,777.00	Submitted to State	OK
<input type="checkbox"/> Dept of Transportation	\$203,084.00	Submitted to State	OK
<input type="checkbox"/> The University of Hawaii	\$300,000.00	Submitted to State	OK

Check All On This Page - Clear All

Submit to FEMA   **Approve**   Change Request

Figure 95: State Approval Tab – State Approval

## 5.2.4 Certification

Upon approving the Close-out period at the state level, the SAA Admin user should check mark the certification box (circled below), then click 'Submit to FEMA' to submit for approval.

Approval - State X

Select Option: Grantee/Subgrantee Approval | Grant Award Year: 2008 | Reporting Period: Close-Out | State Self Check: OK

**Grantee/Subgrantee Approval**

Grantee/Subgrantee	Total Reported	Status	Self Check
<input type="checkbox"/> Attorney General's Office	\$222,108.00	Approved by State	OK
<input type="checkbox"/> Dept of Accounting and General Services	\$108,739.00	Approved by State	OK
<input type="checkbox"/> Dept of Agriculture	\$133,705.00	Approved by State	OK
<input type="checkbox"/> Dept of Education	\$110,000.00	Approved by State	OK
<input type="checkbox"/> Dept of Health	\$251,257.00	Approved by State	OK
<input type="checkbox"/> Dept of Land and Natural Resources	\$332,986.00	Approved by State	OK
<input type="checkbox"/> Dept of Public Safety	\$1,648,777.00	Approved by State	OK
<input type="checkbox"/> Dept of Transportation	\$203,084.00	Approved by State	OK
<input type="checkbox"/> The University of Hawaii	\$300,000.00	Approved by State	OK

[Check All On This Page - Clear All](#)

I certify that the data within this final reporting period ties-out and is accurate.

Figure 96: State Approval Tab – Submit to FEMA

### 5.2.4.1 Data Certification Requirements

Obligated Amount must equal \$0.00, AND the difference between the Expended and Adjusted amounts must equal \$0.00. If these two requirements are not satisfied, the user will have to refer back to the funding section to correct or Change Request all errors. In order for the Adjusted Award Amount (the original award amount minus the deobligated amounts) be changed for a specific grant program, SAAs are advised to contact their PO or the GRT Help Desk for this request.

## 5.2.5 Close-Out Completion

At the completion of the Close-out reporting period, the GRT will be used to update the grant-funding allocation through the life of the grant.



CHAPTER 6

# STATE, URBAN AREA AND REGIONAL TRANSIT SECURITY STRATEGIES

## Sections

6.1 Strategy Module

## Section 6.1 Strategy Module

The GRT Strategy module will enable users to add and update State/territory strategy-related information including Goals and Objectives. This module was specifically designed for SAA users to create and update State Homeland, Urban Area, and Regional Transit Security Strategies. With the built-in submittal and approval workflow component, SAA/DTG users are able to submit their strategies to FEMA for approval. Per user feedback, State, Urban Area and Regional Transit Area strategies can now be assigned directly to specific users involved in updating those strategies. This enhancement will allow certain users access to their specific State's, Urban Area's or Regional Transit Area's strategy data.

The Strategy module is comprised of five tabs: Strategy tab, Intro tab, Goals & Objectives tab, Evaluation Plan tab and Update Summary tab.

### 6.1.1 Strategy

This is the first tab within the Strategy module and is available to all applicable users. The tab allows users to view the list of their specific state/territory strategies. Click on the applicable state, urban area or regional transit area in order to continue.

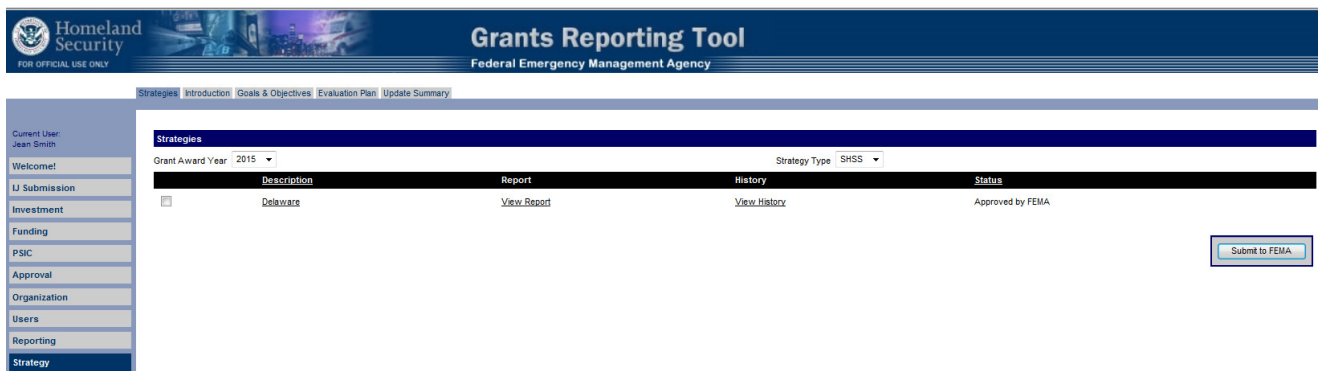


Figure 97: Strategies tab – SAA User

In order to see the history of past Goals & Objectives that have been approved or change requested, click on the 'View History' link. Below is a sample of the link that demonstrates the Goal & Objective history.



Figure 98: Strategies tab (View History) – SAA User

### 6.1.2 Intro

This tab is available to all applicable users and allows SAA users to update or add their strategy-related answers for the strategy selected on the previous tab. Users are asked to update their information and then click 'Save and Continue' to continue within the Strategy module.

Strategies | Introduction | Goals & Objectives | Evaluation Plan | Update Summary

**Introduction - Hawaii**

**Purpose**  
 State the purpose of this strategy and the proposed outcome.  
 Sample text.

**Vision**  
 Describe the state vision for the SHSS.  
 Sample text.

**Focus**  
 Describe the focus for the SHSS.  
 Sample text.

**Coordination**  
 Describe the organization at the state-level for strategy development and approval.  
 Sample text.

**Effort**  
 Describe state efforts to ensure coordination of strategy development and assessment activities among emergency response agencies within the state.  
 Sample text.

**Description of Jurisdictions**  
 Describe state jurisdictions used throughout the remainder of this strategy and the approving authority at the state-level. Provide the rationale used for determining jurisdictions. Explain how your statewide strategy encompasses the entire state.  
 Sample text.

**Description of Regions**  
 If the state is organized into regions, please describe that structure. If not, please describe if and how the state plans to regionalize. (Include a description of any inter-state and/or cross-border regional efforts.) In the context of this regionalization, provide a narrative description of how the state currently uses and plans to use mutual aid to prevent, protect against, respond to, and recover from major events.  
 Sample text.

Revert to Saved | Save | Save and Continue

Figure 99: Intro tab – SAA User

### 6.1.3 Goals & Objectives

This tab allows SAA users to create, update, and remove their goals and objectives for their selected State/territory/Urban Area/Regional Transit Area. Once goals and objectives are updated, the user should click on the next tab name, 'Evaluation Plan', or whatever tab is next during the update process.



### 6.1.3.1 Goals & Objectives Page

This Page allows users to sort goals, add goals, edit previously existing goals and to delete goals. This page also allows users to sort objectives, add objectives, edit previously existing objectives and delete objectives.

- **Sort Goals:** To sort goals, please assign a sort order number next to each goal.
- **Sort Objective:** To sort objectives within each goal, please assign a sort order number next to each objective.
- **Save New Sort Order:** Users must select "Save Goal Sort Orders" button to save the new goal sort orders and select "Save Objective Sort Orders" button to save the new objective sort orders in that goal.
- **Edit Goals/Objectives:** To edit a goal/objective, click the hyperlinked "Description" text to update the text. Users will be directed to the appropriate text.
- **Delete Goals/Objectives:** Click the Delete hyperlink to delete the desired Goal/Objective. Users will be presented with a confirmation dialog box to confirm their intent.

The screenshot shows the 'Goals & Objectives - Delaware - SHSS - 2015' page. It includes a navigation menu on the left with options like 'Welcome!', 'IJ Submission', 'Investment', 'Funding', 'PSIC', 'Approval', 'Organization', 'Users', 'Reporting', 'Strategy', and 'Logout'. The main content area features a table with the following data:

Goal Sort Order	Goal Description	Goal Delete	
1	Goal 1: Evaluate emergency response to WMD and all hazards scenarios through the implementation of the HSEEP and the Delaware Multi-Year Exercise Plan. The Delaware Multi-Year Exercise Plan has been developed to test the preparedness, response, and recovery capabilities of the states first responder community. Each 24 month exercise cycle consists of a tabletop, functional, and full-scale exercise.	Delete	
Sort Order	Objectives	National Priorities	Delete
1	1.1 Evaluate operational response of resources to an all hazard and WMD incident.	Strengthen CBRNE Detection, Response, & Decontamination Capabilities	Delete
2	1.2 Evaluate interoperable communications and enhanced warning and alert systems.	Strengthen Communications Capabilities	Delete
3	1.3 Evaluate protective measures of associated with critical infrastructure protection measures.	Implement the NIPP	Delete
4	1.4 Evaluate response to cyber attack on Delaware computer systems.	Implement the NIPP	Delete
5	1.5 Evaluate emergency responder defensive and protective measures.	Strengthen CBRNE Detection, Response, & Decontamination Capabilities	Delete

Figure 100: Goals & Objectives tab – SAA User

### 6.1.3.2 Adding a Goal – Click ‘Add Goal’ on Goals & Objectives Page

If the user clicks the “Add Goal” function on the Goals & Objectives Page, they will be directed to a new Goals & Objectives page with an editable textbox. Users may enter the description of their goal and then click “Save”. Once saved, the user’s information will be recorded. The user should then click the “Return to Goals & Objectives Page” function to be directed back to the original Goals & Objectives Page.

The screenshot shows the 'Add Goal' form for 'Alabama - SHSS - 2015'. It features a 'Goal Description' section with a text area containing the instruction: 'Enter a goal the SAA working group has designed to increase current capabilities. Your goal should be less than 1,000 characters.' At the bottom right, there are two buttons: 'Save' and 'Return to Goals & Objectives Page'.

Figure 101: Adding a Goal – SAA User

### 6.1.3.3 Editing a Goal – Click on Goal Description

If the user clicks the on the hyperlinked goal text, they will be directed to a new Goals & Objectives page with an editable textbox. Users may enter/update/edit the description of their goal and then click “Save”. Once saved, the user’s information will be recorded. The user should then click the “Return to Goals & Objectives Page” function to be directed back to the original Goals & Objectives Page.

The screenshot shows the 'Grants Reporting Tool' interface for a user named Jean Smith. The main navigation bar includes 'Strategies', 'Introduction', 'Goals & Objectives', 'Evaluation Plan', and 'Update Summary'. A left sidebar lists various system functions like 'Welcome!', 'LI Submission', 'Investment', 'Funding', 'PSIC', 'Approval', 'Organization', 'Users', 'Reporting', 'Strategy', and 'Logout'. The main content area is titled 'Goal - Alabama - SHSS - 2015' and contains a 'Goal Description' section with a text area and a 'Revert to Saved' button. A note below the text area states: 'Enter a goal the SAA working group has designed to increase current capabilities. Your goal should be less than 1,000 characters. Note: Use this screen only to make edits to the existing goal shown below. If you would like to replace this goal with a new one, please delete this goal on the previous screen and then click the "Add Goal" button to create your new goal. Otherwise, projects may become misaligned in your next 2015 BSR.' Below the text area is a 'Save' button and a 'Return to Goals & Objectives Page' button.

Figure 102: Editing a Goal – SAA User

### 6.1.3.4 Adding an Objective – Click ‘Add Objective’ (within specific goal)

If the user clicks the “Add Objective function on the Goals & Objectives Page, they will be directed to a new Goals & Objectives page with an editable textbox. Users may enter the description of their objective and then click “Save”. Once saved, the user’s information will be recorded. The user should then click the “Return to Goals & Objectives Page” function to be directed back to the original Goals & Objectives Page.

The screenshot shows the 'Grants Reporting Tool' interface for adding an objective. The main navigation bar includes 'Strategies', 'Introduction', 'Goals & Objectives', 'Evaluation Plan', and 'Update Summary'. The main content area is titled 'Objective - Hawaii' and contains a 'Goal' section with a 'Sample Goal' and an 'Objective' section. The 'Objective' section includes a 'National Priority' dropdown menu and a 'Objective Description' text area. Below the text area is an 'Add Step' button. At the bottom of the page are 'Revert to Saved', 'Save', and 'Return To Goals & Objectives Page' buttons.

Figure 103: Adding Objectives – SAA User

## 6.1.4 Evaluation Plan

This tab allows SAA users to edit and adjust their evaluation plan answers for the selected strategy. Users are asked to update their information and then click 'Save and Continue' to continue within the Strategy module

Strategies Introduction Goals & Objectives Evaluation Plan Update Summary

Current User: Jean Smith

Welcome!

LJ Submission

Investment

Funding

PSIC

Approval

Organization

Users

Reporting

Strategy

Logout

### Evaluation Plan - Alabama - SHSS - 2015

#### Evaluation Plan

To ensure the success of the strategic plan, the organization or agency must ensure it has a review and analysis (R&A) process to monitor progress, compile key management information, track trends, and keep the strategy on track. Additionally, a subjective appraisal of the state's progress toward preparedness for response can be covered in the narrative.

The successful implementation of Alabama's Homeland Security Strategy is predicated on adherence to the recently developed Enhancement Plan and receipt of funding for the initiatives set out in the Investment Justifications to be submitted on 1 March 2006. The Alabama Homeland Security Task Force is now well established and meeting on a quarterly basis. The members were an integral component of those persons from across the state who were brought together to formulate the Investment Justifications. Moreover, the Task Force has approved and is prepared to support execution of the Enhancement Plan which was produced as a result of the Program and Capability Review process.

The Department of Homeland Security will continue to monitor the progress in reaching our established goals by quarterly Interim Progress Reviews (IPRs) of each grant program and through close involvement in the planning and execution of training and exercises by both state and local agencies. The Director will provide periodic briefings to the Alabama Congressional Delegation and the Governor and State Legislative Homeland Security Committee on recent developments and the extent of progress being made toward implementing the Enhancement Plan. The Department of Homeland Security will maintain its practice of closely following the purchase of equipment by the counties and affected state agencies, as well as, expenditures for training and exercises. Finally, the Department will produce an annual report for submission to US DHS and state officials detailing the efforts and results of

Revert to Saved Save Save and Continue

Figure 104: Evaluation Plan – SAA User

## 6.1.5 Update Summary

This tab gives SAA users the ability to monitor and track changes made to their strategy data before each submission to FEMA. Users are asked to update their information and then click 'Save and Return to Strategies Page' to continue within the Strategy module

Strategies Introduction Goals & Objectives Evaluation Plan Update Summary

Current User: Jean Smith

Welcome!

LJ Submission

Investment

Funding

PSIC

Approval

Organization

Users

Reporting

Strategy

Logout

### Update Summary - Alabama - SHSS - 2015

#### Extent of Strategy Updates

Please indicate the extent to which this strategy has been changed from the most recently approved strategy.

Extent of change:

- slight (0-20%)
- slight to moderate (21-40%)
- moderate (41-60%)
- moderate to significant (61-80%)
- significant (81-100%)

Please indicate the nature of the updates:

- Aligned existing objectives to National Priorities.
- Created new goals / objectives to address one or more of the National Priorities.
- Achieved previous goals / objectives.
- Added new goals / objectives to meet state priorities.
- Updated the purpose, vision, and / or focus sections of the strategy.
- Modified strategy to address regionalization or other structural changes.

Please briefly describe the updates to the strategy:

Revert to Saved Save Save and Return to Strategies Page

Figure 105: Update Summary – SAA User



CHAPTER 7

## REPORTING

### Sections

7.1 Reporting Module

## Section 7.1 Reporting Module

The Reporting module is specific to Federal and State Admin accounts and provides key reports to FEMA based on users' roles. Reports provided in this section range in detail from high-level grant funding to detailed solution area and discipline allocations.

### 7.1.1 Reporting Module Tabs

The Reporting module is arranged in tabular format. The tabs are: Funding, Investment, Historical, Trend Analysis and Administrative. The following details the data displayed for each report tab:

- ❖ Funding – funding module data
- ❖ Investment – investment module data
- ❖ Historical – FY 2002 and FY 2003 grant award data
- ❖ Trend Analysis – FY 2002 through present grant award data trends
- ❖ Administrative – submission and audit data (available for Fed users only)
- ❖ Executive – Super Users only
- ❖ IJ Scoring
- ❖ PSIC – PSIC Data for FY 2007

#### 7.1.1.1 Funding

The funding reports are generated based on data contained within the Funding module. All reports can be generated at either the Federal or State/territory level. Options include:

- ❖ Federal
  - Funding Distribution
  - Grant Award
  - Grant Award by FEMA Region
  - Management & Administration
  - Obligated & Expended
  - Solution Area
  - Solution Area by FEMA Region
  - Solution Area Discipline
  - Solution Area Sub-Category
  - Fusion Center by State
  - Fusion Center by POETE
  - Maintenance & Sustainment Summary
  - Maintenance & Sustainment by State
- ❖ State/territory
  - Funding Distribution
  - Grant Award
  - Management & Administration
  - Obligated & Expended
  - Personnel
  - Project Detail by Grantee/Subgrantee
  - Project Detail by Project Type
  - Solution Area
  - Solution Area Discipline
  - Solution Area Sub-Category

#### 7.1.1.2 Investment

The investment reports are generated based on data contained within the Investment module. Reports can be generated at either the Federal or State/territory level. Options include:

- ❖ Federal
  - Grant Program Funding
  - Grant Program Funding by Submission
  - Primary Target Capability Funding
  - Solution Area Funding
  - Solution Area Funding by Submission
- ❖ State/territory
  - Grant Program Funding by Submission
  - Investment Funding Totals
  - Investment Funding Totals with Summary Description
  - National Priorities
  - Primary Target Capability Funding
  - Solution Area Funding
  - Solution Area Funding by Submission
  - Target capabilities

#### 7.1.1.3 Historical<sup>1</sup>

The historical reports are generated based on data contained within the Historical Grants Database (HGDB). All reports can be generated at either the Federal or State/territory level. Options include:

- ❖ Grant Program Funding Distribution
- ❖ Solution Area Breakdown
- ❖ Solution Area Funding by Grant Program
- ❖ Solution Area Funding by UASI (FY 2003 only)
- ❖ Solution Area Funding Chart
  - UASI Breakdown (FY 2003 only)

#### 7.1.1.4 Trend Analysis<sup>2</sup>

The trend analysis reports are generated based on reports ranging from the FY 2002 through FY 2006 fiscal years. Reports can be generated at either the Federal or State/territory level. Options include:

- ❖ Funding Distribution for a Solution Area
- ❖ Funding Distribution Across all Solution Areas
- ❖ Solution Area Funding Distribution
- ❖ Item Level Expenditure for a Solution Area
- ❖ Item Level Distribution by Solution Area
- ❖ Item Level Cumulative Distribution

#### 7.1.1.5 IJ Scoring

The IJ scoring reports are generated based on data contained within the IJ Scoring Module. Options include:

- ❖ Investment Scores
- ❖ Investment Notes
- ❖ Investment Status
- ❖ Portfolio Scores
- ❖ Portfolio Notes
- ❖ Portfolio Status
- ❖ Synchronization Investment Scores
- ❖ Synchronization Investment Notes

---

<sup>1</sup> Please note, all Trend and Historical reports will be available to users in early 2007. Reports must go through final data verification and approval before going Live. Notifications to all users will be sent once the reports are available in the GRT.

<sup>2</sup> Please note, all Trend and Historical reports will be available to users in early 2007. Reports must go through final data verification and approval before going Live. Notifications to all users will be sent once the reports are available in the GRT.

- ❖ Synchronization Investment Status
- ❖ Scorers Who Have Not Begun Scoring
- ❖ Overall Submission Status for IJ Panels
- ❖ Overall Submission Status for Multi-Applicant Panels
- ❖ Facilitator Report
- ❖ Reviewer Report

#### **7.1.1.6 Administrative**

The administrative reports are available to the Fed user only. The tab will not appear for other users. All reports can be generated at either the Federal or State/territory level. Options include:

- ❖ Federal
  - Submission Status
  - Grantee-level Audit
  - States Status Export
- ❖ State/territory
  - Submission Status
  - Grantee-level Audit

#### **7.1.1.7 PSIC**

The PSIC reports are generated based on data contained within the PSIC module. Reports can be generated at either the Federal or State/territory level. Options include:

- ❖ Federal
  - BSIR Submission Status
  - State-Level Federal Funding
  - State-Level Non-Federal Match
- ❖ State/territory
  - Investment Submission Status
  - Investment Federal Funding
  - Investment Non-Federal Match
  - Project Federal Funding
  - Project Non-Federal Match
  - Project Metrics
  - Project Disciplines

#### **7.1.1.8 Executive**

The executive reports are available to the Executive user only. The tab will not appear for other users. All reports can be generated at the Federal level. Options include:

- ❖ IED Funding – HSGP Programs
- ❖ Planning Funding – HSGP Programs
- ❖ LETPP Operational Overtime Costs – This is for 2007 only
- ❖ Overall Solution Area Funding
- ❖ Personnel Funding by Grant Program
- ❖ Personnel Funding by Solution Area

### **7.1.2 Using the Reporting Module**

Each tab in the Reporting module operates in the same manner. A series of dropdown menus are available allowing users to select the desired reporting criteria. The dropdown menus include:

- ❖ State/territory (available for Fed users only; select the desired jurisdiction, either National or a specific state/territory)

- ❖ Grant Award Year (options updated based on the state selected; select the desired grant award year)
- ❖ Reporting Period (options updated based on grant award year selected; select the desired reporting period)
- ❖ Report Type (options updated based on the reporting period selected; select the desired report type)
- ❖ Report Format (select to generate the report as either a PDF or CSV file)

Figure 106: Reports Module – Fed User

Figure 107: Reports Module – SAA User



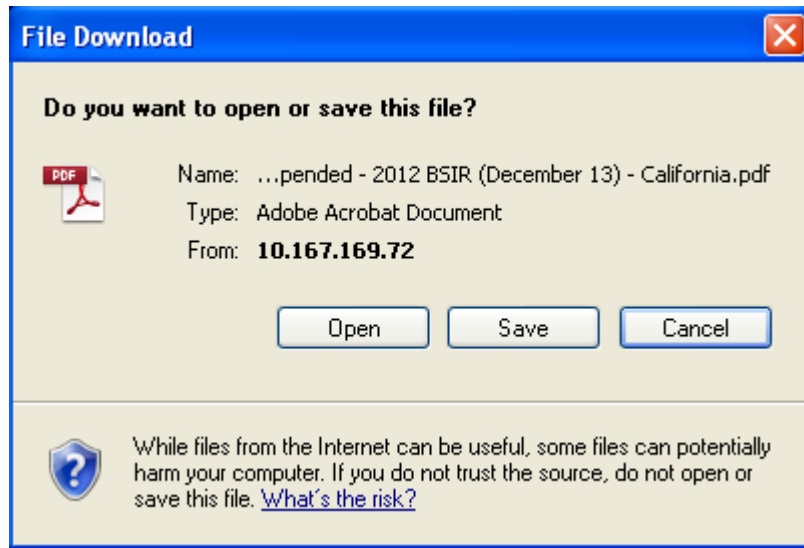


Figure 108: PDF Download Prompt

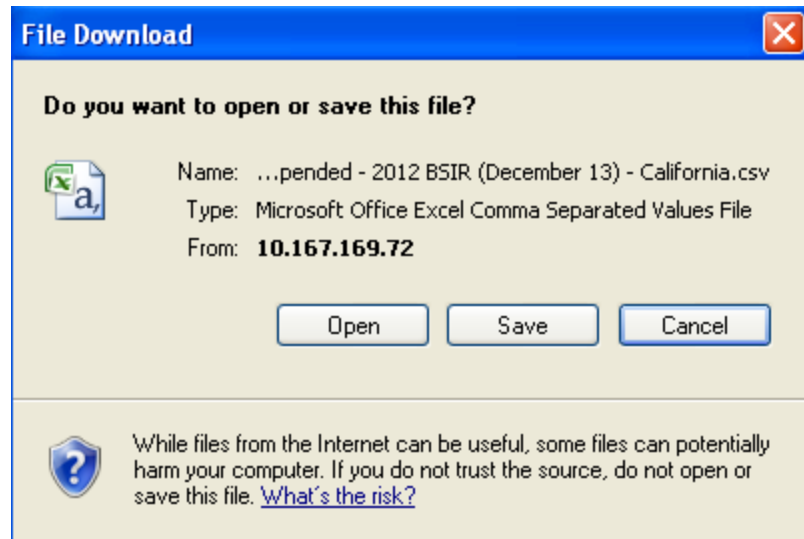


Figure 109: CSV Download Prompt

### G&T Grant Funding - United States - 2005 ISIP

FOR OFFICIAL USE ONLY

State/Territory	SHSP	LETPP	CCP	UAI	EMPG	MMRS	BZPP	TSGP	Total
Sample State	\$17,688,796.00	\$6,432,289.00	\$234,559.00		\$2,896,617.00	\$910,368.00			\$28,152,629.00
Sample State A	\$9,368,592.00	\$3,406,760.00	\$118,934.00		\$1,529,911.00	\$455,184.00			\$14,879,381.00
Sample State B	\$2,779,462.00	\$1,010,713.00	\$35,285.00		\$454,033.00				\$4,279,493.00
Sample State C	\$20,021,731.00	\$7,280,630.00	\$254,176.00	\$9,994,463.00	\$3,241,450.00	\$910,368.00			\$41,704,818.00
Sample State D	\$13,854,701.00	\$5,038,072.00	\$175,885.00		\$2,264,789.00	\$227,592.00			\$21,561,039.00
Sample State E	<del>\$84,613,615.00</del>	<del>\$30,768,660.00</del>	<del>\$1,074,173.00</del>	<del>\$148,276,663.00</del>	<del>\$13,799,111.00</del>	<del>\$4,096,656.00</del>			<del>\$202,632,077.00</del>
Sample State F	\$17,796,658.00	\$6,471,512.00	\$225,929.00	\$8,718,395.00	\$2,903,630.00	\$682,775.00			\$36,798,399.00
Sample State G	\$15,491,248.00	\$5,633,181.00	\$196,661.00		\$2,531,746.00	\$227,592.00			\$24,080,428.00
Sample State H	\$9,732,926.00	\$3,539,246.00	\$123,559.00		\$1,588,053.00				\$14,983,784.00
Sample State I	\$9,184,053.00	\$3,339,656.00	\$116,591.00	\$82,000,000.00	\$1,503,840.00				\$96,144,140.00
Sample State J	\$44,728,449.00	\$16,264,891.00	\$567,328.00	\$30,885,716.00	\$7,244,714.00	\$1,593,144.00			\$101,284,742.00
Sample State K	\$26,726,187.00	\$9,718,613.00	\$339,289.00	\$13,338,567.00	\$4,345,323.00	\$455,184.00			\$54,918,163.00
Sample State L	\$2,990,093.00	\$1,087,307.00	\$37,959.00		\$590,228.00				\$4,705,587.00
Sample State M	\$10,683,582.00	\$3,884,939.00	\$135,628.00	\$6,454,763.00	\$1,743,745.00	\$227,592.00			\$23,130,249.00
Sample State N	\$10,918,426.00	\$3,970,337.00	\$138,610.00		\$1,777,897.00				\$16,805,270.00

Figure 110: Example PDF Report - 2005 ISIP - National

	A	B	C	D	E	F	G	H	I
1	STATE/TERRITORY	CCP	LETPP	SHSP	UASI	EMPG	MMRS	BZPP	TSGP
2	Sample State	224559	6432289	17688796	0	2896617	910368	0	0
3	Sample State A	118934	3406760	9368592	0	1529911	455184	0	0
4	Sample State B	35285	1010713	2779462	0	454033	0	0	0
5	Sample State C	254176	7280630	20021731	9996463	3241450	910368	0	0
6	Sample State D	175885	5038072	13854701	0	2264789	227592	0	0
7	Sample State E	1074172	30768660	84613815	148278663	13790111	4096656	0	0
8	Sample State F	225929	6471512	17796658	8718395	2903630	682775	0	0
9	Sample State G	196661	5633181	15491248	0	2531746	227592	0	0
10	Sample State H	123559	3539246	9732926	0	1588053	0	0	0
11	Sample State I	116591	3339656	9184053	82000000	1503840	0	0	0
12	Sample State J	567828	16264891	44728449	30885716	7244714	1593144	0	0
13	Sample State K	339289	9718613	26726187	13333567	4345323	455184	0	0
14	Sample State L	37959	1087307	2990093	0	590228	0	0	0
15	Sample State M	135628	3884939	10683582	6454763	1743745	227592	0	0
16	Sample State N	138610	3970337	10918426	0	1777897	0	0	0
17	Sample State O	257567	7377769	20288866	15253865	2318388	455184	0	0

Figure 111: Example CSV Report - 2005 ISIP - National



## CHAPTER 8

# APPENDICES

## Appendix

- 8.1 Glossary
- 8.2 Frequently Used Acronyms
- 8.3 Grant Programs within GRT
- 8.4 Pre-defined Projects
- 8.5 FY 11 Percentage Requirements Validation
- 8.6 FY 12 Percentage Requirements Validation
- 8.7 FY 13 & FY 14 Percentage Requirements

## Validation

- 8.8 Reporting Options by Reporting Period
- 8.9 Urban Areas
- 8.10 Historical Allowable Data
- 8.11 FY13 PSIC Module User's Guide
- 8.12 FY13 IJ Submission Module User's Guide

## Section 8.1 Glossary

### Account Type

There are multiple account types that exist within GRT, as explained in the table below:

Role	Description	Account Type
Federal	DHS FEMA and other Federal Government Representatives	Federal Admin User
		Federal User
		Federal Guest User
State (SAA/DTG)	State users and Direct Tribal Grantee users	State (SAA/DTG) Admin User
		State (SAA/DTG) User
Local	All other users including Subgrantees, Local Jurisdictions, Other State Agencies/Non-SAAs, and Urban Areas	Local Admin User
		Local User

### Adjusted Award Amount

The Adjusted Award Amount is based on the Award amount minus the amount of de-obligated funds. Through the Close-out BSIR report, SAAs ensure that the Adjusted Award Amount (due to the de-obligation of funds) equals the obligated and expended amounts.

### Administrative Privileges

Users with administrative privileges will serve as the approving user for other users within their organization. This privilege is granted above and beyond their user role.

### Award (Award Amount)

The amount of funding provided to a grantee/subgrantee is referred to as the award (also seen as grant award or award amount).

### Biannual Strategy Implementation Report

Represents multiple reporting periods throughout the fiscal year grant reporting cycle. Through the BSIR, SAA/DTG users will report submission of a completed BSIR, satisfying expenditure requirements of FEMA.

### Budget Detail Worksheet

BDWs require budgets to be completed to the line item level. The BDW accounts for funding through line item reporting, and goes deeper than the ISIP and BSIR solution area requirements. The BDW requires the grantee to report on the type, name, number, and unit cost of items purchased (such as equipment).

### Change Request

When reviewing a submission component (grantee/subgrantee funding or investment data), the approving user (SAA/DTG or Fed) may determine that a change is required. If the data requires modification, a change request is submitted by marking the submission with a change request status. The status will update to 'Change Request'.

### Close-out

The Close-out reporting period is the final reporting period of the fiscal year grant reporting cycle. During the Close-out reporting period, SAAs are responsible for the final funding allocations submitted during the BSIR with actual obligated, expended, and adjusted data. At the completion of the Close-out reporting period, the GRT will be used to update the grant-funding allocation through the life of the grant. Submission of the Close-out is due 120 days after the end date of the award period.

### CSV

CSV refers to a comma separated values file. This file type downloads data from the GRT database and converts it to a user-friendly format. It can contain multiple records of data which are designated by a line break. Multiple fields can also be included, and each field is separated by the comma character. CSV files can be easily read using MS Excel or most other spreadsheet applications.

**Data Port**

The process of moving data from a previously completed reporting period (source reporting period) to a current reporting period (destination reporting period).

**Destination Reporting Period**

The reporting period to which you want to upload the data from the data port.

**Discipline**

A general defined group or function receiving grant funding for specific work in a solution area. e.g. Fire Service, Emergency Management, Public Works, etc.

**Direct Tribal Grantee (DTG)**

Direct tribal grant recipient.

**DUNS**

The DUNS number is the Data Universal Numbering System. It is a unique number that exists for each SAA. A DUNS number is either 9 or 14 (for DUNS +4) characters in length.

**Expended**

An outlay of funds to fulfill an obligation (for example, paying salaries or vendors). Once obligated funding has been disbursed to the vendor, the funding should be removed from the Obligated column and added to the Expended column. At no point should the same money be accounted for in both the Obligated and Expended columns. As such, the sum of the two aforementioned columns should at no point be greater than the Awarded column amount. At the closeout of the grant period, the Expended amount should be equal to the adjusted Award amount. **Example 1.** Funding that has been removed from state or local accounts as payment to suppliers or vendors. **Example 2.** The amount of the salary paid using grant funding (mostly for M&A Expenses).

**Federal Grant**

The total amount of money awarded to the state by FEMA.

**Funding**

The amount of money allocated to the project.

**Funding Type**

There are several categories (funding types) of which a grant award can be a part. The various funding types include:

- Passthrough to Local (part of 80 percent)
- Retained at State Level - At Request of Local Jurisdiction(s)
- Retained at State Level - Part of State Share

**Funding with Two Decimals**

The decimal option checkbox is referred to as 'Funding with Two Decimals'. This feature can be found in the Organization Options tab of the Organization module. This option allows users to make a preference as to whether or not their funding data should be captured and tracked in whole dollars or to the cents. All reports will still display two decimal values. If the organization has not selected to report to the decimal place, the numbers will trail with zeros (i.e., \$100.00). If the subgrantee has selected to report to the decimal place, the user entered values will display (i.e., \$100.50).

**Grant Award Year**

A period of time for which a grant award applies. The grant award years are congruent with calendar years.

**Grantee**

An agency or organization that has been awarded financial assistance under one or more of the Department's grant programs. A grantee can have numerous subgrantees within their agency/organization.

**Grantee Type**

The category to which a grantee belongs. Options include:

- Local Jurisdiction / Entity
- Other State Agency (Non-SAA)
- SAA/DTG

**Guest Role**

User role providing limited responsibilities and privileges within GRT.

**Initial Strategy Implementation Plan**

The first reporting period of the fiscal year grant reporting cycle. Through the ISIP, SAA users will report planned expenditures of the newly awarded grants by the federally mandated due dates.

**Investment Name**

The name given to the particular investment. This value cannot be edited within GRT.

**Investment Rank**

The rank assigned to the investment by FEMA. This value cannot be edited within GRT.

**Investment Self-Check**

The Investment Self-Check will help determine if the investments are ready to be submitted. If the total investment funding reported within each grant program equals the amount of funding awarded to each grant program, the self-check is OK.

**Legal Agency Name**

The Official chartered name of an agency. The Legal Agency Name should match what was entered by the jurisdiction into the Data Collection Toolkit (DCT) or submitted to FEMA along with its assessment data and should be spelled out completely. e.g. Pennsylvania Emergency Management Agency should not be entered as PEMA or Los Angeles Police Department should not be entered as L.A.P.D.

**Legal Grantee/Subgrantee**

The Official chartered name of grantee or subgrantee. The Legal Grantee/Subgrantee name does not have to be unique. The Legal Grantee/Subgrantee Name should match what was entered by the jurisdiction into the Data Collection Toolkit (DCT) and should be spelled out completely. e.g. New York City should not be entered as NYC or Prince George's County should not be entered as P.G. County.

**Legal Jurisdiction Name**

The Official chartered name of a city, county, parish, etc. The Legal Jurisdiction Name should match what was entered by the jurisdiction into the Data Collection Toolkit (DCT) and should be spelled out completely. e.g. New York City should not be entered as NYC or Prince George's County should not be entered as P.G. County.

**Metric**

A parameter against which a project can be measured. Examples include: Interoperable Communications Plans, Recovery Plans and Risk Mitigation Plan.

*Note that as of the FY 2005 Grant Award Year, Metrics are not required as part of the ISIP submission.*

**Obligated**

A legal liability to pay, and/or contract determinable sums for services or goods incurred at the time of the ISIP or BSIR filing. Obligated does not refer to the required passthrough of funds from the SAA/DTG to local subgrantees. Compliance with the passthrough requirement is met with the filing of the ISIP. Obligated funding has been legally designated to be expended for a particular purchase or purpose; however, funds have yet to exchange hands from the purchaser (grantee or subgrantee) to the supplier or vendor. Once obligated funds have been disbursed to the supplier or vendor, the Obligated amount should be removed from the Obligated column and added to the column for Expended funding. At the closeout of the grant period, the Obligated column should equal "\$ 0.00". Example 1. A check that has been drawn for the purchase of a piece of

equipment, however the equipment has yet to be delivered. As such, the check has not been cashed by the equipment supplier. Example 2. A purchase order that has been cut where funds have not been paid out and the equipment not delivered, results in no exchange of funds. Example 3. The amount of the salary paid through grant funding that is delegated for that particular 6 month BSIR period (mostly for M&A Expenses).

### **Organizational Assignment**

The user's represented state, territory or agency. The organizational assignment selected will determine the list of available grantees/subgrantees assigned to that state, territory or agency.

### **PDF**

Reports can be generated as portable document format (PDF) files. In order to open a PDF file, you must have Adobe Reader software installed on your computer. If you need this tool, please navigate to <http://www.adobe.com/products/acrobat/readstep2.html> and download the latest free version.

### **Program**

A specific source of funding for projects that represent the FEMA Grant Programs. e.g. State Homeland Security Program (SHSP), Law Enforcement Terrorism Prevention (LETPP), Citizen Corps Program (CCP), Urban Areas Security Initiative Program (UASI), etc.

### **Project**

An organized set of activities designed to achieve defined objectives or tasks resulting in specific identifiable improvements within a given budget and grant period. These should all start with an action word. e.g. Stand up an emergency operations center in the Town of Smith, Develop a public health surveillance system for the City of Jones, Develop a state-wide sustainable training system, Create a terrorism information-sharing network for Jane County, etc.

### **Project Title**

The title of the project.

### **Project Type**

The type of project. Examples include: Establish/enhance Citizen Corps Councils, Establish/enhance cyber security program, and Establish/enhance emergency operations center.

### **Regional Transit Area**

A geographic area within the state/territory. Not available for all states/territories.

### **Report Type**

The title of the report. It serves as a brief description of the type of data to be included in the report. The types of reports available are detailed below:

- Funding Reports (National only)
  - Funding Distribution
  - Grant Award
  - Grant Award by FEMA Region
  - Management & Administration
  - Obligated & Expended
  - Solution Area
  - Solution Area by FEMA Region
  - Solution Area Discipline
  - Solution Area Sub-Category
  - Fusion Center by State
  - Fusion Center by POETE
  - Maintenance & Sustainment Summary
  - Maintenance & Sustainment by State
- Funding Reports (State/territory)
  - Funding Distribution
  - Grant Award

- Management & Administration
- Obligated & Expended
- Personnel
- Project Detail by Grantee/Subgrantee
- Project Detail by Project Type
- Solution Area
- Solution Area Discipline
- Solution Area Sub-Category
- Investment Reports (National Only)
  - Grant Program Funding
  - Grant Program Funding by Submission
  - Solution Area Funding
  - Solution Area Funding by Submission
- Investment Reports (State/territory)
  - Grant Program Funding by Submission
  - Investment Funding Totals
  - Investment Funding Totals with Summary Description
  - National Priorities
  - Overall Effectiveness Scores
  - Solution Area Funding
  - Solution Area Funding by Submission
  - Target Capabilities
- Administrative Reports (National or State/territory)
  - Submission Status
- Executive
  - IED Report
  - Planning Report
  - Overtime Report
  - Overall Solution Area Report
  - Planning Report (1)
  - Planning Report (2)

### **Reporting Period**

The period of time within the grant reporting timeline for which you would like to review data.

### **State Administrative Agencies (SAA)**

Governing body for the state.

### **Self-Check**

An automatic check established by the system to determine if an item meets all requirements. A valid item will have a status of OK and be marked in green. An invalid item will have a status of ERROR and be marked in red.

### **Shared Funding**

Funding that is shared between subgrantees of the same state. This may occur when two or more subgrantees are working on the same project.

### **Slick Sheet**

Slick sheets are one to two page documents that provide module/task specific directions. The slick sheets were designed to provide users with details on some of the most commonly asked questions and scenarios.

### **Solution Area**

A means of categorizing objectives developed in the strategy process (POETE). Solution Areas are associated with the State or Urban Areas Homeland Security Assessment and Strategy. e.g. Planning, Organization, Equipment, Training, Exercise, Management & Administration.



**Solution Area Sub-Category**

Categories of allowable expenditures within each solution area to accomplish identified projects. e.g. For Solution Area – Equipment, associated Solution Area Sub-Categories would be: Personal Protective Equipment and Planning Develop or Conduct Assessments.

**Source Reporting Period**

The reporting period from which you are copying data during a data port. The data selected from the source reporting period will be copied to the destination reporting period.

**State Submission**

Investment package specific to the state.

**Status**

The position of an item within its workflow.

**Strategic Goal**

A target that the state wants to achieve regarding an improved level of capability. For the ISIP, the target should come directly from the State's individual SHSS and/or Urban Area Homeland Security Strategy. e.g. Develop a regional response capability to ensure incident response coverage in areas not currently covered, etc.

**Strategic Objective**

A specific statement of desired achievement that supports the goal. For the ISIP, this statement should come directly from the state's individual SHSS and/or Urban Area Homeland Security Strategy and should be tied to a goal from the same strategy. e.g. Planning: Develop a statewide terrorism incident regional response plan by Oct. 2006. Organizing: Develop the organization structure for three regions by Oct. 2006. Equipping: Equip three regional response teams by Dec. 2006. Training: Train three regional response teams by March 2007. Exercising: Conduct a full-scale exercise to validate the operations of one regional response team by May 2007.

**Subgrant**

A portion of a grant that is awarded by a grantee to an eligible subgrantee as financial assistance.

**Subgrantee**

A sub-agency or organization that has been awarded financial assistance under one or more of the Department's grant programs; a subgrantee is part of a grantee.

**Total Awarded**

The actual amount of grant money allocated by FEMA to that state/territory.

**Total Reported**

The adjusted amount of grant money, based upon the grant award.

**Total Requested**

The original amount of grant money request by the state/territory.

**Urban Area**

A geographic area within a state. Each grantee/subgrantee receiving UASI funding must be aligned to an urban area. This association is important because it will enable users to select the appropriate urban area goals & objectives for their projects which are allocated UASI funding. Users needing clarification on which urban area applies to them should contact their FEMA Program Analyst.

**Urban Area Submission**

Investment Package specific to an urban area. This will apply to a state/territory if an urban area exists.

**User Status**

User Status	Definition
Active	Indicates that a user currently has login access to the system
Pending	Indicates that a user has recently requested access to the GRT
Denied	Indicates that an account has been requested, but denied
Inactive	Indicates that a user account has not been used within 45 days
Locked	Indicates a user's account is currently inaccessible. An account may be locked because a user entered an incorrect password three or more times. Users with admin rights carry the privilege to unlock these users

### User Roles

User Roles	User Rights
FEMA User/Admin	Review (in read-only mode) SAA/DTG submissions to FEMA
	Approve SAA/DTG submissions to FEMA
	Approve additional FEMA, SAA/DTG, and Local users
	Generate Reports
State/ Direct Tribal Grantee (SAA/DTG) Admin User	Review SAA/DTG and subgrantee reports
	Approve SAA/DTG and subgrantee reports and submit to FEMA for approval
	Create and manage other SAA/DTG users
	Create and manage subgrantees
	Create and manage Local users
	Input Grant Funding data
State/ Direct Tribal Grantee (SAA/DTG) User	Enter subgrantee award(s)
	Input Grant Funding data
	Review (in read-only mode) subgrantee submissions to SAA/DTG
Local Admin User	Enter subgrantee award(s)
	Input Project Funding data
	Review subgrantee report
	Submit subgrantee report to SAA/DTG for review and approval
Local User	Create and manage Local users
	Input Project Funding data

### Workflow Step

The specific point within the process where the project resides. Options include:

- Project Submitted
- Submitted to State
- Approved by State
- Submitted to FEMA
- Approved by FEMA

## Section 8.2 Frequently Used Acronyms

**AAR** – After Action Reports

**ACH** – Automated Clearing House

**AEL** – Authorized Equipment List

**AFG** – Assistance to Firefighters Grants

**AHRQ** – Agency for Healthcare Research and Quality

**ANSI** – American National Standards Institute

**ASAP** – Automated Standard Application for Payments

**BDW** – Budget Data Worksheet

**BSIR** – Biannual Strategy Implementation Report

**BZPP** – Buffer Zone Protection Program

**CAPR** – Categorical Assistance Program Reports

**CBP** – Customs and Border Protection

**CBRNE** – Chemical, Biological, Radiological, Nuclear, and Explosive

**CCP** – Citizen Corps Program

**CCR** – Central Contractor Registry

**CCTV** – Closed-Circuit Television

**CDC** – Centers for Disease Control and Prevention

**CERT** – Community Emergency Response Teams

**CFA** – Capability Focus Area

**CFDA** – Catalog of Federal Domestic Assistance

**CFR** – Code of Federal Regulations

**CI/KR** – Critical Infrastructure/Key Resources

**CIP** – Critical Infrastructure Protection

**CMIA** – Cash Management Improvement Act

**COOP/COG** – Continuity of Operations/Continuity of Government

**CO-OP** – Cooperative Training Outreach Program

**CRI** – Cities Readiness Initiative

**CSID** – Centralized Scheduling and Information Desk

**D&B** – Dun and Bradstreet

**DHS** – Department of Homeland Security

**DLA** – Defense Logistics Agency

**DNDO** – Domestic Nuclear Detection Office

**DOJ** – Department of Justice

**DOT** – Department of Transportation

**DTG** – Direct Tribal Grantee

**DUNS** – Data Universal Numbering System

**EDAP** – Excess Delivery Acquisition Program

**EEG** – Exercise Evaluation Guide

**ELO** – Enabling Learning Objectives

**EMAC** – Emergency Management Assistance Compact

**EMI** – Emergency Management Institute

**EMPG** – Emergency Management Performance Grant

**EMS** – Emergency Medical Services

**EOC** – Emergency Operations Center

**EOP** – Emergency Operations Plan

**EPAP** – Equipment Purchase Assistance Program

**ESF** – Emergency Support Function

**FAR** – Federal Acquisition Regulations

**FBI** – Federal Bureau of Investigation

**FEMA** – Federal Emergency Management Agency

**FOIA** – Freedom of Information Act

**FSR** – Financial Status Report

**FTE** – Full-Time Equivalent

**GAN** – Grant Adjustment Notices

**GAO** – Government Accountability Office

**GMS** – Grants Management System

**GPRA** – Government Performance and Results Act

**GRT** – Grants Reporting Tool

**FEMA** – Federal Emergency Management Agency

**HAZMAT** – Hazardous Materials

**HDER** – Homeland Defense Equipment Reuse

**HHS** – Health and Human Services

**HRSA** – Health Resources and Services Administration

**HSA** – Homeland Security Advisor

**HSC** – Homeland Security Council

**HSEEP** – Homeland Security Exercise and Evaluation Program

**HSGP** – Homeland Security Grant Program

**HSIN** – Homeland Security Information Network

**HSPD** – Homeland Security Presidential Directive

**HSPTAP** – Homeland Security Preparedness Technical Assistance Program

**IAFIS** – Integrated Automated Fingerprint Identification System

**IALEIA** – International Association of Law Enforcement Intelligence Analysts

**IBSGP** – Intercity Bus Security Grant Program

**ICS** – Incident Command System

**ICTAP** – Interoperable Communications Technical Assistance Program

**IP** – Improvement Plan

**IPP** – Infrastructure Protection Program

**IPRSGP** – Inter-City Passenger Rail Security Grant Program

**ISIP** – Initial Strategy Implementation Plan

**IV&V** – Independent Verification & Validation

**JTTF** – Joint Terrorism Task Force

**LAP** – Lesson Administration Page

**LEP** – Limited English Proficient

**LETPP** – Law Enforcement Terrorism Prevention Program

**LLEA** – Lead Law Enforcement Agency

**LLIS** – Lessons Learned Information Sharing

**LOCES** – Letter of Credit Electronic Certification System

**M&A** – Management & Administration

**MEEMCN** – Minimum Essential Emergency Medical Communications Network

**MIPT** – National Memorial Institute for the Prevention of Terrorism

**MMRS** – Metropolitan Medical Response System

**MOA** – Memorandum of Agreement

**MOU** – Memorandum of Understanding

**MRC** – Medical Reserve Corps

**MS&G** – Models, Simulations, and Games

**NBHPP** – National Bioterrorism Hospital Preparedness Program

**NCIC** – National Crime Information Center

**NCR** – National Capital Region

**NDPC** – National Domestic Preparedness Consortium

**NEPA** – National Environmental Policy Act

**NFA** – National Fire Academy

**NGO** – Non-Governmental Organization

**NIC** – NIMS Integration Center

**NIEM** – National Information Exchange Model

**NIMS** – National Incident Management System

**NIPP** – National Infrastructure Protection Plan

**NRP** – National Response Plan

**NSGIC** – National States’ Geographic Information Council

**NSSE** – National Special Security Event

**OC** – Office of the Comptroller

**ODP** – Office for Domestic Preparedness

**OIC** – Office for Interoperability and Compatibility

**OGO** – Office of Grant Operations

**OJP** – Office of Justice Programs

**OMB** – Office of Management and Budget

**OSHA** – Occupational Safety and Health Administration

**PAPRS** – Phone Activated Paperless Request System

**PHEP** – Public Health Emergency Preparedness

**POC** – Point of Contact

**POETE** – Planning, Organization, Equipment, Training, and Exercises

**POI** – Point of Instruction

**PPE** – Personal Protective Equipment

**PSGP** – Port Security Grant Program

**RDT&E** – Research, Development, Testing, and Evaluation

**RKB** – Responder Knowledge Base

**RTSS** – Regional Transit Security Strategies

**RTSWG** – Regional Transit Security Working Group

**SAA** – State Administrative Agency

**SEL** – Standardized Equipment List

**SHSEEP** – State Homeland Security Exercise and Evaluation Program

**SHSP** – State Homeland Security Program

**SHSS** – State Homeland Security Strategy

**SNS** – Strategic National Stockpile

**SOP** - Standard Operating Procedure

**SPOC** – Single Point of Contact

**SRM** – Service Reference Model

**STE** – Secure Terminal Equipment

**T&EPW** – Training and Exercise Planning Workshop

**TA** – Technical Assistance

**TCL** – Target Capabilities List

**TLO** – Terminal Learning Objectives

**TOPOFF** – Top Officials Exercise

**TPOC** – Training Point of Contact

**TSGP** – Transit Security Grant Program

**TSP** – Transit Security Program

**UASI** – Urban Areas Security Initiative

**UASS** – Urban Area Security Strategies

**UAWG** – Urban Area Working Group

**UHF** – Ultra High Frequency

**USDA** – U.S. Department of Agriculture

**VIPS** – Volunteers in Police Service

**VTEP** – Voluntary Training Enhancement Program

**WMD** – Weapons of Mass Destruction

**XML** – Extensible Markup Language



## Section 8.3 Grant Programs

The following grant programs are found within the GRT.

FY2007	FY2008	FY 2009	FY2010	FY2011	FY2012	FY2013	FY2014
State Homeland Security Program (SHSP)	State Homeland Security Program (SHSP)	State Homeland Security Program (SHSP)	State Homeland Security Program (SHSP)	State Homeland Security Program (SHSP)	State Homeland Security Program (SHSP)	State Homeland Security Program (SHSP)	State Homeland Security Program (SHSP)
Law Enforcement Terrorism Prevention Program (LETPP)							
Citizen Corps Program (CCP)	Citizen Corps Program (CCP)	Citizen Corps Program (CCP)	Citizen Corps Program (CCP)	Citizen Corps Program (CCP)			
Urban Area Security Initiative (UASI)	Urban Area Security Initiative (UASI)	Urban Area Security Initiative (UASI)	Urban Area Security Initiative (UASI)	Urban Area Security Initiative (UASI)	Urban Area Security Initiative (UASI)	Urban Area Security Initiative (UASI)	Urban Area Security Initiative (UASI)
Metropolitan Medical Response System (MMRS)	Metropolitan Medical Response System (MMRS)	Metropolitan Medical Response System (MMRS)	Metropolitan Medical Response System (MMRS)	Metropolitan Medical Response System (MMRS)			
Emergency Management Performance Grant (EMPG)	Emergency Management Performance Grant (EMPG)	Emergency Management Performance Grant (EMPG)	Emergency Management Performance Grant (EMPG)	Emergency Management Performance Grant (EMPG)	Emergency Management Performance Grant (EMPG)	Emergency Management Performance Grant (EMPG)	Emergency Management Performance Grant (EMPG)
Emergency Management Performance Grant – Supplemental (EMPGSUP)							
Buffer Zone Protection Plan (BZPP)	Buffer Zone Protection Plan (BZPP)	Buffer Zone Protection Plan (BZPP)	Buffer Zone Protection Plan (BZPP)				
Transportation Security Grant Program (TSGP)	Transportation Security Grant Program (TSGP)						
Transit Security Grant Program – Supplemental (TSGPSUP)							

FY2007	FY2008	FY 2009	FY2010	FY2011	FY2012	FY2013	FY2014
Transit Security Grant Program - Ferry (TSGPF)							
	Intercity Passenger Rail Program (IPR)	Intercity Passenger Rail Program (IPR)	Intercity Passenger Rail Program (IPR)	Intercity Passenger Rail Program (IPR)	Intercity Passenger Rail Program (IPR)	Intercity Passenger Rail Program (IPR)	Intercity Passenger Rail Program (IPR)
	Operation Stonegarden (OPSG)	Operation Stonegarden (OPSG)	Operation Stonegarden (OPSG)	Operation Stonegarden (OPSG)	Operation Stonegarden (OPSG)	Operation Stonegarden (OPSG)	Operation Stonegarden (OPSG)
	Regional Catastrophic Preparedness Grant Program (RCPGP)	Regional Catastrophic Preparedness Grant Program (RCPGP)	Regional Catastrophic Preparedness Grant Program (RCPGP)	Regional Catastrophic Preparedness Grant Program (RCPGP)			
Public Safety Interoperable Communications Grant Program (PSIC)							
UASI Nonprofit Security Grant Program (NSGP)	UASI Nonprofit Security Grant Program (NSGP)	UASI Nonprofit Security Grant Program (NSGP)	UASI Nonprofit Security Grant Program (NSGP)	UASI Nonprofit Security Grant Program (NSGP)	UASI Nonprofit Security Grant Program (NSGP)		
	Interoperable Emergency Communications Grant Program (IECGP)	Interoperable Emergency Communications Grant Program (IECGP)	Interoperable Emergency Communications Grant Program (IECGP)				
	MS Interoperable Communications Grant (MSICG)						
	Emergency Operations Center (EOC)	Emergency Operations Center (EOC)	Emergency Operations Center (EOC)	Emergency Operations Center (EOC)			

<sup>1</sup> - only for New York

## Section 8.4 Pre-defined Projects

PROJECT	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14
Assess vulnerability of and/or harden/protect critical infrastructure and key assets	X	X	X	X	X	X	X	X

PROJECT	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14
Adopt and implement NIMS to include integration of core concepts into plans and procedures	X	X	X	X	X	X	X	X
Administer and manage the Homeland Security Grant Program	X	X	X	X	X	X	X	X
Build/enhance a pharmaceutical stockpile and/or distribution network	X	X	X	X	X	X	X	X
Develop/enhance interoperable communications systems	X	X	X	X	X	X	X	X
Develop/enhance plans, procedures, and protocols	X	X	X	X	X	X	X	X
Develop/enhance homeland security/emergency management organization and structure	X	X	X	X	X	X	X	X
Develop/enhance state and local geospatial data system/Geographic Information System (GIS)	X	X	X	X	X	X	X	X
Enhance capability to support international border and waterway security	X	X	X	X	X	X	X	X
Establish/enhance a public health surveillance system	X	X	X	X	X	X	X	X
Establish/enhance a terrorism intelligence/early warning system, center, or task force	X	X	X	X	X	X	X	X
Establish/enhance agro-terrorism preparedness capabilities	X	X	X	X	X	X	X	X
Establish/enhance Citizen Corps Councils	X	X	X	X	X	X	X	X
Establish/enhance cyber security program	X	X	X	X	X	X	X	X
Establish/enhance emergency operations center	X	X	X	X	X	X	X	X
Establish/enhance explosive ordnance disposal units/bomb squads	X	X	X	X	X	X	X	X
Establish/enhance public-private emergency preparedness program	X	X	X	X	X	X	X	X
Establish/enhance regional response teams	X	X	X	X	X	X	X	X
Establish/enhance sustainable homeland security exercise program	X	X	X	X	X	X	X	X
Establish/enhance sustainable homeland security training program	X	X	X	X	X	X	X	X
Establish/enhance sustainable Homeland Security Planning Program	X	X	X	X	X	X	X	X
Establish/enhance citizen awareness of emergency preparedness, prevention, and response measures	X	X	X	X	X	X	X	X
Establish/enhance citizen/volunteer initiatives	X	X	X	X	X	X	X	X
Enhance integration of metropolitan area public health/medical and emergency management capabilities	X	X	X	X	X	X	X	X
Establish / enhance mass care shelter and alternative medical facilities operations	X	X	X	X	X	X	X	X
Establish/Enhance emergency plans and procedures to reflect the National Response Plan	X	X	X	X	X	X	X	X
Enhance capabilities to respond to all-hazards events	X	X	X	X	X	X	X	X
Establish/enhance fusion center	X	X	X	X	X	X	X	X
Enhance capabilities to recover from all-hazards events	X	X	X	X	X	X	X	X
Enhance capability to perform post-incident structural damage and mitigation assessment	X	X	X	X	X	X	X	X
Enhance capability to restore lifelines post-incident	X	X	X	X	X	X	X	X
Enhance capability to support economic and	X	X	X	X	X	X	X	X

PROJECT	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14
community recovery								
Manage, update and/or implement the State Homeland Security Strategy	X	X	X	X	X	X	X	X
Manage, update and/or implement the Urban Area Homeland Security Strategy	X	X	X	X	X	X	X	X
Manage, update and/or implement the Regional Transit Security Strategy	X	X	X	X	X	X	X	X
Transit Security Grant Program - RAIL	X	X	X	X	X	X	X	X
Transit Security Grant Program - FERRY	X	X	X	X	X	X	X	X
Transit Security Grant Program - BUS	X	X	X	X	X	X	X	X

## Section 8.5 FY 11 Percentage Requirements Validation

The SAA has a responsibility to obligate a minimum percentage of the Federal Award to the local jurisdictions/subgrantees. The requirements vary by grant program and by fiscal year. The table below illustrates the required percentage distribution of grant funding between state and local jurisdictions.

	SHSP	CCP	UASI	MMRS	OPSG	EOC	NSGP	EMPG	RCPGP	IPR
<b>Min. Pass through Percent</b>	80%	N/A	80%	95%	100%	95%	95%	N/A	95%	N/A
<b>Max. M&amp;A Percent Overall</b>	N/A	N/A	N/A	N/A	N/A	N/A	5%	N/A	N/A	5%
<b>Max. M&amp;A Percent State Share</b>	5%	5%	5%	5%	0%	5%	N/A	5%	N/A	N/A
<b>Max. M&amp;A Percent Local Share</b>	5%	5%	5%	5%	5%	5%	N/A	5%	N/A	N/A
<b>Max Organization % for states with UASIs</b>	50%	N/A	50%	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Max Organization % for states without UASIs</b>	50%	N/A	50%	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Max. Personnel Percent</b>	50%	N/A	50%	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Max. Planning Percent</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Max. Statewide Planning Percent</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

## Section 8.6 FY 12 Percentage Requirements Validation

The SAA has a responsibility to obligate a minimum percentage of the Federal Award to the local jurisdictions/subgrantees. The requirements vary by grant program and by fiscal year. The table below illustrates the required percentage distribution of grant funding between state and local jurisdictions.

	SHSP	UASI	OPSG	NSGP	EMPG	IPR
<b>Min. Pass through Percent</b>	80%	80%	100%	95%	N/A	N/A
<b>Max. M&amp;A Percent Overall</b>	N/A	N/A	N/A	5%	N/A	5%
<b>Max. M&amp;A Percent State Share</b>	5%	5%	0%	N/A	5%	N/A
<b>Max. M&amp;A Percent Local Share</b>	5%	5%	5%	N/A	5%	N/A
<b>Max Organization % for states with UASIs</b>	50%	50%	N/A	N/A	N/A	N/A
<b>Max Organization % for states without UASIs</b>	50%	50%	N/A	N/A	N/A	N/A
<b>Max. Personnel Percent</b>	50%	50%	N/A	N/A	N/A	N/A
<b>Max. Planning Percent</b>	N/A	N/A	N/A	N/A	N/A	N/A
<b>Max. Statewide Planning Percent</b>	N/A	N/A	N/A	N/A	N/A	N/A

## Section 8.7 FY 13 & FY 14 Percentage Requirements Validation

The SAA has a responsibility to obligate a minimum percentage of the Federal Award to the local jurisdictions/subgrantees. The requirements vary by grant program and by fiscal year. The table below illustrates the required percentage distribution of grant funding between state and local jurisdictions.

	SHSP	UASI	OPSG	NSGP	EMPG	IPR
<b>Min. Pass through Percent</b>	80%	80%	100%	95%	N/A	N/A
<b>Max. M&amp;A Percent Overall</b>	N/A	N/A	N/A	5%	N/A	5%
<b>Max. M&amp;A Percent State Share</b>	5%	5%	0%	N/A	5%	N/A
<b>Max. M&amp;A Percent Local Share</b>	5%	5%	5%	N/A	5%	N/A
<b>Max Organization % for states with UASIs</b>	50%	50%	N/A	N/A	N/A	N/A
<b>Max Organization % for states without UASIs</b>	50%	50%	N/A	N/A	N/A	N/A
<b>Max. Personnel Percent</b>	50%	50%	N/A	N/A	N/A	N/A
<b>Max. Planning Percent</b>	N/A	N/A	N/A	N/A	N/A	N/A
<b>Max. Statewide Planning Percent</b>	N/A	N/A	N/A	N/A	N/A	N/A

## Section 8.9 Urban Areas

The following table contains all states and their applicable urban areas from FY04 to FY15.

State	Urban Area	04	05	06	07	08	09	10	11	12	13	14	15
<b>Alabama</b>													
<b>Alaska</b>													
<b>American Samoa</b>													
<b>Arizona</b>	Phoenix Area	X	X	X	X	X	X	X	X	X	X	X	X
	Tucson Area	-	-	-	X	X	X	X	-	-	-	-	-
<b>Arkansas</b>													
<b>California</b>	Anaheim/Santa Ana Area	-	-	X	X	X	X	X	X	X	X	X	X
	Bakersfield	-	-	-	-	-	-	X	-	-	-	-	-
	Bay Urban Area	-	-	X	X	X	X	X	X	X	X	X	X
	Fresno Area	X	X	-	-	-	-	-	-	-	-	-	-
	Long Beach Area	X	X	-	-	-	-	-	-	-	-	-	-
	Los Angeles Area	X	X	-	-	-	-	-	-	-	-	-	-
	Los Angeles/Long Beach Urban Area	-	-	X	X	X	X	X	X	X	X	X	X
	Oakland Area	X	X	-	-	-	-	-	-	-	-	-	-
	Riverside Urban Area	-	-	-	-	X	X	X	X	X	X	X	X
	Sacramento Area	X	X	X	X	X	X	X	-	-	-	X	X
	San Diego Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
	San Jose Area	X	X	-	-	-	-	-	-	-	-	-	-
	San Francisco Area	X	X	-	-	-	-	-	-	-	-	-	-
Santa-Ana/Anaheim Area	X	X	-	-	-	-	-	-	-	-	-	-	
<b>Colorado</b>	Denver Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>Connecticut</b>	Bridgeport Area	-	-	-	-	X	X	X	-	-	-	-	-
	Hartford Area	-	-	-	-	X	X	X	-	-	-	-	-
	New Haven Area	X	X	-	-	-	-	-	-	-	-	-	-
	New York Area	X	X	-	-	-	-	-	-	-	-	-	-
<b>Delaware</b>	Philadelphia Area	X	X	-	-	-	-	-	-	-	-	-	-
<b>District of Columbia</b>	National Capital Region Urban Area	X	X	X	X	X	X	-	X	X	X	X	X
<b>Florida</b>	Ft. Lauderdale Area	-	-	X	X	X	X	X	-	-	-	-	-

State	Urban Area	04	05	06	07	08	09	10	11	12	13	14	15
	Jacksonville Area	X	X	X	X	X	X	X	-	-	-		
	Miami Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
	Orlando Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
	Tampa Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>Georgia</b>	Atlanta Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>Guam</b>													
<b>Hawaii</b>	Honolulu Area	X	X	X	X	X	X	X	-	-	-	X	X
<b>Idaho</b>													
<b>Illinois</b>	Chicago Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
	St. Louis Area	X	X	-	-	-	-	-	-	-	-	-	-
<b>Indiana</b>	Chicago Area	X	X	-	-	-	-	-	-	-	-	-	-
	Indianapolis Urban Area	X	X	X	X	X	X	X	-	X	X	X	X
<b>Iowa</b>													
<b>Kansas</b>	Kansas City Area	X	X	-	-	-	-	-	-	-	-	-	-
<b>Kentucky</b>	Cincinnati Area	X	X	-	-	-	-	-	-	-	-	-	-
	Louisville Area	X	X	-	-	-	-	-	-	-	-	-	-
<b>Louisiana</b>	Baton Rouge Area	X	X	X	-	-	-	-	-	-	-	-	-
	New Orleans Urban Area	X	X	X	X	X	X	X	-	X	X	X	X
<b>Maine</b>													
<b>Maryland</b>	Baltimore Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
	National Capital Regional Area	X	X	-	-	-	-	-	-	-	-	-	-
<b>Massachusetts</b>	Boston Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>Michigan</b>	Detroit Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>Minnesota</b>	St. Paul/Minneapolis Area	X	X	-	-	-	-	-	-	-	-	-	-
	Twin Cities Urban Area	-	-	X	X	X	X	X	X	X	X	X	X
<b>Mississippi</b>													
<b>Missouri</b>	Kansas City Urban Area	X	X	X	X	X	X	X	-	X	X	X	X
	St. Louis Urban Area	X	X	X	X	X	X	X	X	X	X	X	X



State	Urban Area	04	05	06	07	08	09	10	11	12	13	14	15
<b>Montana</b>													
<b>Nebraska</b>	Omaha	X	X	X	X	X	X	X	-	-	-	-	-
<b>Nevada</b>	Las Vegas Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>New Hampshire</b>													
<b>New Jersey</b>	Jersey City	X	X	-	-	-	-	-	-	-	-	-	-
	Jersey City/Newark Urban Area	-	-	X	X	X	X	X	X	X	X	X	X
	New York Area	X	X	-	-	-	-	-	-	-	-	-	-
	Newark Area	X	X	-	-	-	-	-	-	-	-	-	-
	Philadelphia Area	X	X	-	-	-	-	-	-	-	-	-	-
<b>New Mexico</b>													
<b>New York</b>	Albany Area	X	X	-	-	-	-	-	-	-	-	-	-
	Buffalo Area	X	X	X	X	X	X	X	-	-	-	-	-
	New York Area	X	X	-	-	-	-	-	-	-	-	-	-
	New York City Urban Area	-	-	X	X	X	X	X	X	X	X	X	X
<b>North Carolina</b>	Charlotte Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>North Dakota</b>													
<b>Northern Mariana Islands</b>													
<b>Ohio</b>	Cincinnati Area	X	X	X	X	X	X	X	X	-	-	X	X
	Cleveland Area	X	X	X	X	X	X	X	X	-	-	X	X
	Columbus Area	X	X	X	X	X	X	X	-	-	-	X	X
	Toledo Area	X	X	X	X	X	X	X	-	-	-	-	-
<b>Oklahoma</b>	Oklahoma City Area	X	X	X	X	X	X	X	-	-	-	-	-
<b>Oregon</b>	Portland Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
<b>Pennsylvania</b>	Philadelphia Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
	Pittsburgh Area	X	X	X	X	X	X	X	X	-	-	X	X
<b>Puerto Rico</b>													
<b>Rhode Island</b>	Providence Area	-	-	-	X	X	X	X	-	-	-	-	-
<b>South Carolina</b>													
<b>South Dakota</b>													

State	Urban Area	04	05	06	07	08	09	10	11	12	13	14	15
Tennessee	Memphis Area	X	X	X	X	X	X	X	-	-	-	-	-
	Nashville Area	-	-	-	-	X	X	X	-	-	-	-	-
Texas	Austin Area	-	-	-	-	X	X	X	-	-	-	-	-
	Dallas Area	X	X	-	-	-	-	-	-	-	-	-	-
	Dallas/Forth Worth /Arlington Urban Area	-	-	X	X	X	X	X	X	X	X	X	X
	El Paso Area	-	-	-	X	X	X	X	-	-	-	-	-
	Houston Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
	San Antonio Urban Area	X	X	X	X	X	X	X	-	X	X	X	X
U.S. Virgin Islands													
Utah	Salt Lake City Urban Area	-	-	-	-	-	-	-	-	-	-	X	X
Vermont													
Virginia	National Capital Region Area	X	X	-	-	-	-	-	-	-	-	-	-
	Norfolk Area	-	-	-	X	X	X	X	X	-	-	-	-
	Richmond Area	X	X	-	-	-	-	-	-	-	-	-	-
	Hampton Roads Urban Area	-	-	-	-	-	-	-	-	-	-	X	X
Washington	Portland Area	X	-	-	-	-	-	-	-	-	-	-	-
	Seattle Urban Area	X	X	X	X	X	X	X	X	X	X	X	X
West Virginia													
Wisconsin	Milwaukee Area	X	X	X	X	X	X	X	-	-	-	-	-
Wyoming													

## Section 8.10 Historical Allowable Data

### Historical Planning Categories

Planning Costs	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETPP	GCP	UASI	SHSP	UASI	LETPP	GCP	EMPG	MMRS	SHSP	UASI	LETPP	GCP	MMRS
Implementing and managing programs for equipment acquisition, training, and exercises	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Develop and enhance plans and protocols	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Develop or conduct assessments	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Establish and/or enhance Citizen Corps Councils to include planning and evaluation	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Develop and implement homeland security support programs and adopt ongoing DHS national initiatives	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Materials and Meeting Related Expenses	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Hiring of full or part-time staff or contractors/consultants to assist with any related planning activities (not for the purpose of hiring public safety personnel)	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

## Historical Equipment Costs

Authorized Equipment List Categories	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETPP	CCP	UASI	SHSP	UASI	LETPP	CCP	EMPG	MMRS	SHSP	UASI	LETPP	CCP	MMRS
Personal Protective Equipment	X	X	X	X	X	X	X	X	X	X	X			X	X	X	X		X
Explosive Device Mitigation and Remediation Equipment	X	X	X	X	X	X		X	X	X	X				X	X	X		
CBRNE Operational and Search & Rescue Equipment	X	X	X	X	X			X	X	X				X	X	X	X		X
Information Technology Cyber Security Enhancement Equipment					X	X		X	X	X	X		X	X	X	X	X	X	X
Interoperable Communications Equipment	X	X	X	X	X	X		X	X	X	X			X	X	X	X		X
Detection Equipment	X	X	X	X	X			X	X	X				X	X	X			X
Decontamination Equipment	X	X	X	X	X			X	X	X				X	X	X			X
Medical Supplies and Limited Types of Pharmaceuticals	X	X	X	X	X			X	X	X	X			X	X	X	X		X
Power Equipment									X	X	X			X	X	X	X	X	X
CBRNE Reference Materials	X	X	X	X	X	X		X	X	X	X	X	X	X	X	X	X	X	X
CBRNE Incident Response Vehicles	X	X	X	X	X	X		X	X	X	X				X	X	X		X
Terrorism Incident Prevention Equipment	X	X	X	X	X	X		X	X	X	X				X	X	X		
Physical Security Enhancement Equipment	X	X	X	X	X	X		X	X	X	X				X	X	X		
Inspection and Screening Systems									X	X	X				X	X	X		X
Agricultural Terrorism Prevention, Response and Mitigation Equipment					X			X	X	X					X	X			X
CBRNE Prevention and Response Watercraft					X	X		X	X	X	X				X	X	X		
CBRNE Aviation Equipment					X			X	X	X					X	X			
CBRNE Logistical Support Equipment	X	X	X	X	X	X		X	X	X	X			X	X	X	X		X
Intervention Equipment					X	X		X	X	X	X				X	X	X		

Authorized Equipment List Categories	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETPP	CCP	UASI	SHSP	UASI	LETPP	CCP	EMPG	MMRS	SHSP	UASI	LETPP	CCP	MMRS
Other Authorized Equipment					X	X		X	X	X	X	X	X	X	X	X	X	X	X

### Historical Training Costs

Training Costs	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETPP	CCP	UASI	SHSP	UASI	LETPP	CCP	EMPG	MMRS	SHSP	UASI	LETPP	CCP	MMRS
Establishment of CBRNE training capacities	X	X	X	X	X	X		X	X	X	X		X	X	X	X	X	X	X
Training workshops and conferences					X	X		X	X	X	X	X	X	X	X	X	X	X	X
Overtime and backfill funding for emergency preparedness and response personnel attending FEMA-sponsored and approved training classes		X*	X	X	X	X	X	X	X	X	X		X	X	X	X	X	X	X
Full of part-time staff or contractors/consultants					X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Creation and maintenance of student databases							X												
Travel			X	X	X	X		X	X	X	X	X	X	X	X	X	X	X	X
Supplies					X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

\* - O/T only

## Historical Management & Administrative Costs

Management & Administrative Costs	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETPP	CCP	UASI	SHSP	UASI	LETPP	CCP	EMPG	MMRS	SHSP	UASI	LETPP	CCP	MMRS
Conduct training sessions ensure accurate completion of the needs assessment	X	X																	
Updating and refining Statewide Homeland Security Strategic plans	X	X																	
Conducting local or regional strategy implementation meetings	X	X	X	X															
Meeting-related expenses	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Development of operating plans for information collection and processing necessary to respond to FEMA data calls									X	X	X	X	X	X	X	X	X	X	X
Implementing and managing programs for equipment acquisition, training and exercise	X	X																	
Hiring part-time staff or contractors/consultants to assist with management, implementation and administration	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Overtime and backfill									X	X	X	X	X	X	X	X	X	X	X
Travel	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Leasing and/or renting of office space for newly hired personnel					X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Recurring fees/charges associated with certain equipment, such as cell phones, faxes, etc. (allowable only within the period of performance of the grant program)					X	X	X		X	X	X	X	X	X	X	X	X	X	X
Acquisition of authorized office equipment (includes personal computers, laptops, printers, LCD projectors and	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

Management & Administrative Costs	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETPP	CCP	UASI	SHSP	UASI	LETPP	CCP	EMPG	MMRS	SHSP	UASI	LETPP	CCP	MMRS
other equipment or software which may be required to support implementation of the State strategy)																			
The percentage of the program that may be used for M&A	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	5 %	5 %	5 %	5 %	5 %
Percentage of grant program that may be sub-awarded from the State for local M&A purposes									3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %	3 %
Passthrough Requirements	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	100 %	≥ 80 %	≥ 80 %	≥ 80 %	≥ 80 %	Minimum	≥ 80 %

### Historical Exercise Costs

Exercise Costs	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETPP	CCP	UASI	SHSP	UASI	LETPP	CCP	EMPG	MMRS	SHSP	UASI	LETPP	CCP	MMRS
Design, development, conduct and evaluation of exercises	X	X	X	X	X	X	X <sup>1</sup>	X	X	X	X	X <sup>1</sup>	X	X					
Expenses related to Exercise Planning Workshops	X	X	X	X	X	X		X	X	X	X	X	X	X	X	X	X	X	X
Costs Related to the Implementation of the HSEEP	X	X	X	X	X	X		X	X	X	X	X	X	X					
Hiring of full or part-time staff or contractors / consultants	X	X	X	X	X	X		X	X	X	X	X	X	X	X	X	X	X	X
Overtime	X	X	X	X	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>	X <sup>2</sup>

Exercise Costs	FY 2003				FY 2004				FY 2005					FY 2006					
	SHSGP	SHSGP II	UASI	UASI II	SHSP	LETTP	CCP	UASI	SHSP	UASI	LETTP	CCP	EMPG	MMRS	SHSP	UASI	LETTP	CCP	MMRS
Travel	X	X	X	X	X	X		X	X	X	X	X	X	X	X	X	X	X	X
Supplies	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

<sup>1</sup> - for citizens

<sup>2</sup> - denotes that "backfill" is also allowed



## Section 8.11 GRT FY13 PSIC Module User's Guide

### PSIC Module Overview

The Public Safety Interoperable Communications (PSIC) Grant Program is designed to assist public safety agencies in the acquisition of, deployment of, or training for the use of interoperable communications systems that utilize - or enable interoperability with communications systems that can utilize - reallocated 700 MHz spectrum for radio communications. Each public safety agency receiving PSIC funds is required to meet and document a 20 percent statutory match requirement for each project during the period of performance of the grant.



In order to have state and local users accessing the PSIC module, SAA Admins will be responsible for assigning their users PSIC module privileges. State and local users must either create a GRT user account or use their existing active GRT account. Admin users are asked to grant permissions to all applicable state and local users that need access to the PSIC module.

Below is the workflow process for all applicable users to follow when completing the PSIC BSIR. Throughout the module, red help carat will be noted on specific question to provide additional guidance to users. Move your mouse over the red triangle in order to activate the help carat text; the text will remain onscreen until the mouse is moved away from the icon.

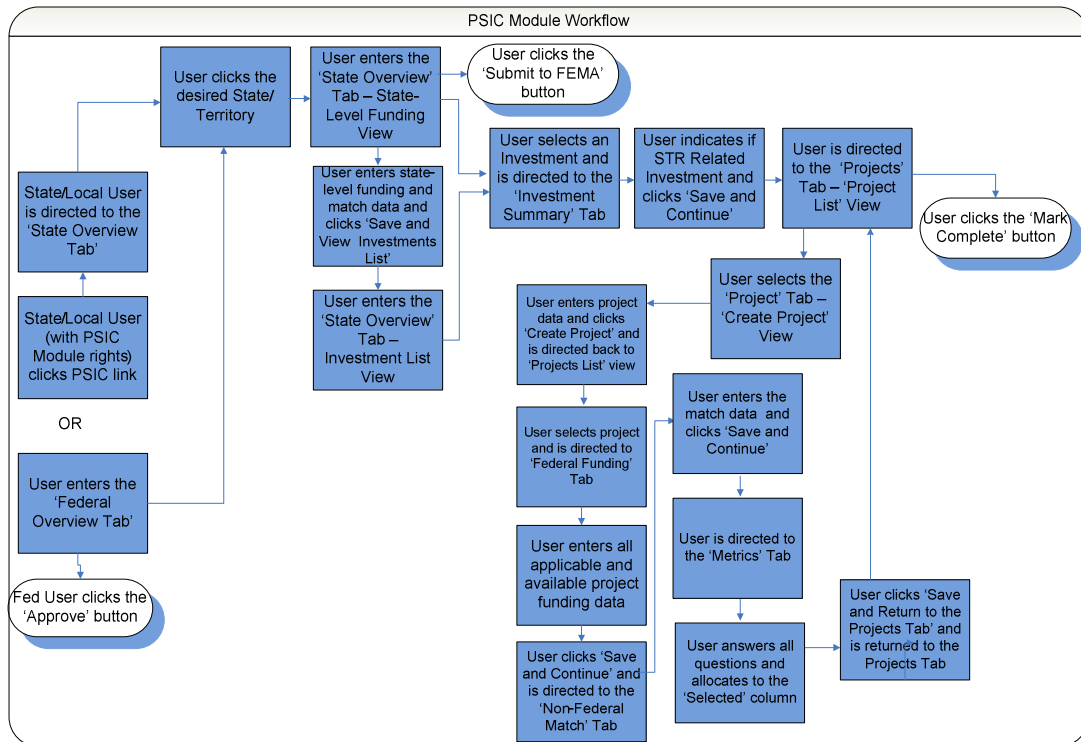


Figure 1: PSIC Module Workflow

Upon entering the PSIC module, an SAA and Local user will be directed to the State Overview tab. For Federal Users, the first page of the module is the Federal Overview tab, Federal Users can approve the PSIC BSIR from this tab. The PSIC module is comprised of seven individual pages. These pages include Federal Overview, State Overview, Investment Summary, Projects, Federal Funding, Non-Federal Match, and Metrics.

Below is an illustration of the PSIC module tabs:



*Figure 2: PSIC Module Tab View*

Listed below are brief descriptions of the various pages found in the PSIC module. They include the following:

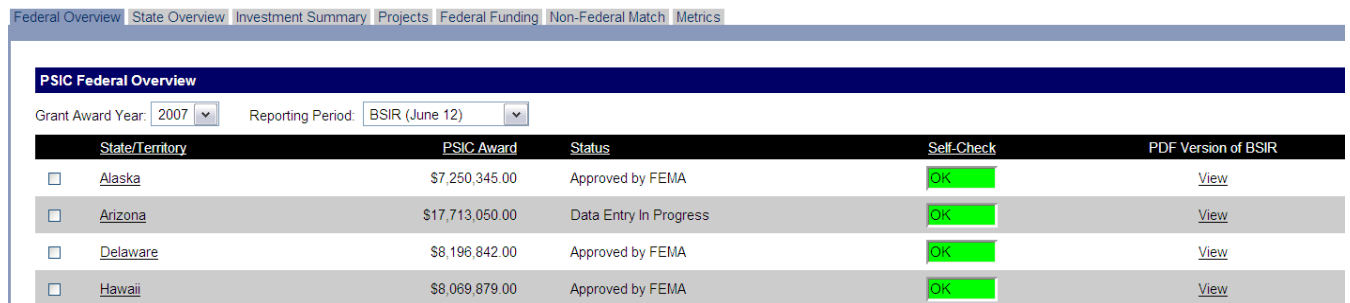
- **Federal Overview** –The Federal Overview Tab is the first page within the PSIC module. This page is available to Fed users only and allows Fed users to see a list of available States/territories and either Approve or Change the Status of the States/territories.
- **State Overview** – The State Overview tab is the second page within the PSIC module and is available to Fed, SAA and Local users. Users will be able to select the Investment List view to review the list of Investments imported from the PSIC IJs, along with the approved budget, current budget, acquisition/deployment funds and non-federal match for each Investment. By selecting the State-Level Funding view, users will view the total PSIC award and total minimum match required. and enter the State-Level Federal Funding and State-Level Non-Federal Match Funding amounts.
- **Investment Summary** – The Investment Summary tab is the third page within the PSIC module. By selecting an Investment, the user will proceed to the investment summary for that Investment. The user will select whether or not the Investment is an STR-Related Investment and review the Investment funding data. The user may then select ‘Save and Continue’ or click on the Projects Tab to proceed.
- **Projects** – The Projects tab is the fourth page within the PSIC module. For each Investment, the user will view the projects aligned to the Investment and can either create, delete, mark complete or change the status of a project(s). It is important to note that there is no limit to the number of projects that can be created.
- **Federal Funding** – The Federal Funding tab is the fifth page within the PSIC module. By selecting a project from the Project tab, the user will enter, review and edit the details of the project funding for acquisition, deployment, planning/coordination and training.
- **Non-Federal Match** – The Non-Federal Match tab is the sixth page within the PSIC module. In this page, the user will be able to enter, review and edit the match amount per the match fund source for the selected project.
- **Metrics** – The Metrics tab is the seventh page within the PSIC module. In this page, the user will select answers to questions regarding Project Metrics and Outcome Metrics. Once complete, the user will return to the Projects tab and repeat the Federal Funding, Non-Federal Match, and Metrics tab for each project.

# Completing the PSIC BSIR

## 8.11.1.1 Federal Overview

Upon entering the PSIC module, Fed users will have access to a list of all State and territory submissions.

- ❖ **Step 1** – User enters the Federal Overview page
- ❖ **Step 2** – User selects one or more States and/or territories
- ❖ **Step 3** – User can view, approve, or change request a State or territory



The screenshot shows the 'PSIC Federal Overview' tab in a web application. At the top, there are navigation tabs: 'Federal Overview', 'State Overview', 'Investment Summary', 'Projects', 'Federal Funding', 'Non-Federal Match', and 'Metrics'. Below the tabs, the 'PSIC Federal Overview' section is displayed. It includes two dropdown menus: 'Grant Award Year' set to '2007' and 'Reporting Period' set to 'BSIR (June 12)'. Below these is a table with the following data:

State/Territory	PSIC Award	Status	Self-Check	PDF Version of BSIR
<input type="checkbox"/> <a href="#">Alaska</a>	\$7,250,345.00	Approved by FEMA	OK	<a href="#">View</a>
<input type="checkbox"/> <a href="#">Arizona</a>	\$17,713,050.00	Data Entry In Progress	OK	<a href="#">View</a>
<input type="checkbox"/> <a href="#">Delaware</a>	\$8,196,842.00	Approved by FEMA	OK	<a href="#">View</a>
<input type="checkbox"/> <a href="#">Hawaii</a>	\$8,069,879.00	Approved by FEMA	OK	<a href="#">View</a>

Figure 3: Federal Overview Tab

## 8.11.1.2 State Overview – State-Level Funding View

The State Overview tab is the second page within the PSIC module. This view allows SAA Admin users and all SAA and Local users who have PSIC module privileges assigned to them (in the user's module) to view the total PSIC award and total minimum match required, and the State-Level Federal Funding and State-Level Non-Federal Match Funding amounts. This view is only editable to SAA users.

- ❖ **Step 1** – User enters the State Overview page
- ❖ **Step 2** – User selects State-Level Funding view
- ❖ **Step 3** – User enters Budget, Obligated, and Expended funding amounts for State-Level Federal Funding, and Budget and Expended funding amounts for State-Level Non-Federal Match Funding
- ❖ **Step 4** – User saves and views Investments List

Federal Overview | State Overview | Investment Summary | Projects | Federal Funding | Non-Federal Match | Metrics

**PSIC State Overview - Alaska**

Grant Award Year: 2007 | Reporting Period: BSIR (June 12) | View: State-Level Funding

**State-Level Federal Funding**

	Budget	Obligated ▶	Expended ▶
Statewide Planning:			
M&A:	\$215,000.00	\$1,775.64	\$213,224.36
Investments:	\$7,035,345.00	\$1,328,715.50	\$5,348,462.48
<b>Grand Total:</b>	<b>\$7,250,345.00</b>	<b>\$1,328,491.14</b>	<b>\$5,561,686.84</b>
PSIC Award:	\$7,250,345.00		
Self-Check:	OK		

**State-Level Non-Federal Match Funding**

	Budget	Obligated ▶	Expended ▶
State-Level M&A Match:	\$53,750.00		\$53,306.09
State-Level Non-Federal Match			
Cash - State:	\$100,000.00		
In-Kind - Donated volunteer services:			
In-Kind - Donated salaries:			
In-Kind - Donated equipment:			
In-Kind - Donated property:			
In-Kind - Other ▶:			
Other:			
Match from Investments:	\$1,495,648.55	\$0.00	\$1,181,120.00
<b>Total Match:</b>	<b>\$1,649,398.55</b>	<b>\$0.00</b>	<b>\$1,234,426.09</b>
Minimum Required Match ▶:	\$1,550,051.02		
Self-Check:	OK		

Figure 4: State Overview Tab – State-Level Funding

### 8.11.1.3 State Overview – Investments List View

By selecting 'Investments List' from the View menu, users see a list of Investments and their related status. On this page, users have the ability to select an Investment, and view the status, Approved and Current Budgets, Acquisition and Deployment, and Non-Federal Match. Users will later return to this page to certify and submit the PSIC BSIR to FEMA.

- ❖ **Step 1** – User enters the State Overview page
- ❖ **Step 2** – User selects Investments List view
- ❖ **Step 3** – User selects an Investment from Investment list
- ❖ **Step 4** – User proceeds to Investment Summary

State Overview | Investment Summary | Projects | Federal Funding | Non-Federal Match | Metrics

**PSIC State Overview - Delaware**

Grant Award Year: 2007 Reporting Period: BSIR (June 12) View: Investments List [View PDF Version of BSIR](#)

#	Investment Name	Approved Budget	Current Budget	Acquisition & Deployment	Non-Federal Match	Status
1	This is a sample investment name	\$7,316,103.74	\$7,316,103.74	\$7,316,103.74	\$1,000,000.00	Approved by FEMA
2	This is a sample investment name	\$634,833.00	\$634,833.00	\$634,833.00	\$0.00	Approved by FEMA
<b>TOTALS:</b>		<b>\$7,950,936.74</b>	<b>\$7,950,936.74</b>	<b>\$7,950,936.74</b>	<b>\$1,000,000.00</b>	

By checking this box, I certify that to the best of the State Administrative Agency's knowledge, all PSIC-funded equipment was purchased in accordance with the award terms and conditions.

By checking this box, I certify that the data within this submission is complete, accurate, and adheres to all Fiscal Year 2007 Grant Guidance issues overseen by the Department of Homeland Security Federal Emergency Management Agency and the Department of Commerce National Telecommunications and Information Administration.

Figure 5: State Overview Tab – Investments List

### 8.11.1.4 Investment Summary

The Investment Summary tab displays the 2007 Approved funding and 2007 BSIR (December 08) funding by solution area for the selected Investment. The user also indicates whether or not the Investment is STR related.

- ❖ **Step 1** – User enters Investment Summary page
- ❖ **Step 2** – User selects Yes/No to indicate if Investment is STR related
- ❖ **Step 3** – User saves and continues to Projects tab

State Overview | Investment Summary | Projects | Federal Funding | Non-Federal Match | Metrics

**Investment - Sample State**

**Investment Information**

Investment: 1 - This is a sample investment name  
Investment Description: This is a sample investment description.

**Investment Summary**

		Federal Funding
Acquisition	2007 Approved	\$734,885.00
	2007 BSIR (December 08)	\$0.00
Deployment	2007 Approved	\$1,671,600.00
	2007 BSIR (December 08)	\$0.00
Planning and Coordination	2007 Approved	\$0.00
	2007 BSIR (December 08)	\$0.00
Training	2007 Approved	\$0.00
	2007 BSIR (December 08)	\$0.00
		<b>Non-Federal Match</b>
Acquisition and Deployment	2007 Approved	\$601,625.00
	2007 BSIR (December 08)	\$0.00

STR Related Investment? No

Figure 6: Investment Summary Tab

### 8.11.1.5 Projects – Create Projects

The Projects tab is the third page within the PSIC module. On this page, users have the ability to select from a drop-down the following choices: Create Project or Projects List. The default selection is 'Projects List'. By selecting the 'Create Project' view, users can create projects for each Investment. Once a project has been created, the project will appear in the Projects list.

- ❖ **Step 1** – User enters the Projects page
- ❖ **Step 2** – User selects 'Create Project' from the drop-down menu

- ❖ **Step 3** – User enters the project name
- ❖ **Step 4** – User enters project description
- ❖ **Step 5** – User clicks ‘Create Project’ and returns to the Projects List

Figure 7: Projects Tab – Create Project

### 8.11.1.6 Projects – Projects List

By creating a project, or selecting ‘Projects List’ from the drop-down menu, users see a list of Investments and their related status. Users can select a project by clicking on the project name in order to advance to the next tab.

- ❖ **Step 1** – User enters the Projects page
- ❖ **Step 2** – User selects a project from the list of projects
- ❖ **Step 3** – User is directed to the Federal Funding page

Project Name	Federal Funds	Non-Federal Match	Metrics Complete	Status
<input type="checkbox"/> 1. This is a sample project	\$0.00	\$0.00	No	Data Entry In Progress
<input type="checkbox"/> 2. This is a sample project	\$0.00	\$0.00	No	Data Entry In Progress
<input type="checkbox"/> 3. This is a sample project	\$0.00	\$0.00	No	Data Entry In Progress
<b>TOTALS:</b>	<b>\$0.00</b>	<b>\$0.00</b>		

Figure 8: Projects Tab – Project List

### 8.11.1.7 Federal Funding

The Federal Funding tab is the only page in which users can modify the project name or description. Once the user saves this page, the project name and description will be read-only. Users can return to the Federal Funding tab to edit information at any time while the project is in ‘Data Entry in Progress’ status.

- ❖ **Step 1** – User enters Federal Funding page from Projects tab
- ❖ **Step 2** – User edits project name and description
- ❖ **Step 3** – User enters Budget, Obligated, and Expended funding amounts for each solution area for the project
- ❖ **Step 4** – User saves and continues to Non-Federal Match tab

State Overview | Investment Summary | Projects | Federal Funding | Non-Federal Match | Metrics

**Federal Funding - Sample State**

**Project Information**

Investment: 1 - This is a sample investment name  
 Project Name: 1 - This is a sample project  
 Project Description: Sample

**Project Funding Table**

	Budget	Obligated	Expended
Acquisition	\$244,961.67	\$183,721.25	\$61,240.42
Deployment	\$67,200.00	\$417,900.00	\$139,300.00
Planning and Coordination			
Training			
<b>Total</b>	<b>\$302,161.67</b>	<b>\$601,621.25</b>	<b>\$200,540.42</b>

Revert to Saved | Save | Save and Continue

Figure 9: Federal Funding Tab



Users are advised to save as they proceed through the module; striking the 'enter' key will not save all information. User must click 'Save' or 'Save and Continue' before proceeding off the page.

### 8.11.1.8 Non-Federal Match

The Non-Federal Match page allows the user to enter the match amount per the match fund source for the selected project.

- ❖ **Step 1** - User enters Non-Federal Match page from the Federal Funding page
- ❖ **Step 2** - User enters match amount per the match fund source for Acquisition and Deployment solution areas
- ❖ **Step 3** - User enters match fund source and amount in other category, as needed
- ❖ **Step 4** - User saves and continues to Metrics page

State Overview | Investment Summary | Projects | Federal Funding | Non-Federal Match | Metrics

**Non-Federal Match - Sample State**

**Project Information**

Investment: 1 - This is a sample investment name  
 Project Name: 1 - This is a sample project  
 Project Description: Sample

**Non-Federal Match of Acquisition & Deployment**

	Budget	Obligated	Expended
Cash - State	\$150,406.25		\$150,406.25
Cash - Local			
Cash - Non-governmental			
In-Kind - Donated volunteer services			
In-Kind - Donated salaries	\$50,135.42		\$50,135.42
In-Kind - Donated equipment			
In-Kind - Donated property			
In-Kind - Indirect costs			
Other:			

Revert to Saved | Save | Save and Continue

Figure 10: Non-Federal Match Tab

### 8.11.1.9 Metrics

The Metrics tab is the seventh and final page within the PSIC module. In this page, the user will select answers to questions regarding the selected project.

- ❖ **Step 1** – User selects from a drop-down the number of jurisdictions impacted
- ❖ **Step 2** – User selects Yes/No to indicate if State Public Safety Agencies are impacted
- ❖ **Step 3** – User selects Yes/No to indicate if Local Public Safety Agencies are impacted
- ❖ **Step 4** – User selects Yes/No to indicate if UASI(s) are impacted
- ❖ **Step 5** – User selects Yes/No to indicate if Tier I UASI(s) are impacted
- ❖ **Step 6** – User selects Yes/No to indicate if NGOs are impacted
- ❖ **Step 7** – User selects Yes/No to indicate if Tribal Organizations are impacted
- ❖ **Step 8** – User selects disciplines impacted by moving selections from the left box to the right
- ❖ **Step 9** – User selects Yes/No to indicate answers to eight Outcome Metrics questions
- ❖ **Step 10** – User selects the primary focus outcome.
- ❖ **Step 11** – User saves and returns to Projects tab

State Overview | Investment Summary | Projects | Federal Funding | Non-Federal Match | Metrics

Metrics - Sample State

**Project Information**

Investment: 1 - This is a sample investment name  
Project Name: 1 - This is a sample project  
Project Description: Sample

**Project Metrics**

Note: Questions marked with a red asterisk (\*) are required.

Jurisdictions Impacted\* 5-9

Does this project impact State Public Safety Agencies? No

Does this project impact Local Public Safety Agencies? Yes

UASI(s) Impacted\* Yes

Tier I Impacted\* No

Does this project impact NGOs? No

Does this project impact Tribal Organizations? No

Identify the discipline(s) impacted by this project\*

Available Selected

Agriculture  
Cyber Security  
Emergency Management  
Emergency Medical Services (Fire-based)  
Emergency Medical Services (Non fire-based)  
Governmental/Administrative  
HazMat  
Health Care  
Not for Profit/Non-Profit  
Public Health  
Public Safety Communications  
Public Works

Fire Service  
Law Enforcement

>>>  
<<<

**Outcome Metrics**

Planning -- Has this project increased your planning and coordination or governance capabilities? Yes

Regionalization and Collaboration -- Has this project increased operational capabilities and collaboration with other agencies/jurisdictions in the region? Yes

Information Sharing -- Has this project increased your ability to share and receive information (voice or data) with other agencies? Yes

Capacity -- Has this project increased your capability to carry out interoperable communications by expanding capacity (e.g., purchased more equipment, increased signal coverage)? Yes

Functionality -- Has this project helped to establish a new functional capability (e.g., new technological features, new technological solutions)? Yes

Sustainment -- Has this project increased the life expectancy of your systems or equipment? Yes

Resiliency -- Has this project improved your ability to recover from an interruption in emergency communications? Yes

Personnel Proficiency -- Has this project increased the skills, expertise, or knowledge of your emergency communications personnel? Yes

Which outcome was the primary focus of this project? Information Sharing

Revert to Saved | Save | Save and Return to Projects tab



Figure 11: Metrics Tab

### 8.11.1.10 Projects

Once a user completes both Federal Funding and Non-Federal Match Funding tabs for a project, the user will return to the Projects tab. From this page, users may mark a project complete, change status of a project, or proceed to Federal Funding page. Once all projects within an Investment are marked complete, that Investment's status will change to 'Complete' on the State Overview page.

- ❖ **Step 1** – User selects the check box next to a finished project
- ❖ **Step 2** – User selects 'Mark Complete' to change project status from 'Data Entry in Progress' to 'Complete'

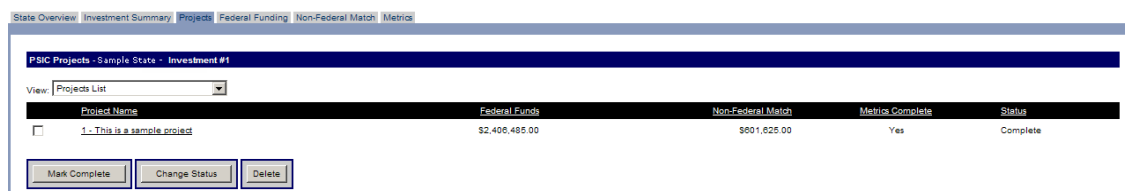


Figure 12: Projects Tab – Marking a Project Complete

### 8.11.1.11 State Overview Tab – Submitting to FEMA

Once all projects within an Investment are marked complete, that Investment's status will read 'Complete' in the Investments List. Once all Investments are complete, the SAA may certify and submit the PSIC BSIR to FEMA.

- ❖ **Step 1** – User will select the check box to certify that all PSIC-funded equipment was purchased in accordance with the award terms and conditions.
- ❖ **Step 2** – User will select the check box to certify that the data within the submission is complete, accurate, and adheres to all Fiscal Year 2007 Grant Guidance issues overseen by the DHS FEMA and the Department of Commerce National Telecommunications and Information Administration.
- ❖ **Step 3** – User will submit PSIC BSIR to FEMA

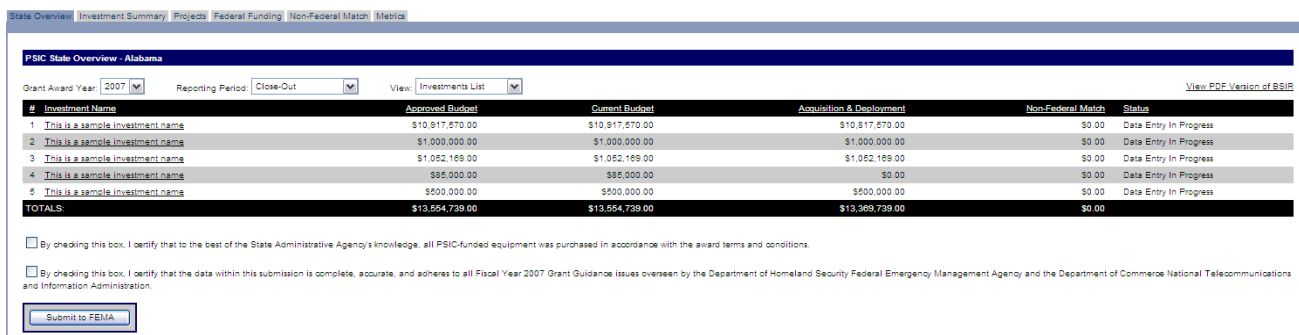


Figure 13: State Overview Tab – Submitting to FEMA

## Section 8.12 GRT FY 2015 IJ Submission Module User's Guide

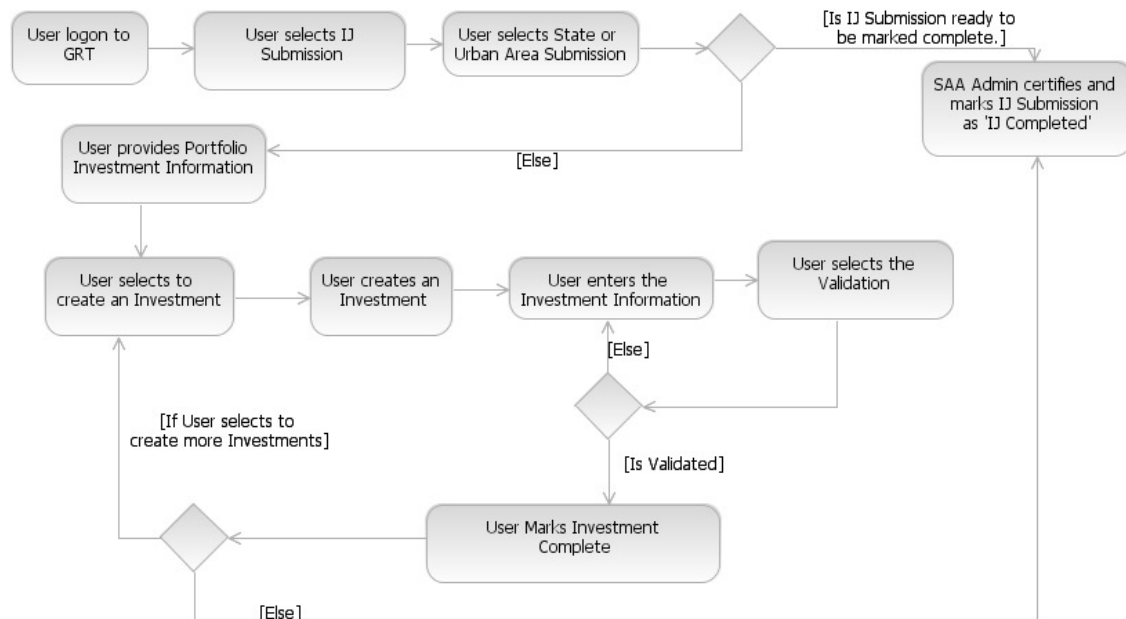
### 8.12.1.1 IJ Submission Module Overview

The FY 2015 Investment Justification (IJ) for the Homeland Security Grant Program (HSGP) will be compiled online by State, territory and Urban Area users through the IJ Submission module in the GRT (similarly to the FY 2014 HSGP IJ).

The purpose of this module is to provide users the ability to compile their FY 2015 Investment Justification online and without the use of an excel template. Users may create up to 10 Investments per Urban Area IJ submission. For state/territory IJ Submissions, users may create up to 10 Investments.

Upon completion of all Investments, SAA Admin users will mark each final Investment Justification submission as complete. To complete the Investment Justification submission process, an official copy of the final Investment Justification must be submitted as described in the grant guidance. The official copy of the Investment Justification that must accompany the complete HSGP application package will be available in the GRT.

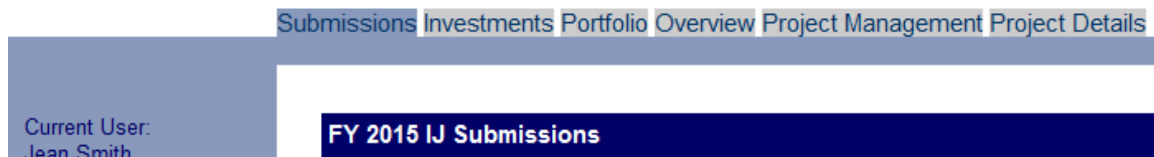
Throughout the module, some questions will be marked with a red help carat to provide additional guidance to users. The IJ Submission Module process is detailed in the flow chart below:



*IJ Submission Module Process*

Upon entering the IJ Submission module, the user will be directed to the first tab of the module, the Submissions tab. The IJ Submission module is comprised of eight individual tabs. These tabs include Submissions, Investments, Portfolio, Overview, Project Management, and Project Details.

Below is an illustration of the IJ Submission module tabs:



### *IJ Submission Module Tabs*

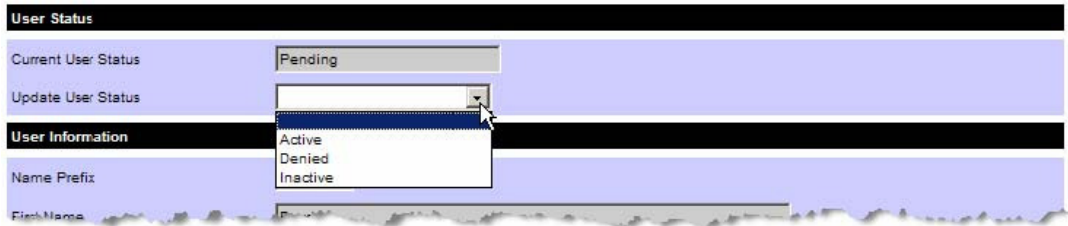
Listed below are brief descriptions of the various tabs found in the IJ Submission module. They include the following:

- **Submissions** – The Submissions tab is the first tab within the IJ Submission module. This tab is available to Fed, State and Local users and it allows users to see a list of available States/territories and urban areas. Depending on the user, certain features of this tab will be read-only. The SAA marks the final IJ submission complete on this tab.
- **Investments** – The Investments tab is the second tab within the IJ Submission module. By selecting 'Investment List', users see a list of Investments and status. Users have the ability to create Investments via the Create Investments View, view Investments on the View Investments View and view a validation of Investments on the Validation View page. Users will mark their Investments complete on the Investments tab.
- **Portfolio:** The Portfolio tab is the third tab within the IJ Submission module. In this tab user can provide the overall Investment Justification and provide the M&A Amount for SHSP or UASI depending on the submission type – state (SHSP) or urban area (UASI) submission.
- **Overview:** The Overview tab is the fourth tab within the IJ Submission module. In this tab, user provides Investment description. User selects the Funding Program and enters Funding Amount. User must provide the Investment description, LETPA Amount. User must answer if this is consolidated Fusion Center Investment.
- **Project Management** – The Project Management is the fifth tab within the IJ Submission module. The Project Management provides users to create up to thirty Projects associated with the Investment.
- **Project Details:** This is the last tab in the IJ Submission Module. In this tab user provides the details regarding each Project. User provides the Name, Description, Location, Subgrantee, Primary Core Capability, Project Management Step, Start Date and End Date of the Project.

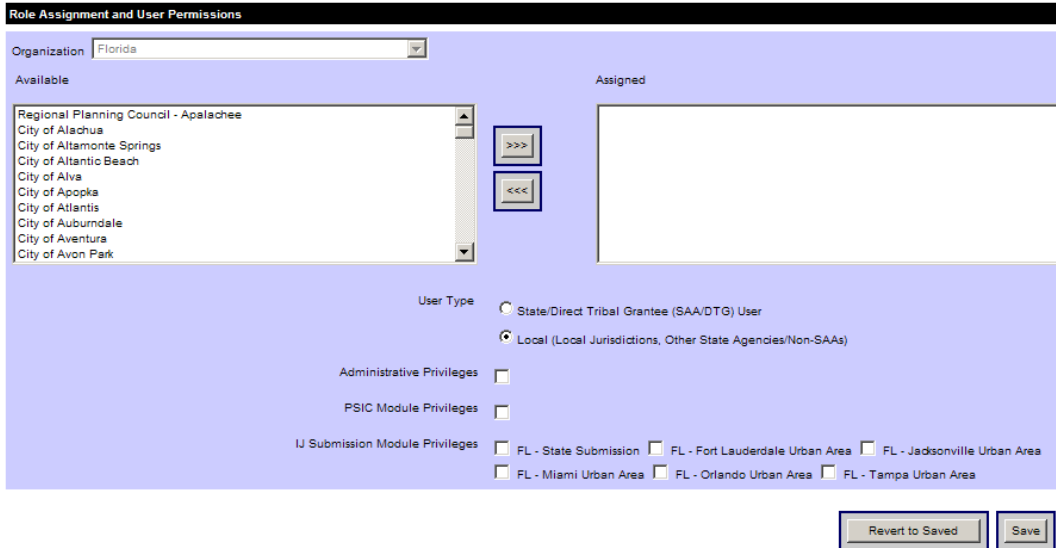
#### **8.12.1.2 User's Module – Assigning Users to the IJ Submission Module**

To review additional information regarding the user, the approving user can enter the User Detail tab by clicking on a user's name. If the user wishes to view their own user information, they can click their name, or click the User Detail tab. The User Detail tab will display a user's status, contact information, role, organizational assignment, and user permissions. Additionally, users can see who last activated their account by reviewing the box labeled "last activated by."

Under the User Status section of the User Detail tab, an approving user can change the status of the user. Once the status is changed, the user should click the 'Save' button to update the user's status.



In order to have State and local users accessing the IJ Submission module, SAA Admins will be responsible for assigning their users IJ Submission module privileges. State and local users must either create a GRT user account or use their existing active GRT account. If their account is currently locked-out or inactive, they will need to get their account in an “active” state before they are permitted into the IJ Submission module. In order to get permission into the IJ Submission module, State and local users must seek permission from their SAA Admin to edit and complete the information found in the Investment Justification. SAA Admin users are asked to grant permissions to all applicable State and local users that need access to the IJ Submission module. If you are a SAA Admin responsible for the completion and submission of your Investment Justification and do not have a GRT account, please contact your preparedness officer.



*User Assignments for IJ Submission Module*

All users pending approval will show ‘Pending’ in the status column. Once the user has been changed by their Admin user to an active status, ‘Active’ will appear in the status column and those users are then able to login into the GRT.

Name	Grantee/Subgrantee	Account Type	Username	Status
<input type="checkbox"/> <a href="#">Smith_Lance</a>	Sample Subgrantee	Local Admin	JohnDoe1	Active
<input type="checkbox"/> <a href="#">Smith_Ross</a>	Sample Subgrantee	Local Admin	JohnDoe2	Denied
<input type="checkbox"/> <a href="#">Smith_Aimee</a>	Sample Subgrantee	Local User	JohnDoe3	Inactive
<input type="checkbox"/> <a href="#">Smith_Tom</a>	Sample Subgrantee	Local Admin	JohnDoe4	Pending
<input type="checkbox"/> <a href="#">Smith_Tim</a>	Sample Subgrantee	Local Admin	JohnDoe4	Active

*User Approval – User Status*

The following table highlights the User statuses within the GRT as it pertains to the creation and approval of users:

User Status	Definition
Active	Indicates that a user currently has login access to the system
Pending	Indicates that a user has recently requested access to the GRT
Denied	Indicates that a user account has been requested, but denied
Inactive	Indicates that a user account has not been used within 45 days
Locked	Indicates that a user account is currently inaccessible. An account may be locked because a user entered an incorrect password three or more times. Users with admin rights carry the privilege to unlock these users

# Compiling the Investment Justification

## 8.12.1.3 Submissions

Upon entering the IJ Submission module, users will have access to their designated State/ territory/ Urban Area submission as granted by the SAA Admin.

- ❖ **Step 1** – User enters the Submissions tab
- ❖ **Step 2** – User selects IJ Submission
- ❖ **Step 3** – User is directed to Investments tab for that particular submission

IJ Submission	HSGP Funds	Status	Self-Check	Draft IJ	Final IJ
CA - State Submission	\$0	Data Entry In Progress	ERROR	<a href="#">View</a>	N/A
CA - Anaheim/Santa Ana Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
CA - Bay Urban Area	\$5	Data Entry In Progress	ERROR	N/A	N/A
CA - Los Angeles/Long Beach Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
CA - Sacramento Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
CA - San Diego Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
<b>TOTAL:</b>	<b>\$5</b>				

IJ Submission Tab (Local User View)

IJ Submission	HSGP Funds	Status	Self-Check	Draft IJ	Final IJ
<input type="checkbox"/> CA - State Submission	\$7,685,000	Change Request	OK	<a href="#">View</a>	N/A
<input type="checkbox"/> CA - Anaheim/Santa Ana Urban Area	\$7,000,000	IJ Completed	OK	N/A	<a href="#">View</a>
<input type="checkbox"/> CA - Bay Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
<input type="checkbox"/> CA - Los Angeles/Long Beach Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
<input type="checkbox"/> CA - Riverside Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
<input type="checkbox"/> CA - Sacramento Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
<input type="checkbox"/> CA - San Diego Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A
<b>TOTAL:</b>	<b>\$14,685,000</b>				

Check All - Clear All

By checking this box, I hereby certify that the data contained within this submission is complete, accurate, and adheres to all FY 2012 HSGP Investment Justification guidance issued by the Department of Homeland Security's Federal Emergency Management Agency.

IJ Submission Module (SAA Admin View)

Submissions Investments Portfolio Overview Project Management Project Details

Current User: Jean Smith

Welcome!

Admin

**IJ Submission**

Investment

Scoring

Funding

PSIC

Approval

Organization

Users

Reporting

Strategy

Logout

FY 2016 IJ Submissions						
IJ Submission	HSGP Funds	Status	Self-Check	Draft IJ	Final IJ	
<input type="checkbox"/> AK - State Submission	\$0	Data Entry In Progress	ERROR	View	N/A	
<input type="checkbox"/> AL - State Submission	\$0	Data Entry In Progress	ERROR	N/A	N/A	
<input type="checkbox"/> AR - State Submission	\$0	Data Entry In Progress	ERROR	N/A	N/A	
<input type="checkbox"/> AS - State Submission	\$0	Data Entry In Progress	ERROR	N/A	N/A	
<input type="checkbox"/> AZ - State Submission	\$0	Data Entry In Progress	ERROR	N/A	N/A	
<input type="checkbox"/> AZ - Phoenix Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A	
<input type="checkbox"/> CA - State Submission	\$7,685,000	Change Request	OK	View	N/A	
<input type="checkbox"/> CA - Anaheim/Santa Ana Urban Area	\$7,000,000	IJ Completed	OK	N/A	View	
<input type="checkbox"/> CA - Bay Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A	
<input type="checkbox"/> CA - Los Angeles/Long Beach Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A	
<input type="checkbox"/> CA - Riverside Urban Area	\$0	Data Entry In Progress	ERROR	N/A	N/A	

*IJ Submission Module (Fed Admin View)*

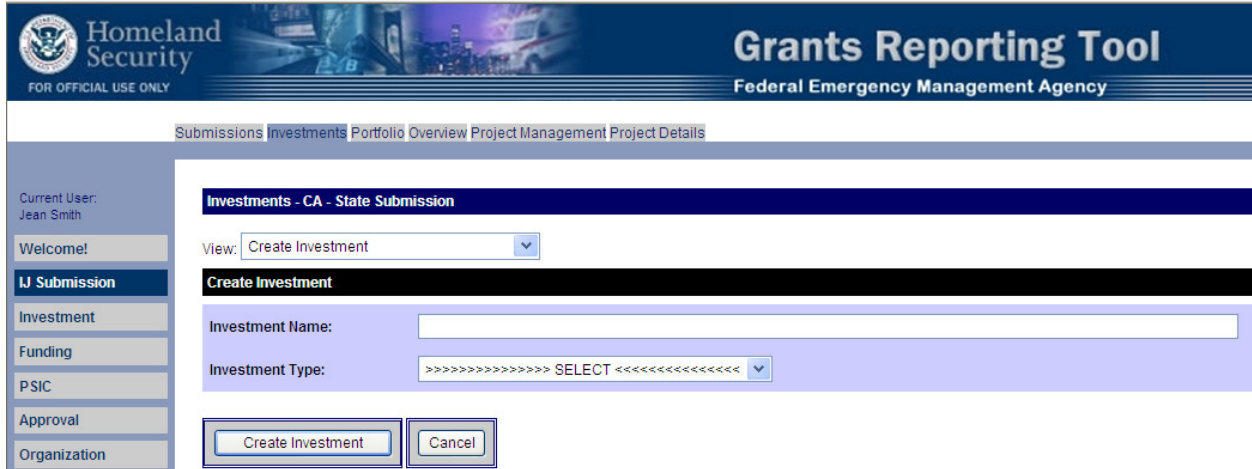
**8.12.1.4 Investments**

The Investments tab is the second tab within the IJ Submission module. By selecting 'Investment List', users see a list of Investments and their related status. On this tab, users have the ability to select a view from a drop-down list box the following choices: Investments List, Create Investment and Validation. The default selection is Investment List. *Please note, that if the maximum number of investments have already been created for a submission type, the "Create Investment" will not be available.*

The user may begin creating Investments using the Create Investment View.

**Create Investment**

- ❖ **Step 1** – User selects 'Create Investment' from the 'Investment View' drop-down menu
- ❖ **Step 2** – User enters the Investment name
- ❖ **Step 3** – User selects Investment Type ('Competitive' or 'Non0Competitive')
- ❖ **Step 4** - The user clicks 'Create Investment' and is returned to the Investment List View



*Investments Tab (Create Investment)*

### 8.12.1.5 Investment List

Once a user creates an Investment, the Investment will appear in the Investment tab under the Investment List view. On this tab, Portfolio Investment will be the first Investment which will be present under each submission by default. From this tab, users may mark an Investment complete, check the validation of the Investment and click on an individual Investment to populate it with data.

- ❖ **Step 1** – User selects an Investment from Investment List by clicking the Investment name (hyperlink)
- ❖ **Step 2** – User select Portfolio Investment and this will direct the user to Portfolio tab.
- ❖ **Step 3** – User is directed to the Overview tab to begin answering Investment questions for the Investment which are created by the user.



*Investment Tab (Investment List View)*

### 8.12.1.6 Portfolio

In this tab user can enter the Portfolio data.

- ❖ **Step 1** – User enters Portfolio tab from Investments List
- ❖ **Step 2** – User enters Overall Investment Justification.
- ❖ **Step 3** – User enters M&A Amount for SHSP or UASI for the submission.



Submissions Investments Portfolio Overview Project Management Project Details

Current User:  
Jean Smith

Welcome!

**IJ Submission**

Investment

Funding

PSIC

Approval

Organization

Users

Reporting

Strategy

Logout

**Investments - AL - State Submission**

**Portfolio**

Note: Fields marked with a red asterisk (\*) are required.

\*Portfolio - Overall Investment Justification  
Describe how the spectrum of terrorism and natural hazard risks that the State/Territory/Urban Area faces influenced the development of this Investment Justification to include all Investments.

test

\* Identify the amount and percentages of funding that will be dedicated to Management and Administration expenditures.

Program	Requested Amount	M&A Amount	M&A Percent	Subtotal (Requested + M&A)
SHSP	\$2,000,000	\$100,000	4.8%	\$2,100,000

*Portfolio Tab*



Users are advised to save as they proceed through the module; striking the 'enter' key will not save all information. User must click 'Save' or 'Save and Continue' before proceeding off the tab.

### 8.12.1.7 Overview

The User is directed to this tab once the Investment is selected from the Investments tab. This tab is the only tab in which users can modify the Investment Name and Investment Phase. Once the user saves this tab, the Investment Name and Investment Phase information will only be editable on the Overview Tab and while the Investment has a "Data Entry in Progress" status.

- ❖ **Step 1** – User edits Investment name or Investment type, if applicable
- ❖ **Step 3** – User enter Funding Amount
- ❖ **Step 4** – User selects if this is a Fusion center Investment
- ❖ **Step 5** – User enter the funding amount towards LETPA.
- ❖ **Step 6** – User Provides Investment Description
- ❖ **Step 7** – User saves and continues to Project Management Tab

The screenshot displays the 'Grants Reporting Tool' interface for the Federal Emergency Management Agency. The header includes the Homeland Security logo and the text 'FOR OFFICIAL USE ONLY'. The main navigation bar contains tabs for Submissions, Investments, Portfolio, Overview (selected), Project Management, and Project Details. A left sidebar shows the current user as Jean Smith and a list of navigation options including Welcome!, IJ Submission (highlighted), Investment, Funding, PSIC, Approval, Organization, Users, Reporting, Strategy, and Logout.

The main content area is titled 'Investment Information' and contains the following fields:

- Investment Name: Test for Investment Fusion Center
- Investment Type: Non-Competitive
- Funding Program: SHSP
- Proposed Funding: \$2,000,000.00

Below this is the 'Investment Overview' section, which includes a note: 'Note: Fields marked with a red asterisk(\*) are required.' It features a table with the following data:

Funding Program	Funding Amount
SHSP	\$2,000,000.00

Additional fields in the Overview section include:

- \* II.D Is this consolidated Fusion Center Investment? Yes
- \* II.E How much of this Investment will be obligated towards Law Enforcement Terrorism Prevention Activities (LETPA): \$1,000.00
- \* II.D. Describe how the THIRA, SPR and Capabilities Estimation and state or urban area homeland security strategy influenced the development of this Investment Justification.

A large text area for the description contains the text 'This is just a test' and a 'Description' label. At the bottom of the form are three buttons: 'Revert to Saved', 'Save', and 'Save and Continue'.

Overview Tab

### 8.12.1.8 Project Management

The Project Management tab is the fifth tab within the IJ Submission Module. The Project Management tab provides users to create several projects.

- ❖ **Step 1** – User selects to add the Project.

Submissions Investments Portfolio Overview **Project Management** Project Details

Current User: Jean Smith

Welcome!

**IJ Submission**

Investment Information

Investment Name: Test for Investment Fusion Center  
Investment Type: Non-Competitive  
Funding Program: SHSP  
Proposed Funding: \$2,000,000.00

III. All of the requested funding must be associated with specific projects

Project Number	Project Name	Funding Amount	Self-Check	Remove Project
1-1	<a href="#">Test for the Project</a>	\$700.00	OK	Delete
1-2	<a href="#">Project two</a>	\$80.00	OK	Delete
1-3	<a href="#">Project 3</a>	\$11,090.00	ERROR	Delete
1-4	<a href="#">Project 4</a>	\$1,910.90	ERROR	Delete
1-5	<a href="#">Test</a>	\$0.00	ERROR	Delete
Totals:		\$13,780.90	ERROR	

Add Project

Continue

### Project Management Tab

#### 8.12.1.9 Project Details Tab

In this tab, user provides the details regarding the project and allocates funding to POETE and select core capability

- ❖ **Step 1** – User provides the Project Name.
- ❖ **Step 2** – User enters the Project Description
- ❖ **Step 3** – User select the Subgrantee, system populates the Subgrantee Type
- ❖ **Step 4** – User enters the project location (ZIP)
- ❖ **Step 5** – User selects the Primary Core Capabilities from the drop down list
- ❖ **Step 6** – User selects the Capability Building from the drop down list. (Sustain or Build)
- ❖ **Step 7** – User selects the if the Project is Depolyable
- ❖ **Step 8** – User selects the if the Project is Shareable
- ❖ **Step 9** – User enters proposed funding amounts by Solution Area (Planning, Organization, Equipment, Training, and Exercises)
  - The associated percentages will populate automatically once the user saves the enteries.
- ❖ **Step 10** – User selects the step of the Project from the Drop down list.
- ❖ **Step 11** – User enters Project Start Date
- ❖ **Step 12** – User enters Project End Date
- ❖ **Step 13** – User selects Yes or No from a drop-down list to answer: Does this project require new construction or renovation, retrofitting, or modification of existing structures?

- ❖ **Step 14** – User selects Yes or No from a drop-down list to answer: Supports Previous Awarded Investment. If the answer is 'Yes' then
  - User Selects the Award Year from the drop down list, system provides the list of the Investments from the selected Award Year
  - User selects the Investment from the drop down list
  - User provides the Last Completed Milestone
- ❖ **Step 15** – User clicks Save and Continue and is directed to the Project Management tab.

Current User:  
Jean Smith

Welcome!

**IJ Submission**

Investment

Funding

PSIC

Approval

Organization

Users

Reporting

Strategy

Logout

**Investment Information**

Investment Name: Test for Investment Fusion Center  
 Investment Type: Non-Competitive  
 Funding Program: SHSP  
 Proposed Funding: \$2,000,000.00

**Project Alignment to Capability Gaps and Solution Areas**

Note: Fields marked with a red asterisk (\*) are required.

\* Project Name:

Project Description: 

Test

Funding Amount: **\$80.00**

Subgrantee:

Subgrantee Type: Other State Agency (Non-SAA)

Project Location:

Primary Core Capability:

Capabilities Building:

Deployable:

Shareable:

Solution Area	Funding Amount	Percent of Proposed Funding
Planning	<input type="text" value="\$30.00"/>	37.5 %
Organization	<input type="text" value="\$20.00"/>	25.0 %
Equipment	<input type="text" value="\$10.00"/>	12.5 %
Training	<input type="text" value="\$10.00"/>	12.5 %
Exercises	<input type="text" value="\$10.00"/>	12.5 %
<b>Total</b>	<b>\$80.00</b>	<b>100%</b>

**Project Baseline and Management**

For every project within this investment, identify the baseline for project implementation according to whether it builds on a previous investment and what the project management timeline is. Provide start and end dates which will be implemented over the 24 month period of performance.

Project Management Step:

Start Date: Month  Year

End Date: Month  Year

Does this project require new construction, renovation, retrofitting or modifications of existing structure?

Supports Previous Awarded Investment:

Prior Year	Investment Name	Last Completed Milestone	Remove
<input type="text" value="2012"/>	<input type="text" value="Alabama Fusion Center"/>	<input type="text" value="Testing the milestones"/>	<input type="button" value="Delete"/>

Project Details Tab

## Completing the Investment/IJ Submission

### 8.12.1.10 Marking Investment(s) Complete

Once the user has entered all applicable information from the Overview tab through the Project Details tab, they may mark the Investment complete.

- ❖ **Step 1** – On the Investments Tab – Investment List View, the user will select the check box to the left of the applicable Investment that is ready to be ‘Marked Complete’
- ❖ **Step 2** – If self-check status is GREEN, user will click ‘Mark Complete’ to track which Investments are completed; if self-check is RED, please refer to the ‘Validation’ View.
- ❖ **Step 3** – Once the Investment is marked complete, user can select the next Investment to compile and repeat all data entry steps



Please note that if an Investment in ‘Investment Completed’ status needs to be edited, user can select ‘Change Status’ to change the status of the Investment from ‘Investment Completed’ to ‘Data Entry in Progress’

Submissions Investments Portfolio Overview Baseline Target Capabilities Project Management and Milestones Accomplishments and Impact

Investments - AL - State Submission

View: Investments List

#	Investment Name	Primary Funding Program	Funding Amount	Status	Self-Check
	Portfolio Investment	N/A	\$28	Data Entry In Progress	ERROR
<input type="checkbox"/>	1 Test Test	SHSP	\$2,000	Data Entry In Progress	ERROR

*Investments Tab – Marking Investments Complete*

### 8.12.1.11 Validation View

The Validation option allows the user to view a matrix displaying the self-check status of all tabs for all Investments. If the user has skipped over critical questions and/or the total funding amount that was proposed does not ‘tie-out’ with all the allocation, then an ‘ERROR’ indicator will appear in the corresponding tab for that Investment. If all critical and/or required questions have been answered, the indicator box will read ‘OK’.

If there are any errors within an Investment (within any of the tab), the Cumulative column will display an ‘ERROR’ indicator for that Investment.

It is recommended that users should return to the Validation view each time after completing an Investment. Investments may not be marked complete until all validations for the Investment are ‘OK’.

Submissions Investments Portfolio Overview Project Management Project Details

Current User: Jean Smith

Welcome!

IJ Submission

Investment

Funding

PSIC

Approval

Organization

Users

Investments - AL - State Submission

View: Validation

Validation

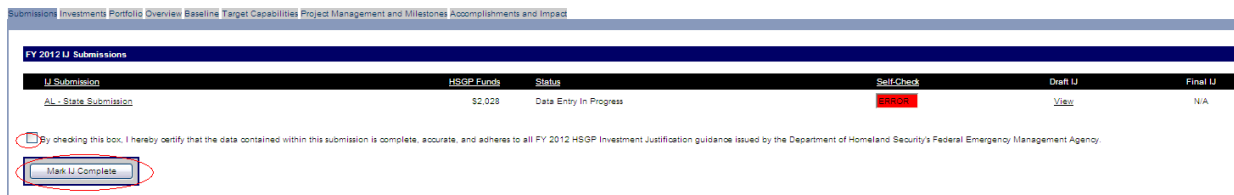
	Overview	Project Management	Cumulative
Portfolio Investment			OK
1 - Test for Investment Fusion Center	OK	ERROR	ERROR

*Investments Tab (Validation)*

### 8.12.1.12 Marking IJ Submission Complete

Once the user has entered all applicable information from the Overview tab through the Accomplishments and Impacts tab, they will mark the Investment as complete. Once all Investments and the Portfolio Investment have been marked complete and no errors exist for the Investment Justification, the SAA Admin can proceed in marking the entire Investment Justification complete.

- ❖ **Step 1** – On the Submissions Tab, the SAA Admin will select all check boxes to the left of the IJ Submissions
- ❖ **Step 2** – SAA Admin will click the check box certification
- ❖ **Step 3** – SAA Admin will click the ‘Mark IJ Complete’ button
- ❖ **Step 4** – A pop-up message box appears for confirming this action.
- ❖ **Step 5** – SAA Admin will click “View” under the Final IJ column in order to view and save a final copy of the Investment Justification; it is this Investment Justification that will be uploaded in the portable document (PDF) format according to the grant guidance on application submission requirements by the SAA Admin



*Mark Complete (SAA Admin)*